## NEBRASKA DEPARTMENT OF HEALTH AND HUMAN SERVICES NOTICE OF PUBLIC HEARING

## October 9, 2019 10:00 a.m. Central Time Gold's Building, Room 534 1033 O Street, Lincoln, Nebraska

The purpose of this hearing is to receive comments on proposed changes to Title 172, Chapter 155 of the Nebraska Administrative Code (NAC) – *Practice of Psychology*. The chapter outlines the requirements for individuals seeking a psychology license, provisional psychology license, or a psychological assistant or psychologist associate credential. The proposed changes removes all duplicative statutory language from the regulations and removes any repetitive regulatory language found in 172 NAC 10. The proposed changes also update definitions; establish tests that can be administered by unlicensed individuals under the direction of a licensed psychologist; update supervision requirements; establishes criteria for submission of non-accredited psychology programs; updates internship requirements; identifies the required passing score on the examination; sets out the documents required to be submitted with an application; and updates the names of continuing education providers.

Authority for these regulations is found in <u>Neb. Rev. Stat.</u> § 81-3117(7).

Interested persons may attend the hearing and provide verbal or written comments or mail, fax or email written comments, no later than the day of the hearing to: DHHS Legal Services, PO Box 95026, Lincoln, NE 68509-5026, (402) 742-2382 or dhhs.regulations@nebraska.gov, respectively.

A copy of the proposed changes is available online at http://www.sos.ne.gov, or by contacting DHHS at the mailing address or email above, or by phone at (402) 471-8417. The fiscal impact statement for these proposed changes may be obtained at the office of the Secretary of State, Regulations Division, 1201 N Street, Suite 120, Lincoln, NE 68508, or by calling (402) 471-2385.

Auxiliary aids or reasonable accommodations needed to participate in a hearing can be requested by calling (402) 471-8417. Individuals with hearing impairments may call DHHS at (402) 471-9570 (voice and TDD) or the Nebraska Relay System at 711 or (800) 833-7352 TDD at least 2 weeks prior to the hearing.

# **FISCAL IMPACT STATEMENT**

Agency: Department of Health and Human Services		
Title: 172	Prepared by: Kris Chiles	
Chapter: 155	Date prepared: 7/5/2019	
Subject: Psychology Licenses	Telephone: 402-471-0185	

Type of Fiscal Impact:

	State Agency	Political Sub.	Regulated Public
No Fiscal Impact	( 🛛 )	( 🖂 )	( 🛛 )
Increased Costs	( 🗆 )	( 🗆 )	( 🗆 )
Decreased Costs	( 🗆 )	( 🗆 )	( 🗆 )
Increased Revenue	( 🗆 )	( 🗆 )	( 🗆 )
Decreased Revenue	( 🗆 )	( 🗆 )	( 🗆 )
Indeterminable	( 🗆 )	( 🗆 )	( 🗆 )

Provide an Estimated Cost & Description of Impact: There is no fiscal impact based on the changes made to 172 NAC 155 to State Agencies, Political Subdivisions, or the Regulated Public.

State Agency:

Political Subdivision:

Regulated Public:

If indeterminable, explain why:

Effective Date 10/30/04

TITLE 172 PROFESSIONAL AND OCCUPATIONAL LICENSURE

# CHAPTER 155 PRACTICE OF PSYCHOLOGY

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TITLE 172

CHAPTER 155 PRACTICE OF PSYCHOLOGY

<u>155-001 SCOPE AND AUTHORITY:</u> These regulations apply to licensure of psychologists as defined by <u>Neb. Rev. Stat.</u> §71-1,206.01 to §71-1,206.35 and the Uniform Licensing Law.

#### 155-002 DEFINITIONS

<u>Act means Neb. Rev. Stat.</u> §§ 71-1,206.01 to 71-1,206.35 known as the Practice of Psychology section of the Uniform Licensing Law.

ASPPB means the Association of State and Provincial Psychology Boards.

<u>Attest/Attestation</u> means that the individual declares that all statements on the application/petition are true and complete.

Board means the Board of Psychologists.

<u>Board-developed Jurisprudence Examination</u> means an examination administered orally or in writing as determined by the Board, covering the statutes, regulations governing psychology in Nebraska, and relevant federal laws and ethical standards in psychology.

<u>CPQ</u> means the Certificate of Professional Qualification in Psychology issued by ASPPB. Receipt of this certificate demonstrates compliance with ASPPB recommended standards for licensure as evidenced by providing original source documentation of education, training, and history of competent and ethical practice.

<u>Client or Patient means a recipient of psychological services within the context of a professional</u> relationship. In the case of individuals with legal guardians, including minors and incompetent adults, the legal guardian will also be considered a client or patient for decision-making purposes. Remains in section 002 as modified

<u>Code of Conduct</u> means the set of regulatory rules of professional conduct which has been adopted by the Board, 172 NAC156 and 157, to protect the public welfare by providing rules that govern a professional's behavior in the professional relationship.

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<u>Completed Application</u> means an application with all of the information requested on the application completed, the signature of the applicant, fees and all required documentation submitted.

#### Continuing Competency means activities to ensure:

- The maintenance by a credentialed person of knowledge and skills necessary to competently practice <u>psychology</u>.
- 2. The utilization of new techniques based on scientific and clinical advances, and
- The promotion of research to assure expansive and comprehensive services to the public.

It is the competency required as a condition of licensure renewal, pursuant to <u>Neb. Rev. Stat.</u> § 71-161.10.

- Continuing Competency Activities include:

- 1. Developing and teaching an academic course.
- 2. Satisfactorily completing a graduate level academic course.
- 3. Authoring or editing a psychological practice oriented publication.
- 4. Presenting or attending workshops, seminars, symposia, colloquia, invited speaker sessions, or meetings of professional or scientific organizations.
- 5. Homestudy or videos.

Department means the Department of Health and Human Services Regulation and Licensure of the State of Nebraska.

<u>Direct Service</u> means a variety of activities, during the internship and/or post doctoral experience,associated with a client system, including collateral contacts, for purposes of providing psychological services. Examples of direct services are: Remains in section 002 as modified

#### Interviewing;

- 2. Therapy;
- 3. Case Conferences;
- Behavioral Observations and Management;
- 5. Evaluations;
- 6. Treatment Planning;
- 7. Testing;
- 8. Consultations; and
- 9. Biofeedback. Remains in section 002 as modified

Examples of Non-Direct Services are: Remains in section 002 as modified

Insurance/Managed Care Reviews Relating to Payment Judgements;

- 2. Class Room Teaching;
- Supervising Provisionally Licensed Mental Health Practitioners; and

### Receiving Supervision. Remains in section 002 as modified

<u>Director</u> means the Director of Regulation and Licensure or the Chief Medical Officer if one has been appointed pursuant to Neb. Rev. Stat. § 81-3201 for performance of the duties set out in that statute.

