NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES NOTICE OF PUBLIC HEARING

October 15, 2019 10:00 a.m. Central Time Gold's Building, Room 534 1033 O Street, Lincoln, Nebraska

The purpose of this hearing is to receive comments on proposed changes to Title 186, Chapter 1 of the Nebraska Administrative Code (NAC) – *Cancer Registry*. The proposed changes remove duplicative statutory language from the regulations; update reporting requirements to reflect the current recommendations of the North American Association of Central Cancer Registry and the National Program for Cancer Registry; and update formatting.

Authority for these regulations is found in <u>Neb. Rev. Stat.</u> § 81-3117(7).

Interested persons may attend the hearing and provide verbal or written comments or mail, fax or email written comments, no later than the day of the hearing to: DHHS Legal Services, PO Box 95026, Lincoln, NE 68509-5026, (402) 742-2382 or dhhs.regulations@nebraska.gov, respectively.

A copy of the proposed changes is available online at http://www.sos.ne.gov, or by contacting DHHS at the mailing address or email above, or by phone at (402) 471-8417. The fiscal impact statement for these proposed changes may be obtained at the office of the Secretary of State, Regulations Division, 1201 N Street, Suite 120, Lincoln, NE 68508, or by calling (402) 471-2385.

Auxiliary aids or reasonable accommodations needed to participate in a hearing can be requested by calling (402) 471-8417. Individuals with hearing impairments may call DHHS at (402) 471-9570 (voice and TDD) or the Nebraska Relay System at 711 or (800) 833-7352 TDD at least 2 weeks prior to the hearing.

FISCAL IMPACT STATEMENT

Agency: Department of Health and Human Services				
Title: 186	Prepared by: Lifeng Li			
Chapter: 1	Date prepared: 3/14/2019			
Subject: Cancer Registry	Telephone: 402-471-0553			

Type of Fiscal Impact:

	State Agency	Political Sub.	Regulated Public
No Fiscal Impact	(🖂)	(🖂)	(🖂)
Increased Costs	(🗆)	(🗆)	(🗆)
Decreased Costs	(🗆)	(🗆)	(🗆)
Increased Revenue	(🗆)	(🗆)	(🗆)
Decreased Revenue	(🗆)	(🗆)	(🗆)
Indeterminable	(🗆)	(🗆)	(🗆)

Provide an Estimated Cost & Description of Impact:

State Agency: N/A

Political Subdivision: N/A

Regulated Public: N/A

If indeterminable, explain why:

EFFECTIVE DATENEBRASKA HEALTH AND HUMAN SERVICES11/12/2003REGULATION AND LICENSURE

TITLE 186 HEALTH REGISTRIES AND RELEASE OF INFORMATION

CHAPTER 1 CANCER REGISTRY

<u>1-001_SCOPE AND AUTHORITY:</u> The purpose of the cancer registry is to provide a central data bank of accurate, precise, and current information which may be used to achieve the goals of prevention, cure, and control of cancer through research and education. These regulations apply to each hospital or health practitioner within the State of Nebraska. The regulations implement the laws governing the establishment and maintenance of a registry that includes records of cases of cancer and benign brain-related tumors diagnosed or treated within the state and such information that the Department determines necessary and appropriate for the prevention, cure, and control of cancer. The regulations set forth procedures for the reporting by hospitals and health practitioners of data concerning such cases to the Department and providing procedures and standards for governing access to registry data, pursuant to <u>Neb. Rev. Stat.</u> §§ 81-642 to 81-650.

1-002 DEFINITIONS:

Cancer means:

- A large group of diseases characterized by an uncontrolled growth and spread of abnormal cells;
- 2. Any condition of tumors having the properties of anaplasia, invasion, and metastasis;

4. Malignant neoplasm.

Cancer shall be deemed to include, but not be limited to, carcinoma, sarcoma, melanoma,
 Iymphoma, Hodgkin's disease, and myeloma, but shall not include precancerous conditions,
 benign polyps, or benign tumors.

<u>Cancer Registry</u> means the system of reporting established by <u>Neb. Rev. Stat.</u> §§ 81-642 to 81-650 in which the cases of cancer in this state are reported and recorded in order to achieve the goals of prevention, cure, and control of cancer through research and education.

<u>Department</u> means the Nebraska Department of Health and Human Services Regulation and Licensure.

<u>Diseases Reportable to the Cancer Registry</u> includes all cancers as defined above and, beginning January 1, 2004, all benign brain-related tumors.

EFFECTIVE DATENEBRASKA HEALTH AND HUMAN SERVICES11/12/2003REGULATION AND LICENSURE186 NAC 1

<u>Health Practitioner</u> means an individual licensed to practice medicine and surgery pursuant to <u>Neb. Rev. Stat.</u> §§ 71-1,102 to 71-1,107.04; to practice osteopathic medicine and surgery pursuant to <u>Neb. Rev. Stat.</u> §§ 71-1,137 to 71-1,141 and to practice dentistry pursuant to <u>Neb. Rev. Stat.</u> §§ 71-193.35.

<u>Initial Diagnosis</u> means the recognition of cancer in a patient by a health practitioner, medical examiner, facility, or coroner.

<u>Proper Identification</u> means driver's license or other identification containing photograph, name and signature, and a written statement from the Department that such person is an authorized representative of the Department.

<u>1-003 DATA REQUIREMENTS:</u> Attachment 1 incorporated by this reference lists the data elements that must be provided for each reportable disease.

<u>1-004 HOSPITAL REPORTING REQUIREMENTS:</u> The following are the reporting requirements for hospitals within the State of Nebraska.

<u>1-004.01</u> Each hospital within the State of Nebraska that initially diagnoses more than 50 cancer cases in a calendar year must:

- Submit the data specified in 186 NAC 1-003 Attachment 1;
- Submit data on disk or in encrypted electronic form in a manner specified by the Department;
- 3. Report data on an ongoing monthly basis, within six months from the date of initial diagnosis;
- 4. Report supplemental and follow-up data on previously reported cases on the next reporting period following receipt of the data.

<u>1-004.02</u> Each hospital within the State of Nebraska that initially diagnoses less than 50 cancer cases in a calendar year will make available:

- 1. The data specified in Attachment 1, in the manner prescribed in 186 NAC 1-004.01, or
- 2. A list of the names of patients diagnosed with cancer, corresponding medical record numbers, and medical records which document the diagnosis and treatment of cancer; or
- 3. On an abstract form which contains the information set forth in the Attachment 1.