<u>Doctoral Degree</u> means a doctoral degree from a program of graduate study in professional psychology from an institution of higher education. The degree must be obtained from a program of graduate study in psychology that meets the standards of accreditation adopted by the American Psychological Association or be presented in the form of a certificate of retraining from a doctoral program in psychology which meets standards of accreditation adopted by the American Psychological Association. It is the responsibility of the applicant to provide evidence to demonstrate equivalency if the program is not accredited by the American Psychological Association.

<u>Inactive License</u> means the voluntary termination of the right or privilege to practice psychology. The licensee retains the right or privilege to represent himself or herself as having an inactive license.

<u>Institution of Higher Education</u> means a university, professional school, or other institution of higher learning that:

- 1. In the United States, is regionally accredited by a regional or professional accrediting organization recognized by the United States Department of Education;
- 2. In Canada, holds a membership in the Association of Universities and Colleges of Canada; or
- 3. In other countries, is accredited by the respective official organization having such authority.

<u>Internship</u> means a program meeting the standards of accreditation adopted by the American Psychological Association. It is the responsibility of the applicant to provide evidence to demonstrate equivalency if the program is not accredited by the American Psychological Association.

<u>Lapsed License</u> means the voluntary termination of the right or privilege to represent oneself as a licensed person and to practice psychology.

<u>Licensed Psychologist</u> means a person who is licensed to practice psychology pursuant to <u>Neb.</u> <u>Rev. Stat.</u> §71-1,206.15 and who holds a current license issued by the Department pursuant to Statute.

Any reference to a person certified to practice clinical psychology under the law in effect immediately prior to September 1, 1994, and any equivalent reference under the law of another jurisdiction, including, but not limited to, certified clinical psychologist, health care practitioner in psychology, or certified health care provider, is construed to refer to a licensed psychologist. Major Mental and Emotional Disorders mean: Entire section remains in section 002 as modified

1. Any mental and emotional disorder in which the following behaviors occur or might reasonably be expected to occur regardless of specific diagnoses or the nature of the presenting complaint:

- a. Persistent and/or severe suicidal or homicidal thinking and/or behaviors;
- b. Persistent and/or severe behaviors injurious to self and/or others;
- c. Psychotic symptoms which include delusions, hallucinations, or formal
- thought disorders; or
- d. Physical complaints or signs suggestive of deterioration or anomaly in physiological, psychophysiological, or neuropsychological functioning.
- The following diagnoses as referenced in the current edition of the Diagnostic and Statistical Manual of Mental Disorders and the International Classification of Diseases are major mental disorders:
  - a. Schizophrenia;
  - b. Major depressive disorder;
  - <mark>c. Bipolar disorder;</mark>
  - d. Delusional disorder;
  - <mark>e. Psychotic disorder;</mark>
  - f<mark>. Panic disorder; and</mark>
  - g. Obsessive compulsive disorder.
- 3. Certain diagnoses which are referenced in the current edition of the Diagnostic and Statistical Manual of Mental Disorders and the International Classification of Diseases, such as dissociative disorders, post traumatic stress disorder, dissociative identity disorder, severe eating disorder, and borderline personality disorder, are more likely than others to demonstrate one or more of the above behavioral criteria, although these diagnoses alone do not constitute a major mental disorder.
- 4. Persons who have exhibited behaviors consistent with a major mental disorder in the past have an increased probability of exhibiting such behaviors in the future, especially under stress.

<u>Mental and Emotional Disorder</u> means a clinically significant behavioral or psychological syndrome or pattern that occurs in a person and is associated with present distress or disability or with significantly increased risk of suffering death, pain, disability, or an important loss of freedom. Such disorders may take many forms and have varying causes but must be considered a manifestation of behavioral, psychological, or biological dysfunction in the person. Reasonable descriptions of the kinds and degrees of mental and emotional disorders may be found in the revisions of accepted nosologies such as the International Classification of Diseases and the Diagnostic and Statistical Manual of Mental Disorders.

<u>NAC</u> means the Nebraska Administrative Code, the system for classifying State agency rules and regulations. These regulations are 172 NAC 155.

<u>National Register means the National Register of Health Service Providers in Psychology</u> (NRHSPP). The National Register will function as a credentials bank by verifying that the psychologist applying for licensure in Nebraska has previously submitted primary source documentation demonstrating completion of specific education and training, holds an active unrestricted license, and has maintained professional and ethical standards. Remains in section 002 as modified

National Standardized Examination means the Examination for Professional Practice in Psychology (EPPP) developed by the Professional Examination Service (PES). Remains in section 002 as modified

<u>Official Transcript</u> means a document issued by and under the original seal of the educational institution.

Postdoctoral Experience means work as a psychologist under the direct supervision of a licensed psychologist qualified to offer the services provided. To be postdoctoral, the experience must follow the formal awarding of the doctoral degree by an appropriate institution of higher education. Such experience must be compatible with knowledge and skills acquired during formal doctoral or postdoctoral education in accordance with professional requirements and relevant to the intended area of practice. Remains in section 002 as modified

<u>Practice of Psychology</u> means the observation, description, evaluation, interpretation, or modification of human behavior by the application of psychological principles, methods, or procedures for the purpose of preventing or eliminating symptomatic, maladaptive, or undesired behavior and of enhancing interpersonal relationships, work and life adjustment, personal effectiveness, behavioral health, and mental health.

The practice of psychology includes, but is not limited to, psychological testing and the evaluation or assessment of personal characteristics such as intelligence, personality, abilities, interests, aptitudes, and psychophysiological and neuropsychological functioning; counseling, psychoanalysis, psychotherapy, hypnosis, biofeedback, and behavior analysis and therapy; diagnosis and treatment of mental and emotional disorders, alcoholism and substance abuse, disorders of habit or conduct, and the psychological aspects of physical illness, accident, injury, or disability; psychoeducational evaluation, therapy, remediation, and consultation; and supervision of qualified individuals performing services specified in 172 NAC 155.

Psychological services may be rendered to individuals, families, groups, organizations, institutions, and the public. The practice of psychology is considered within the meaning of this definition without regard to whether payment is received for services rendered.

<u>Psychological Assistant or Psychologist Associate</u> means an individual whose supervision is registered with the Department and who administers and scores and may develop interpretations of psychological testing under the supervision of the licensed psychologist. Such individuals must be deemed to be conducting their duties as an extension of the legal and professional authority of the supervising psychologist and must not independently provide interpretive information or treatment recommendations to clients or other health care professionals prior to obtaining appropriate supervision.

To use the title Psychologist Associate, the individual must have carried out the duties described above as part of his/her employment in an institution accredited by the Department of Public Institutions, the State Department of Education, or the Department of Correctional Services for a period of 2 years prior to September 1, 1994, may use the title psychologist associate in the context of their employment in such settings. Use of the title must be restricted to duties described in this subdivision, and the title must be used in its entirety. Partial or abbreviated use of the title and use of the title beyond what is specifically authorized in this subdivision will constitute the unlicensed practice of psychology.

<u>Psychologist</u> means a person licensed to engage in the practice of psychology in this or another jurisdiction. The terms certified, registered, chartered, or any other term chosen by a jurisdiction to authorize the autonomous practice of psychology are considered equivalent terms.

<u>Qualified Physician</u> means an individual with a current license to practice medicine and surgery and has specialized training in mental health treatment or is a Board Certified Psychiatrist. Remains in section 002 as modified

<u>Reciprocity Agreement</u> means an agreement entered into with ASPPB in order to facilitate professional mobility through the recognition by each jurisdiction that said jurisdictions meet the educational, training and examination requirements set out by ASPPB. Refers to 38-3118

Regular Employment for purposes of <u>Neb. Rev. Stat. §71-1,206.25(4) means</u>. Entire section remains in section 002 as modified

- <u>That the work is done in the context of an employer-employee relationship;</u>
- That the contract is such that the school district takes legal responsibility for all actions by the school psychologist;
- That the school system directly pays the school psychologist for all services rendered and extracts withholding under income tax provisions; and
- 4. That the agreed-upon school psychological services are provided in the context of a comprehensive service delivery system and are not limited to any specific type of service and include opportunities for follow-up and continuing consultation.

<u>Representation as a Psychologist</u> means that the person uses any title or description of services which incorporates the words psychology, psychological, or psychologist or which implies that s/he possesses expert qualification in any area of psychology or that the person offers to individuals or to groups of individuals services defined as the practice of psychology.

<u>Special License to Practice Psychology</u> means a license to practice those activities defined as the practice of psychology, except diagnosing and/or treating of major mental and emotional disorders. A person who holds a special license must not supervise other mental health professionals or independently evaluate persons under the Nebraska Mental Health Commitment Act. Psychologists practicing with special licenses may continue to use the title licensed psychologist but must disclose supervisory relationships to clients or patients for whom supervision is required and to third-party payors when relevant.

<u>Supervising Licensed Psychologist means a Nebraska licensed psychologist (not a special licensed) who holds a full and unrestricted license and provides supervision on a regularly scheduled basis.</u> Remains in section 002 as modified

Supervision means: Entire section (with the exception those identified) moved to section 011 as modified

<u> </u>	Provisional Psychology License: A professional relationship in which a licensed
	<del>psychologist assumes full legal and professional responsibility for the work of the</del>
	supervisee. The purpose of supervision is to provide training to assist the supervisee
	to achieve full licensure. The supervisor must:
	a. Hold a current unrestricted Nebraska license as a psychologist; Moved to sectio
	010 as modified
	b. <u>Co-sign all clinical documentation; and</u>
	c. Meet with the provisional licensee at least one continuous hour per week on a
	regularly scheduled basis. Such meeting may include face-to-face consultation o
	interactive video, and must ensure confidentiality of the conversation. In the cas
	of geographical or confirmed physical hardship, the Board may consider variance
	in the frequency of supervision sessions providing that a minimum of 4 hours per
	month of face-to-face supervision is maintained.
	A primary supervisor may supervise up to 4 individuals holding either a provisional
	psychology license or a provisional mental health practitioner license.
	psychology license of a provisional mental nearly practitioner license.
<u>        2.   </u>	<u>-Special Psychology License: The supervisor will be responsible for determining the</u>
	extent and character of supervision, keeping in mind the education and experience o
	<mark>the supervisee. The supervisor assumes full legal and professional responsibility for</mark>
	<mark>any work by the supervisee relating to major mental disorders. In all cases the</mark>
	supervisor must be competent to provide the services being supervised. The
	supervisor must:
	a. Hold a current unrestricted Nebraska license as a psychologist; Moved to
	section 010 as modified
	b. Co-sign all clinical documentation relating to major mental disorders; and
	c. Meet with the supervisee at the supervisor's discretion.
<mark>3.—</mark>	-Provisional Mental Health Practitioner: A professional relationship in which a license
	psychologist has oversight responsibility for the mental health practice of the
	supervisee. The purpose of supervision is to provide training to assist the supervise
	to achieve full licensure as a mental health practitioner. The supervisor must:
	a. Hold a current unrestricted Nebraska license as a psychologist; and

- b. Meet with the provisional licensee at least one hour per week. Such meeting must be face-to-face and in accordance with 172 NAC 94, Regulations Governing the Practice of Mental Health Practice.
- <u>Psychological Assistant or Psychologist Associate:</u> Is a professional relationship in which a licensed psychologist (not an individual who holds a special license to practice psychology) has oversight responsibility for the psychological work of an individual who administers and scores and may develop interpretations of psychological testing under the supervision of the licensed psychologist. Such individuals are deemed to be conducting their duties as an extension of the legal and professional authority of the supervising psychologist and must not independently provide interpretive information or treatment recommendations to clients or other health care professionals prior to obtaining appropriate supervision.

The purpose of this supervision will be to provide oversight that insures competent and ethical practice in accordance with the statutes and Code of Conduct as promulgated by this Board. The supervisor:

- Assumes full legal and professional responsibility for the work of the supervisee and must be fully competent to provide the psychological services which are being supervised;
- Must have a current unrestricted Nebraska license; Moved to section 010 as modified
- 3. Must co-sign professional reports prepared by the associate or assistant; and
- 4. Must meet at least one hour per week with the supervisee. Such meeting may include face-to-face consultation, video, telephone, or other means of communication, and must ensure confidentiality of the conversation.

Verified means sworn to before a Notary Public.

<u>155-003\_LICENSE\_REQUIREMENTS: A person may represent him/herself to be a psychologist or engage in the practice of psychology only when s/he is licensed, except individuals who meet the criteria pursuant to <u>Neb. Rev. Stat.</u> § 71-1,206.25. The criteria for issuance of a license and the documentation required by the Department and the Board are set forth below. Remains in section 004 as modified</u>

## 155-003.01 INITIAL LICENSURE

<u>155-003.01A Requirements:</u> An applicant for an initial license to practice psychology must:

- Have a doctoral degree from a program of graduate study in professional psychology from an institution of higher education as defined in 172 NAC 155-002.
  - The degree must be obtained from a program of graduate study in psychology that meets the standards of accreditation adopted by the American Psychological Association.