EFFECTIVE DATENEBRASKA HEALTH AND HUMAN SERVICES11/12/2003REGULATION AND LICENSURE1

186 NAC 1

<u>1-005 HEALTH PRACTITIONER REPORTING:</u> Health practitioners within the state must produce and make available to the Department or its authorized representative, upon the request of the Department or its authorized representative, and upon presentation of proper identification by the Department's representative, data from each medical record of cancer or benign brain-related tumor under the health practitioner's custody or control. The data must be submitted as set out in 186 NAC 1-004.01 and 1-004.02.

<u>1-006 CONFIDENTIALITY AND RELEASE OF INFORMATION:</u> All data obtained from medical records of individual patients is for the confidential use of the Department and private or public person or entities that the Department determines may view these records in order to carry out the intent of <u>Neb. Rev. Stat.</u> §§ 81-642 to 81-650. The information will be privileged and will not otherwise be divulged or made public so as to disclose the identity of an individual whose medical records have been used for acquiring data.

<u>1-006.01</u> The Department may approve individuals or entities who submit written application to obtain access to case-specific data or case-specific and patient-identifying data to assist in their research for the prevention, cure and control of cancer. These individuals or entities must show that the applicant is a qualified researcher, that the data requested will be used for bona fide scientific or medical research for prevention, cure or control of cancer, and that the applicant will maintain the confidentiality and security of the data obtained. The application must contain, but is not limited to the following information:

- Applicant's name and address;
 - 2. The name of the entity, if any, which the applicant represents, its address and a brief description of the entity;
 - 3. Name and address of the principal investigator, if other than the applicant;
 - 4. The qualifications of the applicant and of the principal investigator, if other than the applicant, including education, experience, prior publications, and recommendations of professional colleagues who have knowledge and experience of scientific or medical research;
 - 5. The purpose of the research project, a summary of the project and the anticipated time of the completion of such project;
 - 6. The location where the research project will be conducted and the equipment, personnel, and other resources available to the applicant to carry out the projects;
 - 7. The identity of the individual or entity funding the research project, a description of the availability of funds for the research project and any conditions of the receipt or continuation of such funding;

- 8. The specific data requested and a description of the use to be made of such data and, if patient-identifying data is requested, a substantiation of the need for access to the patient-identifying data;
- 9. A description of the measures to be taken to secure the data and maintain the confidentiality of the data during the research project, for disposal of the data upon completion of the study and to assure that the results of the study will not divulge or make public information that will disclose the identity of any individual. If contact with patient or patient's family is planned, approved researcher must substantiate the need for the contact and describe the methods to be used to obtain permission from the patient or patient's family for the contact.
 - 10. Additional information as the Department determines to be necessary to assure that release of data to the applicant is appropriate and will further the purposes of <u>Neb. Rev. Stat.</u> §§ 81-642 to 81-650.

<u>1-006.02</u> Any de-identified data (other than Class III data) asked for by and furnished to a researcher may not be intentionally re-identified in any manner. Should a recipient of de-identified information unintentionally or accidentally be able to identify any individual they must not use that information in any way. The recipient must also notify the Department of the means of accidental re-identification in order for the Department to consider additional procedures to safeguard against breaches in confidentiality.

<u>1-006.03</u> The cost of data retrieved and data processing will be paid by the researchers and private or public entities or individuals requesting data from the cancer registry.

<u>1-007</u> SUBMISSION OF REPORTS: The approved researcher must submit the reports or results of the research project to the Department at no cost. The Department reviews the reports or results and prohibits publication of confidential information or patient-identifying data. A person or entity must acknowledge the Department and its cancer registry in any publication in which information obtained through the registry is used.

<u>1-008 RELEASE OF DATA TO GOVERNMENTAL HEALTH AGENCIES:</u> Data contained in the cancer registry may be released to local health departments in Nebraska, the Centers for Disease Control and the National Cancer Institute upon written application and compliance with the provisions of <u>Neb. Rev. Stat.</u> §§ 81-663 to 81-675 and 186 NAC 1.

<u>1-009 PATIENT CONTACT PROVISIONS:</u> No person who seeks information or obtains registry data pursuant to this regulation will contact a patient on the registry or the patient's family unless the registry has first obtained the permission of the patient or patient's family. The registry will coordinate its activities with the person desiring the contact and may authorize the person desiring the contact to perform these contacts under the direction of the registry.

DATA ITEMS REQUIRED BY THE NEBRASKA CANCER REGISTRY FROM CANCER REPORTING SOURCES

The following table presents data required by the Nebraska Cancer Registry along with Version 10 of the NAACCR required status table summarizing the requirements and recommendations for collection of each item by standard-setting groups.

The following abbreviations and symbols are used in the table:

NAACCR	 NAACCR committees are reviewing and will make Recommendations in Version 10.1.
NPCR	Refers to requirements and recommendations of the NPCR regarding data items that should be collected or computed by NPCR state registries. Note: Personal identifying data items that are collected are not transmitted to CDC.
COC	Refers to requirements of the COC. Facilities should refer to the COC FORDS COC FORDS Manual for further clarification of required fields.
SEER	Refers to requirements of NCI's SEER Program. Facilities and central registries should refer to the SEER Program Code Manual for further clarification of required fields.

Codes for Recommendations: R = Required. RH = Historically collected and currently transmitted. <math>S = Supplementary/ recommended. D = Derived. $\bullet = Not in dataset but available. * = When available. # = Central registries may code available data using either the SEER or COC data item and associated rules. ^ = These text requirements may be met with one or several text block fields.$