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	b. The applicant must provide evidence to demonstrate equivalency if the program is not accredited by the American Psychological Association.
	(1) Any applicant from a doctoral program in psychology that does not meet 172 NAC 155-003.01A item 1a or 1b must present a certificate of retraining from a program of respecialization that does meet such standards;
	— 2. Have completed two years of supervised professional experience; Entire
	section remains in section 004 as modified
ł	a. One year must be an internship, which _
	(1) Is accredited by the American Psychological Association; or
	(2) Meets the standards of accreditation adopted by the American Development of accreditation adopted by the American
	Psychological Association, as follows:
	(a) Is at least 12 months in duration, consisting of at least 1,500
	or more hours in not more than 24 months. School
	<del>psychology internships may be 10 months in duration;</del>
	(b) The purpose of the internship is to train psychologists for the
	independent provision of direct psychology services;
	(c) It is directed by a Licensed Psychologist;
	(d) It is sequentially organized (progressively increases levels of
	responsibility and skills);
	(e) Requires 4 hours of supervision per week, 2 of the 4 hours
	<mark>must be individual face-to-face with 2 or more supervising</mark>
	licensed psychologists on-site;
	(f) Must include positions for 2 or more psychology interns;
	— (g) The transcript must show completion of practica prior to
	entering internship; and
	(h) The psychology staff must include a minimum of 3 on-site
	supervising licensed psychologists.

b. One year must be supervised postdoctoral experience. If the postdoctoral experience is to be earned in Nebraska, it must be: Entire section remains in section 004 as modified

(1)	Registered with the Department prior to commencement in accordance with
	172 NAC 155-003.02;
(2)	Under the supervision of a licensed psychologist (a special licensed
	<del>psychologist can not supervise);</del>
<del>(3)</del>	1,500 or more hours in total duration, including 1,000 or more hours of direct
	service hours earned in not more than 24 months;

(4) Meets the standards of supervision specified in 172 NAC155-002; and (5) Compatible with the knowledge and skills acquired during formal doctoral or postdoctoral education in accordance with professional requirements and relevant to the intended area of practice;

If the postdoctoral experience is earned outside of Nebraska, it must be:

(1) Under the supervision of a licensed psychologist or similar title in said state; (2) 1,500 or more hours in total duration, including 1,000 or more hours of direct service hours earned in not more than 24 months; and

(3) Compatible with the knowledge and skills acquired during formal doctoral or postdoctoral education in accordance with professional requirements and relevant to the intended area of practice. Remains in section 004 as modified

3. Have passed the national standardized examination with a minimum score at the national pass score for all doctoral candidates and have passed the Board-developed jurisprudence examination with a score of at least 80%. Remains in section 004 as modified

— a. Applicants who are Board certified in an area of professional psychology by the American Board of Professional Psychology are not required to take the national standardized examination. Remains in section 004 as modified

4. Have attained the age of majority and have good moral character.

<u>155-003.01B Application Process:</u> The following must be submitted to the Department:

1. A complete application on Attachment A attached to these regulations and incorporated by this reference or an alternate form that contains all the same information. Only applications which are complete will be considered;

2. An official transcript showing proof of a doctoral degree in psychology. The transcript must be submitted directly by the institution of higher education issuing the transcript or ASPPB's credentialing data bank or the National Register. ASPPB and the National Register are sources of primary verification; Remains in section 004 as modified

3. Official documentation of passing the national standardized examination or evidence of certification by the American Board of Professional Psychology and official documentation of passing the Board-developed jurisprudence examination. If the examination was taken in a State other than Nebraska, said documentation of passing the submitted directly by the Professional Examination Service or current examination vendor/owner as approved by the Board; Remains in section 004 as modified

4. A complete affidavit of internship on Attachment A4 attached to these regulations and incorporated by this reference or an alternate form that contains all the same information. Affidavits must be submitted directly by the supervisor/internship Director; Remains in section 004 as modified

- 5. A complete affidavit of supervised postdoctoral experience on Attachment A5 attached to these regulations and incorporated by this reference or an alternate form that contains all the same information. Affidavits must be submitted directly by the supervisor;
- 6. Evidence of having attained at least the age of majority, examples are:
- a. Driver's license;
- b. Marriage certificate / divorce decree;
- C. Transcript which contains birth date; or
- d. Other similar documentation;
- 7. If any disciplinary action was taken against the applicant's license by another state, an official copy of the disciplinary action, including charges and disposition;

8. If the applicant has been convicted of a felony or misdemeanor:

- (a) Official Court Record, which includes charges and disposition;
- (b) Copies of arrest records;
- (c) A letter from the applicant explaining the nature of the conviction;
- (d) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required; and
- (e) A letter from the probation officer addressing the probationary conditions and current status, if the applicant is currently on probation;
- 9. Attestation by the applicant:
  - That s/he has not practiced in Nebraska prior to the application for a license; or
- b. To the actual number of days practiced in Nebraska prior to the application for a license; and

10. The required license fee.

<u>155-003.01C Pro-rated Fee:</u> When a credential will expire within 180 days after its initial issuance date, the Department will collect \$25 and the Licensee Assistance Program fee of \$1, and the credential will be valid until the next subsequent renewal date.

<u>155-003.01D</u>Administrative Penalty/Other Action: An individual who practices prior to issuance of a credential is subject to assessment of an Administrative Penalty pursuant to 172 NAC 155-012, or such other action as provided in the statutes and regulations governing the credential.

<u>155-003.01E Department Review:</u> The Department will act within 150 days upon all completed applications for license.

<u>155-003.02 PROVISIONAL LICENSURE: A person who needs to obtain the one-year of postdoctoral experience must obtain a provisional license. Hours may not be earned prior to issuance of a provisional license.</u> Remains in section 004 as modified

<u>155-003.02A Requirements:</u> An applicant for a provisional license must:

- Have a doctoral degree from a program of graduate study in professional psychology from an institution of higher education as defined in 172 NAC 155-002.
- The degree must be obtained from a program of graduate study in psychology that meets the standards of accreditation adopted by the American Psychological Association;
- The applicant must provide evidence to demonstrate equivalency if the program is not accredited by the American Psychological Association;
  - (1) Any applicant from a doctoral program in psychology that does not meet 172 NAC 155-003.02A item 1a or 1b must present a certificate of retraining from a program of respecialization that does meet such standards;
- 2. Have completed a one year internship, which:
  - (a) Is accredited by the American Psychological Association; or
  - (b) Meets the standards of accreditation adopted by the American Psychological Association as follows:
    - (1) Is at least 12 months in duration, consisting of at least 1,500 or more hours in not more than 24 months. School psychology internships may be 10 months in duration;
    - (2) The purpose of the internship is to train psychologists for the independent provision of direct psychology services;
  - (3) It is directed by a Licensed Psychologist;
    - (4) It is sequentially organized (progressively increases levels of responsibility and skills);
    - (5) Requires 4 hours of supervision per week, 2 of the 4 hours must be individual face-to-face with 2 or more supervising licensed psychologists on-site;
    - (6) Must include positions for 2 or more psychology interns;
    - (7) The transcript must show completion of practica prior to entering internship; and
    - (8) The psychology staff must include a minimum of 3 on-site supervising licensed psychologists; and
- 3. Be of the age of majority and of good moral character.
- <u>155-003.02B Application Process: The following must be submitted to the Department:</u>

- 1. A complete application on Attachment B attached to these regulations and incorporated by this reference or an alternate form that contains all the same information. Only applications which are complete will be considered;
- An official transcript showing proof of a doctoral degree in psychology. The transcript must be submitted directly by the institution of higher education issuing the transcript or ASPPB's credentialing data bank or the National Register. ASPPB and the National Register are sources of primary verification;
- 3. Evidence of having attained at least the age of majority, examples are:
  - a. Driver's license;
  - b. Marriage certificate / divorce decree;
  - c. Transcript which contains birth date; or
  - d. Other similar documentation;
- 4. If any disciplinary action was taken against the applicant's license by another state, an official copy of the disciplinary action, including charges and disposition;
- 5. If the applicant has been convicted of a felony or misdemeanor:
  - (a) Official Court Record, which includes charges and disposition;
  - (b) Copies of arrest records;
    - (c) A letter from the applicant explaining the nature of the conviction;
      - (d) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required; and
      - (e) A letter from the probation officer addressing the probationary conditions and current status, if the applicant is currently on probation; and
    - 6. Attestation by the applicant:
      - a. That s/he has not practiced in Nebraska prior to the application for a license; or
  - b. To the actual number of days practiced in Nebraska prior to the application for a license.
- 7. The required provisional license fee.