Item #	Item Name	Provider Required	NCR Required	NPCR	Source of Standard
10	Record Type		R	٠	NAACCR
20	Patient Identification Number		R	R	Reporting Registry
30	Registry Type		R	٠	NAACCR
35	Federal Identification Number Coding System		R	8	NAACCR
40	Registry Identification		R	8	NAACCR
50	NAACCR Record Version		R	R	NAACCR
70	Address at Diagnosis City	R	R	R	COC
80	Address at Diagnosis State	R	R	R	NAACCR
90	County at Diagnosis	R	R	R	FIPS/SEER
100	Address at Diagnosis Postal Code	R	R	R	NAACCR
110	Census Tract 1970/80/90		R	RH	SEER
120	Census Coding System 1970/80/90		R	RH	SEER
130	Census Tract 2000		R	R	SEER
150	Marital Status at Diagnosis	R	R	S	SEER
160	Race 1	R	R	R	SEER/COC
161	Race 2	R	R	R	SEER/COC
162	Race 3	R	R	R	SEER/COC
163	Race 4	R	R	R	SEER/COC
164	Race 5	R	R	R	SEER/COC
170	Race Coding System Current	R	R	٠	NAACCR
180	Race Coding System Original	R	R	•	NAACCR
190	Spanish/Hispanic Origin	R	R	R	SEER/COC
220	Sex	R	R	R	SEER/COC
230	Age at Diagnosis	R	R	R	SEER/COC
230 240	Birth Date	R	R	R	SEER/COC
240 250	Birthplace	R	R	R*	SEER/COC
250 260	Religion	<u>*</u>	<u>*</u>	•	Varies
200 270	Occupation Code Census		R	-	Census/NPCR
270 280	Industry Code Census		R	5 5	Census/NPCR
200 290	Occupation Source		R	5 5	NPCR
290 300	Industry Source		R	3	NPCR
310	Text Usual Occupation	<u>*</u>	R*	R *	NPCR
310 320	Text Usual Industry	*	R*	R*	NPCR
330	Occupation/Industry Coding System		R.	s	NPCR
340	Tobacco History	*	*		Varies
340 350	Alcohol History	*	*	•	Varies
		*	*	•	
360	Family History of Cancer	<u><u> </u></u>	<u>*</u>	•	Varies
362	Census Tract Block Group			•	Census
364	Census Tract Certainty 1970/80/90		R	RH	SEER
365	Census Tract Certainty 2000		R	R	SEER
380	Sequence Number Central		R	R	NAACCR
390	Date of Diagnosis	R	R	R	SEER/COC
400	Primary Site	R	R	R	SEER/COC
410	Laterality	R	R	R	SEER/COC
419	Morphology Type & Behavior ICD-O-2	RH	RH		
420	Histology (92-00) ICD-O-2	RH	RH	RH	SEER/COC
430	Behavior (92-00) ICD-O-2	RH	RH	RH	SEER/COC
440	Grade	R	R	R	SEER/COC
4 50	Site Coding System Current	R	R	S	NAACCR
460	Site Coding System Original	R	R	•	NAACCR
470	Morphology Coding System Current	R	R	S	NAACCR
480	Morphology Coding System Original	R	R	٠	NAACCR

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T. 11	T. N	Provider	NCR D	NIDCD	Source of
Item #	Item Name	Required	Required	NPCR	Standard
490	Diagnostic Confirmation	R	R	R	SEER/COC
500	Type of Reporting Source	R	R	R	SEER
521	Morphology Type&Behavior ICD-O-3	R	R		
522	Histologic Type ICD-O-3	R	R	R	SEER/COC
523	Behavior Code ICD-O-3	R	R	R	SEER/COC
540	Reporting Hospital	R	R	S	COC
550	Accession Number Hospital	R	R	S	COC
560	Sequence Number Hospital	R	R	S	COC
570	Abstracted By	R	R	•	COC
580	Date of 1 st -Contact	R	R	R	NAACCR
610	Class of Case	R	R	S	COC
620	Year First Seen This Cancer	<u>*</u>	<u>*</u>	٠	COC
630	Primary Payer at Diagnosis	R	R	•	COC
670	Treatment Hospital Surgery Primary Site	R	R	٠	COC
672	Treatment Hospital Scope Regional Lymph Node Surgery	R	R	•	COC
674	Treatment Hospital Surgery Other Regional/Distant	R	R		COC
700	Treatment Hospital Chemotherapy	R	R	•	COC
710	Treatment Hospital Hormone Therapy	R	R	•	COC
720	Treatment Hospital Immunotherapy	R	R	•	COC
730	Treatment Hospital Other	R	R	-	COC
740	Treatment Hospital - Diagnosis/Staging Procedure	R	R	•	
759	SEER Summary Stage 2000	R	R R	R	SEER
760	SEER Summary Stage 1977	RH	RH	RH	SEER
780 780	Extent of disease Tumor Size	R R	R R	KII	BEER
780 820	Regional Nodes Positive	R	R R	S	SEER/COC
830	Regional Nodes Examined	R R	R R	6 2	SEER/COC
880			R		AJCC
	TNM Pathologic Tumor	R		•	
890	TNM Pathologic Nodes	R	R	•	AJCC
900	TNM Pathologic Metastases	R	R	•	AJCC
910	TNM Pathologic Stage Group	R	R	•	AJCC
920	TNM Pathologic Descriptor	R	R	٠	COC
930	TNM Pathologic Staged By	R	R	٠	COC
940	TNM Clinical Tumor	R	R	•	AJCC
950	TNM Clinical Nodes	R	R	•	AJCC
960	TNM Clinical Metastases	R	R	٠	AJCC
970	TNM Clinical Stage Group	R	R	•	AJCC
980	TNM Clinical Descriptor	R	R	•	COC
990	TNM Clinical Staged By	R	R	٠	COC
1060	TNM Edition Number	R	R	•	COC
1150	Tumor Marker 1	R*	R*	•	SEER
1150 1160	Tumor Marker 2	R*	R*	•	SEER
1170	Tumor Marker 3	R*	R*	•	SEER
1200	Treatment Date Surgery	R	R	∎ S	COC
1200 1210	Treatment Date Radiation	R	R	2	
1210 1250	Treatment Date Other	R	R	2	
1230 1270	Date of 1 st Course of Treatment COC	R R	R	5 #	
1270 1280					
	Treatment Date Diagnosis/Staging Procedure	R	R P	• D	
1290 1292	Treatment Summary Surgery Primary Site Treatment Summary Scope Regional Lymph Nodes Surgery	R R	R R	R R	SEER/COC SEER/COC
		· · · ·	· · · ·	- R	