<u>155-003.02C</u> Compliance with Supervisory Requirements: The supervisor and applicant must comply with the supervisory requirements specified in 172 NAC 155-002.

<u>155-003.02D Re-issuance: The provisional license may be re-issued one time, upon approval by the Board and submission of a new application pursuant to this section.</u> Remains in section 005.01 as modified

<u>155-003.02E</u> Termination of Supervision: If a supervisor of a provisional licensee terminates supervision, s/he must immediately notify the Department in writing of the date of termination. The Department will record said termination date in the record.

<u>155-003.02F Change of or Additional Supervisor(s):</u> If a change in or additional supervisor(s) occurs, the provisional licensee must file an application with the Department which reflects said change on Attachment B or an alternate form which contains the same information.

<u>155-003.02G</u> Administrative Penalty/Other Action: An individual who practices prior to issuance of a credential is subject to assessment of an Administrative Penalty pursuant to 172 NAC 155-012, or such other action as provided in the statutes and regulations governing the credential.

<u>155-003.02H Department Review:</u> The Department will act within 150 days upon all completed applications for license.

<u>155-003.03\_TWENTY YEARS OF PSYCHOLOGY LICENSURE:</u> Procedures for Issuance of a License to Practice Psychology for Persons who have at Least 20 years of Licensure to Practice Psychology in the United States or Canada Remains in section 006 as modified

<u>155-003.03A Requirements: An applicant must:</u>

Hold a current license based on a doctoral degree in psychology;

2. Have at least 20 years of licensure to practice psychology in the United States or Canada; Remains in section 006 as modified

- 3. Have had no disciplinary sanction during the entire period of licensure;
- Have passed the Nebraska Board-developed jurisprudence examination with a minimum score of 80%; and

5. Be of good moral character.

### 155-003.03B Application Process: The following must be submitted to the Department:

- 1. A complete application on Attachment D attached to these regulations and incorporated by this reference or an alternate form that contains all the same information. Only applications which are complete will be considered;
- 2. An official transcript showing proof of a doctoral degree in psychology. The transcript must be submitted directly by the institution of higher education issuing the transcript or ASPPB's credentialing data bank or the National Register. ASPPB and the National Register are sources of primary verification; Remains in section 006 as modified
- 3. Verification of holding a current license based on a doctoral degree in psychology; Remains in section 006 as modified

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4	Official documentation verifying the licensee has had no disciplin the entire period of licensure on Attachment D1 attached to these incorporated by this reference or an alternate form that contains a information;	regulations and
-5	If any disciplinary action was taken against the applicant's license state, an official copy of the disciplinary action, including charges	
<del>-6.</del>	If the applicant has been convicted of a felony or misdemeanor:	
- - -	<ul> <li>General Court Record, which includes charges and disposition</li> <li>(b) Copies of arrest records;</li> <li>(c) A letter from the applicant explaining the nature of the convision</li> <li>(d) All addiction/mental health evaluations and proof of treatment</li> </ul>	ction;
-	conviction involved a drug and/or alcohol related offense and was obtained and/or required; and	•
	<ul> <li>(e) A letter from the probation officer addressing the probationa and current status, if the applicant is currently on probation;</li> </ul>	ry conditions
<del>7</del>	Official documentation of passing the Board-developed jurisprude examination; Remains in section 006 as modified	<del>ence</del>
	Attestation by the applicant:	
	<ul> <li>a. That s/he has not practiced in Nebraska prior to the applicat or</li> </ul>	ion for a license;
	b. To the actual number of days practiced in Nebraska prior to for a license; and	the application
<del>9.</del>	The required licensure fee.	
issuance	.03C Pro-rated Fee: When a credential will expire within 180 days a date, the Department will collect \$25 and the Licensee Assistance the credential will be valid until the next subsequent renewal date.	
<u> </u>	03D Administrative Penalty/Other Action: An individual who pract	ices prior to

<u>issuance of a credential is subject to assessment of an Administrative Penalty pursuant to</u> 172 NAC 155-012, or such other action as provided in the statutes and regulations governing the credential.

<u>155-003.03E Department Review:</u> The Department will act within 150 days upon all completed applications for license.

155-004\_RECIPROCITY (LICENSURE MOBILITY) LICENSURE REQUIREMENTS: An applicant for a license to practice psychology on the basis of licensure from another jurisdiction may reciprocate licensure to Nebraska, if the requirements for a license in the other jurisdiction are equal to or exceed the requirements for licensure in Nebraska. The criteria for issuance of a license and the documentation required by the Department and the Board are set forth below. Remains in section 007 as modified

## 155-004.01 Reciprocity

<u>155-004.01A Requirements:</u> An applicant for a license to practice psychology based on reciprocity must:

- 1. Provide documentation that the standards regulating the practice of psychology in the other jurisdiction are equivalent to those maintained in Nebraska as evidenced by:
  - a. Holding the ASPPB Certificate of Professional Qualification (CPQ);
  - b. Licensure in a state participating in the ASPPB Reciprocity Agreement;
  - c. A current credential at the doctoral level as a Health Service Provider by the National Register of Health Service Providers; or
  - d. Meeting the requirements for initial licensure as specified in 172 <u>NAC 155-</u> 003.01;
- 2. Successfully pass of the Board-developed jurisprudence examination with a score of at least 80%; and
- 3. Have been in the active and continuous practice under license or certification in the State, territory, or District of Columbia from which s/he comes for at least one year; and have been actively engaged in the practice under such license or certificate or in an accepted residency or graduate training program for at least one of the three years immediately preceding the application for licensure.
- <u>155-004.01B Application Process:</u> The following must be submitted to the Department:
  - 1. A complete application on a form provided by the Department, as Attachment A or an alternate form that contains the same information. Only applications which are complete will be considered;

#### Evidence of: Remains in section 007 as modified

- a. Holding the ASPPB Certificate of Professional Qualification (CPQ);
- b. Licensure in a state participating in the ASPPB Reciprocity Agreement;
- c. A current credential at the doctoral level as a Health Service Provider by the National Register of Health Service Providers; or
- d. Meeting the requirements for initial licensure as specified in 172 NAC 155-003.01 for initial licensure;
- 3. Evidence of having attained at least the age of majority, examples are:
  - a. Driver's license;
  - b. Marriage certificate / divorce decree;
  - c. Transcript which contains birth date; or
  - d. Other similar documentation;

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4.—	If any disciplinary action was taken against the applic state, an official copy of the disciplinary action, includ
<del>5.</del>	If the applicant has been convicted of a felony or mise
	<ul> <li>a. Official Court Record, which includes charges and</li> <li>b. Copies of arrest records;</li> <li>c. A letter from the applicant explaining the nature o</li> <li>d. All addiction/mental health evaluations and proof conviction involved a drug and/or alcohol related or alc</li></ul>

- ainst the applicant's license by another y action, including charges and disposition;
- a felony or misdemeanor:
  - les charges and disposition;
  - ng the nature of the conviction;
  - ions and proof of treatment, if the alcohol related offense and if treatment was obtained and/or required; and
  - A letter from the probation officer addressing the probationary conditions and <del>e.</del> current status, if the applicant is currently on probation;
- Attestation by the applicant:
  - That s/he has not practiced in Nebraska prior to the application for a license; or
  - h To the actual number of days practiced in Nebraska prior to the application for a license:
- 7. The required licensure fee; and
- 8. Documentation that the applicant has been in the active and continuous practice under license or certification in the State, territory, or District of Columbia from which s/he comes for at least one year; and has been actively engaged in the practice under such license or certificate or in an accepted residency or graduate training program for at least one of the three years immediately preceding the application for licensure.

<u>155-004.01C If the application is not based on a CPQ, National Register, or Reciprocity</u> Agreement, the applicant must have the licensing or certifying agency submit to the Department:

- A certification that the applicant is duly licensed or certified, that his or her license or certificate has never been suspended or revoked, and that so far as the record of the agency is concerned, the applicant is entitled to its endorsement on Attachment A3 attached to these regulations and incorporated by this reference or an alternate form that includes the same information;
- The nature of disciplinary actions or pending disciplinary actions, if any, taken 2 against the applicant's license or certificate;
- 3. The date of the applicant's initial license or certification;
- 4. The name of the examination on which licensure or certification was based;
- 5\_\_\_\_ The score attained on the examination: and

6. Documentation of the requirements for licensure or certification in the other jurisdiction which are currently in effect and which were in effect at the time the license was issued.

<u>155-004.01D</u> If the applicant's license or certification was based on an examination other than the national standardized examination, the applicant must take the national standardized licensure examination.

1. Applicants who are Board certified in an area of professional psychology by the American Board of Professional Psychology are not required to take the national standardized examination.

<u>155-004.01E Board Review:</u> The Board will review the documents submitted to determine if the applicant's license or certificate issued by the other jurisdiction was based upon requirements which are equal to those maintained in Psychology in Nebraska and will make a recommendation to the Department.

155-004.01F <u>Pro-rated Fee:</u> When a credential will expire within 180 days after its initial issuance date, the Department will collect \$25 and the Licensee Assistance Program fee of \$1, and the credential will be valid until the next subsequent renewal date.

<u>155-004.01G</u>Administrative Penalty/Other Action: An individual who practices prior to issuance of a credential, is subject to assessment of an Administrative Penalty pursuant to 172 NAC 155-012, or such other action as provided in the statutes and regulations governing the credential.

<u>155-004.01H Department Review:</u> The Department will act within 150 days upon all completed applications for license.

<u>155-004.02 Temporary License</u>Remains in section 007.03 as modified

<u>155-004.02A Requirements: A psychologist licensed under the laws of another</u> jurisdiction may be authorized by the Board to practice psychology for a maximum of one year pending successful passage of the board-developed jurisprudence examination. An applicant must:

- 1. Have made application, in accordance with 172 NAC 155-004.01, to the Board for licensure as a Psychologist in Nebraska and such application has been approved by the Board;
- 2. Have met the educational and experience requirements for licensure in Nebraska as defined in 172 NAC 155-003.01A1 and 155-003.0101A2;
- 3. Hold a current license in another jurisdiction;
- 4. Have not been the subject of past or pending disciplinary action in this or another jurisdiction; and

5. Submit the required fee.

<u>155-004.02B</u> The Board will review the documents submitted to determine if the applicant's license issued by the other jurisdiction was based upon requirements which are equal to or exceed the requirements for licensure in Nebraska and to ascertain that the applicant has met the educational and experience requirements for licensure in Nebraska.

<u>155-004.02C</u> Upon determination that the applicant has met the requirements of this section, the Board will issue a letter authorizing the practice of psychology for a maximum of one year. This authorization will automatically expire upon issuance/denial of licensure to practice psychology in Nebraska or upon expiration of the one-year period, whichever occurs first.

<u>155-004.02D</u> The applicant must have successfully passed the Board-developed jurisprudence examination with a minimum score of 80% prior to issuance of a license to practice psychology in Nebraska.

<u>155-004.02E Administrative Penalty/Other Action:</u> An individual who practices prior to issuance of a credential is subject to assessment of an Administrative Penalty pursuant to 172

— NAC 155-012, or such other action as provided in the statutes and regulations governing the credential.

<u>155-004.02F\_Department Review:</u> The Board will act within 150 days upon all completed applications for licensure.

155-004.03 THIRTY DAYS PRACTICE WITHIN A ONE YEAR PERIOD: A person holding a doctoral degree in psychology from an institution of higher education who is licensed as a psychologist under the laws of another jurisdiction and who provides no more than an aggregate of 30 days of professional services as a psychologist during the 12 month period beginning with the first date of issuance may be issued a letter to practice. Remains in section 008 as modified

<u>155-004.03A Requirements:</u> The applicant must\_hold a current license in another jurisdiction.

<u>155-004.03B Application Process:</u> The following must be submitted to the Department:

- A complete application on Attachment Q attached to these regulations and incorporated by this reference or an alternate form which includes the same information. Only applications which are complete will be considered;
- 2. Evidence of the nature and location of his/her practice in Nebraska;
  - -3. If any disciplinary action was taken against the applicant's license by another state, an official copy of the disciplinary action, including charges and disposition;
  - If the applicant has been convicted of a felony or misdemeanor:
     (a) Official Court Record, which includes charges and disposition;

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	<ul> <li>(b) Copies of arrest records;</li> <li>(c) A letter from the applicant explaining the nature of the conviction;</li> <li>(d) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required; and</li> <li>(e) A letter from the probation officer addressing the probationary conditions and current status, if the applicant is currently on probation;</li> </ul>
<del>5.</del>	Attestation by the applicant: a. That s/he has not practiced in Nebraska prior to the application for a license;
	or b. To the actual number of days practiced in Nebraska prior to the application for a license; and
<del>6.</del> -	Have the licensing agency submit Attachment Q1 attached to these regulations and incorporated by this reference or an alternate form which contains the same information. The certification must certify: Remains in section 008 as modified
	a. That the applicant is duly licensed, that his/her license has never been suspended or revoked, and that so far as the record of the agency is concerned, the applicant is entitled to its endorsement; and
	<ul> <li>Documentation of the requirements for licensure in the other jurisdiction which are currently in effect and which were in effect at the time the license was issued.</li> </ul>
if the app	<u>03C Board Review: The Board will review the documents submitted to determine</u> licant's license issued by the other jurisdiction was based upon requirements which I to or exceed the requirements for licensure in Nebraska.
	03D Issuance of Letter: Upon determination that the applicant has met the

<u>155-004.03D</u> Issuance of Letter: Upon determination that the applicant has met the requirements of this section, the Board will issue a letter permitting the practice of psychology for an aggregate of 30 days within the subsequent year. The letter permitting the practice will automatically expire one year from the date of issuance. An individual's permission to practice under this section may be revoked if it is determined by the Department that s/he has engaged in conduct defined as illegal, unprofessional, or unethical under the statutes and rules and regulations governing the practice of psychology in Nebraska.