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7

T . 11		Provider	NCR	NIDCD	Source of
Item #	Item Name	Required	Required	NPCR	Standard
1320	Treatment Summary Surgical Margins	R	R	٠	COC
1340	Reason for No Surgery	R	R	S	SEER/COC
1350	Treatment Summary Diagnosis/Staging Procedure	R	R	•	COC
1380	Treatment Summary Surgery/Radiation Sequence	R	R	S	SEER/COC
1390	Treatment Summary Chemotherapy	R	R	S	SEER/COC
1400	Treatment Summary-Hormone Therapy	R	R	S	SEER/COC
1410	Treatment Summary Immunotherapy	R	R	S	SEER/COC
1420	Treatment Summary-Other	R	R	S	SEER/COC
1430	Reason for No Radiation Therapy	R	R	S	COC
1460	Treatment Coding System Current	R	R	R	NAACCR
1510	Radiation Regional Dose: cGy	R	R	•	COC
1520	Radiation Number of Treatment Volume	R	R	٠	COC
1540	Radiation Treatment Volume	R	R	٠	COC
1550	Radiation Location of Treatment	R	R	•	COC
1570	Radiation Regional Treatment Modality	R	R	S	COC
1660	Subsequent Treatment 2 nd Course Date	R*	R*	•	COC
1670	Subsequent Treatment 2 nd Course Codes	R*	R*	1	
1671	Subsequent Treatment 2 nd Course Surgery	R*	R*	٠	COC
1672	Subsequent Treatment 2 nd Course Radiation	R*	R*	•	COC
1673	Subsequent Treatment 2 nd Course Chemotherapy	R*	R*	•	COC
1674	Subsequent Treatment 2 nd Course Hormone Therapy	R*	R*	•	COC
1674 1675	Subsequent Treatment 2 nd Course Informone Therapy	R*	R*	•	COC
1675 1676	Subsequent Treatment 2 nd Course Other	R*	R*	•	COC
1677	Subsequent Treatment 2 nd Scope Lymph Nodes Surgery	R*	R*		
	Subsequent Treatment 2 nd Surgery Other		R*	•	
1678		<u>R*</u>		•	COC
1679	Subsequent Treatment 2 nd - Regional Lymph Nodes Removed	<u>R*</u>	<u>R*</u>	•	COC
1680	Subsequent Treatment 3 rd Course Date	R*	R*	•	COC
1690	Subsequent Treatment 3 rd Course Codes	R*	R*		
1691	Subsequent Treatment 3 rd Course Surgery	R*	R*	•	COC
1692	Subsequent Treatment 3 rd Course Radiation	<u>R*</u>	R*	٠	COC
1693	Subsequent Treatment 3 rd Course Chemotherapy	R*	R*	٠	COC
1694	Subsequent Treatment 3 rd Course Hormone Therapy	R*	R*	•	COC
1695	Subsequent Treatment 3 rd Course Immunotherapy	R*	R*	٠	COC
1696	Subsequent Treatment 3 rd Course Other	R*	R*	•	COC
1697	Subsequent Treatment 3rd Scope Lymph Nodes Surgery	R*	R*	٠	COC
1698	Subsequent Treatment 3 rd Surgery Other	R*	R*	•	COC
1699	Subsequent Treatment 3 rd Regional Lymph Nodes Removed	R*	R*	•	COC
1700	Subsequent Treatment 4 th Course Date	R*	R*	•	COC
1710	Subsequent Treatment 4 th Course Codes	R*	R*		
1711	Subsequent Treatment 4 th Course Surgery	R*	R*	•	COC
1712	Subsequent Treatment 4 th Course Radiation	R*	R*	•	COC
1713	Subsequent Treatment 4 th Course Chemotherapy	R*	R*	•	COC
1714	Subsequent Treatment 4 th Course Hormone Therapy	R*	R*		COC
1714	Subsequent Treatment 4 th Course Immunotherapy	R*	R*	•	
				•	
1716	Subsequent Treatment 4 th Course Other	<u>R*</u>	<u>R*</u>	•	COC
1717	Subsequent Treatment 4 th Scope Lymph Nodes Surgery	<u>R*</u>	R*	•	COC
1718	Subsequent Treatment 4 th Surg Other	<u>R*</u>	R*	•	COC

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Item #	Item Name	Provider Required	NCR Required	NPCR	Source of Standard
1719	Subsequent Treatment 4th-Regional Lymph Nodes Removed	R*	R*	•	COC
1720	Subsequent Treatment 5th Course Date	R*	R*	•	NAACCR
1730	Subsequent Treatment 5 th Course Codes	R*	R*		
1731	Subsequent Treatment 5 th Course Surgery	R*	R*	•	NAACCR
1732	Subsequent Treatment 5th Course Radiation	R*	R*	•	NAACCR
1733	Subsequent Treatment 5 th Course Chemotherapy	R*	R*	•	NAACCR
1734	Subsequent Treatment 5 th Course Hormone Therapy	R*	R*	•	NAACCR
1735	Subsequent Treatment 5 th Course Immunotherapy	R*	R*		NAACCR
1736	Subsequent Treatment 5 th Course Other	R*	R*		NAACCR
1737	Subsequent Treatment 5th Scope Lymph Nodes Surgery	R*	R*	•	NAACCR
1738	Subsequent Treatment 5 th Surgery Other	<u>R*</u>	R*	•	NAACCR
1739	Subsequent Treatment 5 th Regional Lymph Nodes Removed	R*	R*	•	NAACCR
1750	Date of Last Contact	R	R	R	SEER/COC
1760	Vital Status	R	R	R	SEER/COC
1770	Cancer Status	R	R	•	COC
1790	Follow Up Source	R	R	•	COC
1800	Next Follow Up Source	R	R	•	COC
1810	Address Current City	R	R	•	COC
1810 1820	Address Current State	R	R	•	NAACCR
1820 1830	Address Current Postal Code	R	R		NAACCR
1860	Recurrence Date 1 st	R	R R	• 	COC
1800 1880	Recurrence Type 1 st	R	R	3	COC
1910	Cause of Death	R	R R	R	SEER/COC
1910 1920	ICD Revision Number		R	R	SEER/COC
1920 1930	Autopsy	R*	R	•	COC
1940	Place of Death	R*	R	- 	NAACCR
1940 1980	ICD O 2 Conversion Flag	R	R	•	SEER
1980 1981	Over ride Summary Stage/Nodes Positive	IX.	R	•	NAACCR
1982	Over-ride Summary Stage/TNM-Nodes		R	-	NAACCR
1983	Over ride Summary Stage/TNM-Nodes		R R	-	NAACCR
1983 1984	Over ride Summary Stage/Distant Metastasis		R R	-	NAACCR
1985	Over ride Accession/Class of Case/Sequence	R	R	•	NAACCR
1985 1986	Over ride Hospital Sequence/Diagnostic Confirmation	R	R R	•	
1980 1987	Over ride COC Site/Type	R R	R	•	NAACCR NAACCR
				•	
1988	Over ride Hospital Sequence/Site	R	R	•	NAACCR
1989	Over ride Site/TNM-Staging Group	R	R	• D	NAACCR
1990 2000	Over ride Age/Site/Morphology	R	R	R	SEER
2000 2010	Over ride Sequence Number/Diagnosis Confirmation Over ride Site/Laterality/Sequence Number		R P	R	SEER
2010		D	R P	S D	SEER
2020 2030	Over ride Surgery/Diagnostic Confirmation	R R	R R	R D	SEER SEER
2030 2040	Over ride Site/Type Over ride Histology			R P	SEER
2040 2050	Over ride Report Source	R	R R	R R	SEER
2050 2060	Over ride III define Site		R R	R	SEER
2000 2070	Over ride Leukemia Lymphoma	R	R R	R	SEER
2070 2071	Over ride Leukenna Lymphoma Over ride Site/Behavior	R	R R	R	SEER
2071 2072	Over ride Site/Extent of Disease/Diagnosis Date	The second secon	R.	4 5	SEER
2072	Over ride Site/Laterality/Extent of Disease		R.	2 8	SEER
2075 2074	Over ride Site/Laterality/Morphology	R	R	R	SEER