<u>155-004.03E</u> Administrative Penalty/Other Action: An individual who practices prior to issuance of a credential is subject to assessment of an Administrative Penalty pursuant to 172 NAC 155-012, or such other action as provided in the statutes and regulations governing the credential.

<u>155-004.03F</u><u>Board Recommendation:</u> The Board will act within 150 days upon all completed applications for temporary practice.</u>

155-005\_SUPERVISORY REGISTRATION - SPECIAL LICENSED PSYCHOLOGISTS, PSYCHOLOGICAL ASSISTANTS, PSYCHOLOGIST ASSOCIATES, AND PROVISIONAL MENTAL HEALTH PRACTITIONERS\_Remains in section 009 as modified

<u>155-005.01</u> The following individuals must submit a supervisory registration form, prior to commencing practice, on Attachment E attached to these regulations and incorporated by this reference or an alternate form which contains the same information:

- 1. Special licensed psychologists who wish to diagnose and treat major mental and emotional disorders; or
- 2. Psychological Assistants and Psychologist Associates.

<u>155-005.02</u> Supervisors of provisionally licensed mental health practitioners who are obtaining the required experience for licensure pursuant to Neb. Rev. Stat. §71-1,314(1)(b) must submit the appropriate application as specified in 172 NAC 94.

155-005.03 Procedures for Supervisory Registrations:

- 1. <u>Special Licensed Psychologists:</u> An applicant must:
- a. Hold a current Special License as a Psychologist; and
- b. Submit to the Department:
  - (1) A complete application on Attachment E, or an alternate form which contains the same information;
    - (2) Attestation by the applicant:
      - That s/he has not practiced in Nebraska prior to the application for registration; or
    - b. To the actual number of days practiced in Nebraska prior to the application for registration, and
    - (3) The required supervisory registration fee.

2. <u>Psychological Assistants and Psychologist Associates:</u> An applicant must: Remains in section 009 as modified

- Have a Master's Degree from an approved program as defined in 172 NAC 155-002, in clinical psychology, counseling psychology, or educational psychology or an Educational Specialist Degree in School Psychology; and
- b. Submit to the Department:
  - (1) A complete application on Attachment E, or an alternate form which contains the same information;
    - (2) An official transcript showing proof of a Doctoral degree in psychology. The transcript must be submitted directly by the institution of higher education issuing the transcript; Remains in section 009 as modified
    - (3) Attestation by the applicant:
      - a. That s/he has not practiced in Nebraska prior to the application for registration; or
      - b. To the actual number of days practiced in Nebraska prior to the application for registration; and

(4) The required supervisory registration fee.

<u>155-005.04</u> Termination of Supervision: If a supervisor or registrant terminates supervision, s/he must notify the Department in writing immediately of the date of termination. The Department will record said termination date in the record.

<u>155-005.05 Change of or Additional Supervisor(s): If a change supervisors occurs or additional supervisors are added, the registrant must file an application with the Department which reflects said change on Attachment E or an alternate form which contains the same information. Remains in section 009 as modified</u>

<u>155-005.06</u> Administrative Penalty/Other Action: An individual who practices prior to issuance of a credential, is subject to assessment of an Administrative Penalty pursuant to 172 NAC 155-012, or such other action as provided in the statutes and regulations governing the credential.

<u>155-005.07 Department Review:</u> The Department will act within 150 days upon all completed applications for registration.

## 155-006 EXAMINATION

<u>155-006.01</u> Eligibility – National Standardized Examination: To be eligible to take the national standardized examination, an applicant must have met all the requirements for licensure that are specified in 172 NAC 155-003.01, 155-003.02, or 155-003.03, with the exception of completion of the post-doctoral experience and passage of the national standardized examination and the Board-developed jurisprudence examination. An applicant for initial licensure must also have been issued a provisional license.

<u>155-006.02</u> Eligibility – Board-Developed Jurisprudence Examination: To be eligible to take the Board-developed jurisprudence examination, an applicant must have met all the requirements for licensure that are specified in 172 NAC 155-003.01, 155-003.02, or 155-003.03, with the exception of completion of the post-doctoral experience and passage of the Board-developed jurisprudence examination and the national standardized examination. An applicant for initial licensure must also have been issued a provisional license.

155-006.03 Administration: The examinations will be administered as follows:

- 1. The national standardized examination will be administered by the Association of State and Provincial Psychology Boards (ASPPB);
- 2. The Board-developed jurisprudence examination will be administered by the Department at least 4 times per year. The applicant will be notified of the date, time, place and other information pertinent to the administration of the examination;
- The Department will notify the applicant in writing of the national standardized examination and the Board-developed jurisprudence examination results within 6 to 8 weeks following the administration of the examinations;
  - If the Board-developed jurisprudence examination is failed, the examinee may retake it without charge;

- 5. An applicant for a license who withdraws his/her application or whose application is rejected by the Department will be allowed the return of his/her fee, except for an administrative fee of \$25.00 to be retained by the Department; and
- 6. An applicant taking the Board-developed jurisprudence examination must submit a complete Board developed examination applicationon Attachment R attached to these regulations and incorporated by this reference or an alternate form which contains the same information; and
- 7. If applicable, the applicant must submit a completed request for special accommodations on Attachment P attached to these regulations and incorporated by this reference or an alternate form that contains all the same information.

155-007 LICENSE RENEWAL PROCEDURES: All licenses issued by the Department under the Act and 172 NAC 155 will expire January 1 of each odd-numbered year. Remains in section 013 as modified

<u>155-007.01</u> Any licensee who wishes to renew his/her license must:

- 1. Meet the continuing competency requirements as specified in 172 NAC 155-009;
- 2. Pay the renewal fee as prescribed in 172 NAC 158; and
- 3. Respond to the following questions:
  - a. Has your license in any profession in another state been revoked, suspended, limited or disciplined in any manner?
  - b. Have you been convicted of a misdemeanor or felony?

These questions relate to the time period since the last renewal of the license or during the time period since initial licensure in Nebraska if such occurred within the two years prior to the license expiration date.