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		Provider	NCR		Source of
Item #	Item Name	Required	Required	NPCR	Standard
2081	CRC CHECKSUM	1. 1.	R	•	NAACCR
2090	Date Case Completed		R	•	Varies
2100	Date Case Last Changed		R	•	Varies
2110	Date Case Report Exported	R	R	-	NAACCR
2110 2111	Date Case Report Received	R	R	R	NAACCR
2112	Date Case Report Loaded	R	R	S	NAACCR
2113	Date Tumor Record Available	R	R	<u>-</u>	NAACCR
2116	ICD-O-3 Conversion Flag	R	R	R	SEER/COC
2140	COC Coding System Current	R	R	S	COC
2150	COC Coding System Original	R	R	S	NAACCR
2170	Vendor Name	R	R	٠	NAACCR
2230	Name Last	R	R	R	NAACCR
2240	Name First	R	R	R	NAACCR
2250	Name Middle	R	R	R	COC
2270	Name Suffix	R	R	٠	COC
2280	Name Alias	R	R	8	COC
2290	Name Spouse/Parent	R*	R*	•	Varies
2300	Medical Record Number	R	R	8	NAACCR
2310	Military Record No Suffix	R	R	•	COC
2320	Social Security Number	R	R	R	COC
2330	Address at Diagnosis Number & Street	R	R	8	COC
2335	Address at Diagnosis Supplemental	R	R	<u>s</u>	NAACCR
2350	Address Current Number & Street	R	R	<u>-</u>	COC
2352	Latitude		R	•	NAACCR
2354	Longitude		R	•	NAACCR
2355	Address Current Supplemental	R	R	•	NAACCR
2360	Telephone	R	R	•	COC
2380	DC State File Number		R	2	State
2390	Name Maiden	R*	R*	<u></u>	NAACCR
2410	Institution Referred From	R	R	•	NAACCR
2420	Institution Referred To	R	R	•	NAACCR
2440	Following Registry	R	R	•	NAACCR
2460	Physician Managing	R	R	•	COC
2470	Physician Follow-Up	R	R	•	COC
2470 2480	Physician Primary Surgery	R	R	•	COC
2400 2490	Physician 3	R	R		COC
2490 2500	Physician 4	R	R	•	COC
2500 2520	Text Diagnosis Procedure Physical Exam	R	R R	● <u>R^</u>	NAACCR
2520 2530	Text-Diagnosis Procedure - Physical Exam Text-Diagnosis Procedure - X-ray/scan	R	K R	R ∆	NAACCR
2530 2540	Text-Diagnosis Procedure - X-ray/scan Text-Diagnosis Procedure - Scopes	R	R		NAACCR
2540 2550	Text Diagnosis Procedure Lab Tests	R	R	R^	NAACCR
2550 2560	Text Diagnosis Procedure Data Tests	R	R	R^ R^	NAACCR
2500 2570	Text Diagnosis Procedure Operative	R	R	 R^	NAACCR
2570 2580	Text Primary Site Title	R	R	R	NAACCR
2590	Text Histology Title	R	R	8	NAACCR
2590 2600	Text Staging	R	R	B ∧	NAACCR
2600 2610	Treatment Text Surgery	R	R	R^ R^	NAACCR
2620	Treatment Text Bulgery	R	R	<u></u>	NAACCR
2630	Treatment Text Radiation Other	R	R	<u>-</u>	NAACCR
2630 2640	Treatment Text Chemotheaphy	R	R	<u></u>	NAACCR
2650	Treatment Text Hormone Therapy	R	R	5	NAACCR
	Treatment Text Immunotherapy	R	R	<u>-</u>	NAACCR

Codes for Recommendations: R = Required. RH = Historically collected and currently transmitted. <math>S = Supplementary/ recommended. D = Derived. $\bullet = Not in dataset but available. * = When available. # = Central registries may code available data using either the SEER or COC data item and associated rules. ^ = These text requirements may be met with one or several text block fields.$

Item #	Item Name	Provider Required	NCR Required	NPCR	Source of Standard
2670	Treatment Text Other	R	R	S	NAACCR
2680	Text Remarks	R	R	S	NAACCR
2690	Place of Diagnosis	R	R	S	NAACCR
2800	Collaborative Stage Tumor Size	R*	R*		AJCC
2810	Collaborative Stage Extension	R*	R*		AJCC
2820	Collaborative Stage Tumor Size/Extension Evaluation	R*	R*		AJCC
2830	Collaborative Stage Lymph Nodes	R*	R*		AJCC
2840	Collaborative Stage Regional Lymph Nodes Evaluation	R*	R*		AJCC
2850	Collaborative Stage Metastasis at Diagnosis	R*	R*		AJCC
2860	Collaborative Stage Metastasis Evaluation	R*	R*		AJCC
2880	Collaborative Stage Site-Specific Factor 1	R*	R*		AJCC
2890	Collaborative Stage Site-Specific Factor 2	R*	R*		AJCC
2900	Collaborative Stage Site Specific Factor 3	R*	R*		AJCC
2910	Collaborative Stage Site Specific Factor 4	R*	R*		AJCC
2920	Collaborative Stage Site Specific Factor 5	R*	R*		AJCC
2930	Collaborative Stage Site Specific Factor 6	<u>R*</u>	R*		AJCC
2940	Derived AJCC Tumor	<u>R*</u>	R*		AJCC
2950	Derived AJCC Tumor Descriptor	R*	R*		AJCC
2960	Derived AJCC Lymph Nodes	R*	R*		AJCC
2900 2970	Derived AJCC Lymph Nodes Descriptor	R*	R*		AJCC
2980	Derived AJCC Metastasis	R*	R*		AJCC
2990	Derived AJCC Metastasis Descriptor	R*	R*		AJCC
3000	Derived AJCC Stage Group	R*	R*		AJCC
3010	Derived Summary Stage (SEER)1977	R*	R*		AJCC
3020	Derived Summary Stage 2000	R*	R*		AJCC
3030	Derived AJCC Conversion Flag	R*	R*		AJCC
3040	Derived Summary Stage 1977 Conversion Flag	R*	R*		AJCC
3050	Derived Summary Stage 2000 Conversion Flag	R	R		AJCC
3100	Archive Federal Identification Number	R	R	•	COC
3110	Comorbidities/Complication 1	R	R	•	COC
3110 3120	Comorbidities/Complication 2	R	R R	•	
3130	Comorbidities/Complication 2	R	R		
3130 3140		R R	R	•	
	Comorbidities/Complication 4			•	
3150	Comorbidities/Complication 5	R	R	•	COC
3160	Comorbidities/Complication 6	R	R	•	COC
3170	Treatment Date Most Definitive Surgery	R	R	S	COC
3180	Treatment Date Surgical Discharge	R	R	•	COC
3190	Readmission Same Hospital within 30 Days	R	R	٠	COC
3200	Radiation Boost Treatment Modality	R	R	•	COC
3210	Radiation Boost Dose cGy	R	R	•	COC
3220	Treatment Date Radiation Ended	R	R	•	COC
3230	Treatment Date Systemic	R	R	8	COC
3250	Treatment Summary Transplant/Endocrine Procedures	R	R	2	COC
3270	Treatment Summary Palliative Procedure	R	R	•	COC
3280	Treatment Hospital Palliative Procedure	R	R		COC
3300	Rural Urban Continuum 1993		R	Ð	NAACCR
3310	Rural Urban Continuum 2000		R	Ð	NAACCR

Codes for Recommendations: R = Required. RH = Historically collected and currently transmitted. <math>S = Supplementary/ recommended. D = Derived. $\bullet = Not$ in dataset but available. * = When available. $# = Central registries may code available data using either the SEER or COC data item and associated rules. <math>^{-}$ = These text requirements may be met with one or several text block fields.

DRAFTNEBRASKA DEPARTMENT OF05-09-2019HEALTH AND HUMAN SERVICES186 NAC 1

TITLE 186 HEALTH REGISTRIES AND RELEASE OF INFORMATION

CHAPTER 1 CANCER REGISTRY

<u>001.</u> <u>SCOPE AND AUTHORITY. These regulations implement the laws governing the establishment and maintenance of a registry pursuant to Nebraska Revised Statutes (Neb. Rev. Stat.) §§ 81-642 to 81-650 and §§ 81-663 to 81-675.</u>

002. DEFINITIONS. Definitions set out in Neb. Rev. Stat. §§ 81-653 to 81-662, § 81-663 to 81-675, and the following apply to this chapter.

002.01 HEALTH PRACTITIONER. An individual who practices medicine and surgery, osteopathic medicine and surgery, or dentistry within the State of Nebraska.

<u>002.02</u> INITIAL DIAGNOSIS. The recognition of cancer in a patient by a health practitioner, medical examiner, facility, or coroner.

003. DATA REQUIREMENTS. For each medical record of cancer the data required to be provided to the Department pursuant to Neb. Rev. Stat, § 81-646 must include all of the information set out in statute and additional information as set out in Attachment 1 which is incorporated herein by this reference.

<u>004.</u> <u>HOSPITAL AND HEALTH PRACTITIONER REPORTING REQUIREMENTS. The reporting</u> requirements for hospitals and health practitioners within the State of Nebraska are set out below:

- (A) Each hospital and health practitioner shall produce and make available the data specified in this chapter to the Department;
- (B) Data must be submitted on disk or in encrypted electronic form in a manner acceptable to the Department;
- (C) Data must be submitted on an ongoing monthly basis, within six months from the date of initial diagnosis; and
- (D) Supplemental and follow-up data on previously reported cases must be reported in the next reporting period following receipt of the data.

<u>005.</u> <u>CONFIDENTIALITY AND RELEASE OF INFORMATION. All data obtained from medical</u> records of individual patients is confidential and may only be released as provided in Neb. Rev. <u>Stats. §§ 81-657, §§ 81-663 to 81-675 and Title 185 Nebraska Administrative Code.</u>

NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES

186 NAC 1

Attachmen	. <u>t 1</u>
Item #	Item Name
70	Address at Diagnosis-City
80	Address at Diagnosis–State
90	County at Diagnosis
100	Address at Diagnosis-Postal Code
150	Marital Status at Diagnosis
160	Race 1
161	Race 2
162	Race 3
163	Race 4
164	Race 5
170	Race Coding System-Current
180	Race Coding System–Original
190	Spanish/Hispanic Origin
220	Sex
230	Age at Diagnosis
240	Birth Date
250	Birthplace
260	Religion*
<u>310</u>	Text–Usual Occupation*
<u>320</u>	Text–Usual Industry*
<u>340</u>	Tobacco History*
<u>350</u>	Alcohol History*
<u>360</u>	Family History of Cancer*
<u>390</u>	Date of Diagnosis
<u>400</u>	Primary Site
<u>410</u>	Laterality
<u>419</u>	Morphology-Type & Behavior ICD-O-2 ^H
<u>420</u>	Histology (92-00) ICD-O-2 ^H
<u>430</u>	<u>Behavior (92-00) ICD-O-2^H</u>
<u>440</u>	Grade
<u>450</u>	Site Coding System-Current
<u>460</u>	Site Coding System–Original
<u>470</u>	Morphology Coding System–Current
<u>480</u>	Morphology Coding System–Original
<u>490</u>	Diagnostic Confirmation
<u>500</u>	Type of Reporting Source
<u>521</u>	Morphology-Type & Behavior ICD-O-3
<u>523</u>	Behavior Code ICD-O-3
<u>540</u>	Reporting Hospital
<u>550</u>	Accession Number-Hospital
<u>560</u>	Sequence Number-Hospital
<u>570</u>	Abstracted By
<u>580</u>	Date of 1 st Contact
<u>610</u>	Class of Case
<u>620</u>	Year First Seen This Cancer*
<u>630</u>	Primary Payer at Diagnosis
<u>670</u>	Treatment Hospital-Surgery Primary Site
<u>672</u>	Treatment Hospital–Scope Regional Lymph Node Surgery
<u>674</u>	Treatment Hospital-Surgery Other Regional/Distant
700	Treatment Hospital-Chemotherapy
710	Treatment Hospital-Hormone Therapy
<u>720</u>	Treatment Hospital-Immunotherapy

NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES

Item #	Item Name
730	Treatment Hospital-Other
740	Treatment Hospital—Diagnosis/Staging Procedure
759	SEER Summary Stage 2000
760	SEER Summary Stage 1977 ^H
780	Extent of disease—Tumor Size
820	Regional Nodes Positive
830	Regional Nodes Examined
880	TNM Pathologic Tumor
890	TNM Pathologic Nodes
900	TNM Pathologic Metastases
910	TNM Pathologic Stage Group
920	TNM Pathologic Descriptor
930	TNM Pathologic Staged By
<u>940</u>	TNM Clinical Tumor
950	TNM Clinical Nodes
960	TNM Clinical Metastases
970	TNM Clinical Stage Group
980	TNM Clinical Descriptor
<u>990</u>	TNM Clinical Staged By
1060	TNM Edition Number
<u>1150</u>	Tumor Marker 1*
<u>1160</u>	Tumor Marker 2*
<u>1170</u>	Tumor Marker 3*
<u>1200</u>	Treatment Date-Surgery
<u>1210</u>	Treatment Date-Radiation
<u>1250</u>	Treatment Date-Other
<u>1270</u>	Date of 1 st Course of Treatment–COC
<u>1280</u>	Treatment Date-Diagnosis/Staging Procedure
<u>1290</u>	Treatment Summary–Surgery Primary Site
<u>1292</u>	Treatment Summary-Scope Regional Lymph Nodes Surgery
<u>1294</u>	Treatment Summary–Surgery Other Regional/Distant
<u>1320</u>	Treatment Summary–Surgical Margins
<u>1340</u>	Reason for No Surgery
<u>1350</u>	Treatment Summary–Diagnosis/Staging Procedure
<u>1380</u>	Treatment Summary–Surgery/Radiation Sequence
<u>1390</u>	Treatment Summary-Chemotherapy
<u>1400</u>	Treatment Summary–Hormone Therapy
<u>1410</u>	Treatment Summary–Immunotherapy
<u>1420</u>	Treatment Summary-Other
<u>1430</u>	Reason for No Radiation Therapy
<u>1460</u>	Treatment Coding System–Current
<u>1510</u>	Radiation–Regional Dose: cGy
<u>1520</u>	Radiation–Number of Treatment Volume
<u>1540</u>	Radiation-Treatment Volume
<u>1550</u>	Radiation–Location of Treatment
<u>1570</u>	Radiation–Regional Treatment Modality
<u>1660</u>	Subsequent Treatment 2 nd Course Date*
<u>1670</u>	Subsequent Treatment 2 nd Course Codes*
<u>1671</u>	Subsequent Treatment 2 nd Course Surgery*
<u>1672</u>	Subsequent Treatment 2 nd Course Radiation*
<u>1673</u>	Subsequent Treatment 2 nd Course Chemotherapy*
<u>1674</u>	Subsequent Treatment 2 nd Course Hormone Therapy*
<u>1675</u>	Subsequent Treatment 2 nd Course Immunotherapy*
<u>1676</u>	Subsequent Treatment 2 nd Course Other*
<u>1677</u>	Subsequent Treatment 2 nd –Scope Lymph Nodes Surgery*
<u>1678</u>	Subsequent Treatment 2 nd -Surgery Other*
<u>1679</u>	Subsequent Treatment 2 nd –Regional Lymph Nodes Removed*

NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES

Item #	Item Name
1680	Subsequent Treatment 3rd Course Date*
1690	Subsequent Treatment 3 rd Course Codes*
<u>1691</u>	Subsequent Treatment 3 rd Course Surgery*
<u>1692</u>	Subsequent Treatment 3 rd Course Radiation*
<u>1693</u>	Subsequent Treatment 3rd Course Chemotherapy*
<u>1694</u>	Subsequent Treatment 3rd Course Hormone Therapy*
<u>1695</u>	Subsequent Treatment 3rd Course Immunotherapy*
<u>1696</u>	Subsequent Treatment 3rd Course Other*
<u>1697</u>	Subsequent Treatment 3rd_Scope Lymph Nodes Surgery*
<u>1698</u>	Subsequent Treatment 3 rd -Surgery Other*
<u>1699</u> <u>1700</u>	<u>Subsequent Treatment 3rd–Regional Lymph Nodes Removed*</u> <u>Subsequent Treatment 4th Course Date*</u>
1700	Subsequent Treatment 4th Course Codes*
<u>1710</u>	Subsequent Treatment 4 th Course Surgery*
1712	Subsequent Treatment 4 th Course Radiation*
1713	Subsequent Treatment 4 th Course Chemotherapy*
1714	Subsequent Treatment 4 th Course Hormone Therapy*
1715	Subsequent Treatment 4 th Course Immunotherapy*
1716	Subsequent Treatment 4 th Course Other*
1717	Subsequent Treatment 4 th –Scope Lymph Nodes Surgery*
1718	Subsequent Treatment 4 th –Surgery Other*
1719	Subsequent Treatment 4th–Regional Lymph Nodes Removed
1720	Subsequent Treatment 5th Course Date
1730	Subsequent Treatment 5th Course Codes
<u>1731</u>	Subsequent Treatment 5th Course Surgery*
<u>1732</u>	Subsequent Treatment 5th Course Radiation*
<u>1733</u>	Subsequent Treatment 5 th Course Chemotherapy*
<u>1734</u>	Subsequent Treatment 5th Course Hormone Therapy*
<u>1735</u>	Subsequent Treatment 5 th Course Immunotherapy*
<u>1736</u>	Subsequent Treatment 5 th Course Other*
<u>1737</u>	Subsequent Treatment 5th-Scope Lymph Nodes Surgery*
1738	Subsequent Treatment 5 th -Surgery Other*
<u>1739</u>	Subsequent Treatment 5 th –Regional Lymph Nodes Removed*
1750	Date of Last Contact
1760	Vital Status
<u>1770</u>	Cancer Status
<u>1790</u>	Follow-Up Source
<u>1800</u> <u>1810</u>	Next Follow-Up Source Address Current–City
<u>1810</u> <u>1820</u>	Address Current–City Address Current–State
1830	Address Current–Postal Code
<u>1860</u>	Recurrence Date-1 st
<u>1880</u>	Recurrence Type–1 st
<u>1930</u>	Autopsy*
1940	Place of Death*
1980	ICD-O-2 Conversion Flag
1985	Over-ride Accession/Class of Case/Sequence
1986	Over-ride Hospital Sequence/Diagnostic Confirmation
1987	Over-ride COC-Site/Type
1988	Over-ride Hospital Sequence/Site
<u>1989</u>	Over-ride Site/TNM-Staging Group
<u>1990</u>	Over-ride Age/Site/Morphology
2020	Over-ride Surgery/Diagnostic Confirmation
<u>2030</u>	Over-ride Site/Type
<u>2040</u>	Over-ride Histology
<u>2070</u>	Over-ride Leukemia Lymphoma
<u>2071</u>	Over-ride Site/Behavior

NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES

14 //	line News
<u>ltem #</u>	Item Name
<u>2074</u>	Over-ride Site/Laterality/Morphology
<u>2110</u>	Date Case Report Exported
<u>2111</u>	Date Case Report Received
<u>2112</u>	Date Case Report Loaded
<u>2113</u>	Date Tumor Record Available
<u>2116</u>	ICD-O-3 Conversion Flag
<u>2140</u>	COC Coding System–Current
<u>2150</u>	COC Coding System–Original
<u>2170</u>	Vendor Name
<u>2230</u>	Name-Last
<u>2240</u>	Name-First
<u>2250</u>	Name-Middle
<u>2270</u>	Name-Suffix
<u>2280</u>	Name–Alias
<u>2290</u>	Name-Spouse/Parent*
2300	Medical Record Number
<u>2310</u>	Military Record No Suffix
<u>2320</u>	Social Security Number
<u>2330</u>	Address at Diagnosis–Number & Street
<u>2335</u>	Address at Diagnosis–Supplemental
<u>2350</u>	Address Current–Number & Street
<u>2355</u>	Address Current–Supplemental
<u>2360</u>	<u>Telephone</u>
<u>2390</u>	Name-Maiden*
<u>2410</u>	Institution Referred From
<u>2420</u>	Institution Referred To
<u>2440</u>	Following Registry
<u>2460</u>	Physician-Managing
<u>2470</u>	<u>Physician–Follow-Up</u>
<u>2480</u>	Physician–Primary Surgery
2490	Physician 3
2500	Physician 4
2520	Text-Diagnosis Procedure-Physical Exam
2530	Text-Diagnosis Procedure-X-ray/scan
2540	Text-Diagnosis Procedure-Scopes
<u>2550</u>	Text-Diagnosis Procedure-Lab Tests
<u>2560</u>	Text-Diagnosis Procedure-Operative
<u>2570</u>	Text-Diagnosis Procedure-Pathology
<u>2580</u>	Text-Primary Site Title
<u>2590</u> 2600	Text-Histology Title Text-Staging
<u>2600</u> 2610	Treatment Text–Surgery
<u>2610</u> 2620	Treatment Text–Radiation (Beam)
<u>2620</u> 2630	Treatment Text–Radiation (beam)
<u>2630</u> 2640	Treatment Text-Chemotherapy
2650	Treatment Text–Hormone Therapy
2660	Treatment Text–Immunotherapy
2670	Treatment Text-Other
2680	Text-Remarks
2690	Place of Diagnosis
2800	Collaborative Stage Tumor Size*
2810	Collaborative Stage Extension*
2820	Collaborative Stage Tumor Size/Extension Evaluation*
2830	Collaborative Stage Lymph Nodes*
2840	Collaborative Stage Regional Lymph Nodes Evaluation*
2850	Collaborative Stage Metastasis at Diagnosis*
2880	Collaborative Stage Site-Specific Factor 1*

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NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES

Item # Item Name	
2890 Collaborative Stage Site-Specific Factor 2*	
2900 Collaborative Stage Site-Specific Factor 3*	
2910 Collaborative Stage Site-Specific Factor 4*	
2920 Collaborative Stage Site-Specific Factor 5*	
2930 Collaborative Stage Site-Specific Factor 6*	
2940 Derived AJCC Tumor*	
2950 Derived AJCC Tumor Descriptor*	
2960 Derived AJCC Lymph Nodes*	
2970 Derived AJCC Lymph Nodes Descriptor*	
2980 Derived AJCC Metastasis*	
2990 Derived AJCC Metastasis Descriptor*	
3000 Derived AJCC Stage Group*	
3010 Derived Summary Stage (SEER)1977*	
3020 Derived Summary Stage 2000*	
3030 Derived AJCC-Conversion Flag*	
3040 Derived Summary Stage 1977–Conversion Flag*	
3050 Derived Summary Stage 2000–Conversion Flag	
3100 Archive Federal Identification Number	
3110 Comorbidities/Complication 1	
3120 Comorbidities/Complication 2	
3130 Comorbidities/Complication 3	
3140 Comorbidities/Complication 4	
3150 Comorbidities/Complication 5	
3160 Comorbidities/Complication 6	
3170 Treatment Date-Most Definitive Surgery	
3180 Treatment Date-Surgical Discharge	
3190 Readmission Same Hospital within 30 Days	
3200 Radiation–Boost Treatment Modality	
3210 Radiation–Boost Dose cGy	
3220 Treatment Date–Radiation Ended	
3230 Treatment Date-Systemic	
3250 Treatment Summary–Transplant/Endocrine Procedures	
3270 Treatment Summary–Palliative Procedure	
3280 Treatment Hospital–Palliative Procedure	
Codes for Recommendations: * Required when available. H Historically collected and	
currently transmitted.	