- 4. Cause to be submitted to the Department:
  - a. The renewal notice;
  - b. The renewal fee;
  - c. Attestation of completing 24 hours of continuing competency earned within 24 months of the date of expiration or an application for waiver of continuing competency. Attestation to meeting continuing competency requirements satisfies the submission of the documentation requirement of Neb. Rev. Stat. §71-110;
  - d. If any disciplinary action was taken against the applicant's license by another state, an official copy of the disciplinary action, including charges and disposition;
  - e. If the licensee has been convicted of a felony or misdemeanor:
    - (1) Official Court Record, which includes charges and disposition;
    - (2) Copies of arrest records;
    - (3) A letter from the licensee explaining the nature of the conviction;
    - (4) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required; and
    - (5) A letter from the probation officer addressing the probationary conditions and current status, if the licensee is currently on probation.

<u>155-007.02</u> First Notice: At least 30 days before January 1<sup>st</sup> of each odd-numbered year, the Department will send a renewal notice by means of regular mail to each licensee at the licensee's last place of residence as noted in the records of the Department. It is the responsibility of the licensee prior to the renewal period to notify the Department of any name and/or address changes.

<u>155-007.02A</u> The renewal notice will specify:

- 1. The name of the licensee;
- 2. The licensee's last known address of record;
- 3. The license number;
- 4. The expiration date of the license;
- 5. The renewal fee as prescribed in 172 NAC 158;
- 6. The option to place the license on either inactive or lapsed status; and
- 7. The number of continuing competency hours required for renewal.

<u>155-007.02B</u> The licensee must apply for renewal by submitting to the Department:

<u>1. The renewal notice;</u>

- 2. The renewal fee;
- 3. The licensee's social security number;
- Attestation of completing 24 hours of continuing competency earned with 24 months of the date of expiration or application for a waiver of the continuing competency; and
- 5. Documentation relating to misdemeanor or felony conviction(s) or licensure revocation, suspension, limitation or disciplinary action (if applicable).

<u>155-007.02C</u> If the licensee wishes to place his/her license on either inactive or lapsed status s/he must:

- 1. Request that his/her license be placed on inactive status by submitting to the Department:
  - a. The renewal notice with a check in the box marked inactive; and b. The fee of \$25; or
- 2. Request that his/her license be placed on lapsed status by submitting to the Department:

a. The renewal notice with a check in the box marked lapsed.

<u>155-007.02D</u> The Department will notify the licensee in writing of the acceptance or denial of the request to allow the license to be placed on lapsed or inactive status.

<u>155-007.03</u> Second Notice: The Department will send to each licensee who fails to renew his/her license or place the license on inactive or lapsed status in response to the first notice, a second notice of renewal in accordance with 172 NAC 155-007.01 that specifies:

- 1. That the licensee failed to pay the renewal fee;
- 2. That the license has expired;
- 3. That the licensee is subject to an administrative penalty pursuant to 172 NAC 155-012 if s/he practices after the expiration date;
- 4. That upon receipt of the renewal fee, together with an additional late fee of \$25 and documentation of continuing competency hours within that time, no order of revocation will be entered; and
- 5. That upon failure to receive \$25 in addition to the regular renewal fee, and documentation of continuing competency hours, the license will be revoked as specified in 172 NAC 155-008.
- 155-007.03A The licensee must apply for renewal by submitting to the Department:
  - 1. The renewal notice;
  - 2. The renewal fee and the additional late fee of \$25;
  - 3. The licensee's social security number
  - 4. Attestation by the licensee that:
  - That s/he has not practiced in Nebraska since the expiration of his/her license; or
  - b. To the actual number of days practiced in Nebraska since the expiration of his/her license;
  - 5. Attestation of completing 24 hours of continuing competency earned with 24 months of the date of expiration or an application for waiver of the continuing competency; and
  - 6. Documentation relating to misdemeanor or felony conviction(s) or licensure revocation, suspension, limitation or disciplinary action (if applicable).

<u>155-007.03B</u> If the licensee wishes to place his/her license on either inactive or lapsed status s/he must:

1. Request that his/her license be placed on inactive status by submitting to the Department:

a. The renewal notice with a check in the box marked inactive; and b. The fee of \$25; or

2. Request that his/her license be placed on lapsed status by submitting to the Department:

a. The renewal notice with a check in the box marked lapsed.

<u>155-007.03C</u> The Department will notify the licensee in writing of the acceptance or denial of the request to allow the license to be placed on lapsed or inactive status.

<u>155-007.04</u> When any licensee fails, within 30 days of expiration of a license, to pay the renewal fee, to submit documentation of continuing competency, and/or to pay an additional late fee of \$25, the Department will automatically revoke the license without further notice or hearing and

make proper record of the revocation.

<u>155-007.05</u> Failure to meet the continuing competency requirement for renewal within 30 days of expiration of his/her license will constitute non-renewal of a license, unless a waiver of continuing competency is granted or the license is placed on inactive or lapsed status. When any licensee fails, within 30 days of expiration of a license, to meet the continuing competency requirements for renewal and pay an additional late fee of \$25, the Department revokes the license after notice and opportunity for hearing. Hearings held before the Department will be conducted in accordance with <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920, Administrative Procedure Act and 184 NAC 1, Rules of Practice and Procedure of the Department.

<u>155-007.06</u> When the licensee has given notification to the Department that s/he desires to have the license lapse or be placed on inactive status upon expiration, 172 NAC 155-007.04 and 155-007.05 will not apply.

<u>155-007.07</u> The Department may refuse to renew a license for falsification of any information submitted for renewal of the license. Such refusal will be made pursuant to <u>Neb. Rev. Stat.</u> §§ 71-149 to 71-155 and 184 NAC 1, Rules of Practice and Procedure for the Department.

<u>155-007.08</u> An individual who practices after expiration of his/her credential is subject to assessment of an Administrative Penalty pursuant to 172 NAC 155-012, or such other action as provided in the statutes and regulations governing the credential.

<u>155-008 CREDENTIAL REVOCATION FOR FAILURE TO MEET RENEWAL REQUIREMENTS:</u> The Department may revoke a credential when the licensed psychologist or special licensed psychologist fails to meet the renewal requirements.

<u>155-008.01 Revocation for Nonpayment of Renewal Fee or Late Fee, or Failure to Submit</u> <u>Documentation of Continuing Competency within Thirty Days of Expiration of the License</u>

<u>155-008.01A</u> When a credential holder fails to pay the required renewal fee, to submit documentation of continuing competency, and/or to pay a late fee of \$25 and fails to request that his/her credential be placed on either inactive or lapsed status within 30 days of its expiration, the Department automatically revokes the credential without further notice or hearing.

<u><u>155-008.01A1</u> A post revocation notice will be sent which will specify that:</u>

- The credential holder was given a first and final notice of renewal requirements and the respective dates for these notices;
  - The credential holder failed to renew the credential or to request that his/her credential be placed on inactive or lapsed status;
  - 3. The Department has revoked the credential; and
  - 4. The credential holder has a right to request reinstatement of the credential.

155-008.02 Revocation for Failure to Meet Continuing Competency Requirements

<u>155-008.02A</u> When a credential holder fails within 30 days of the expiration of his/her credential to meet the continuing competency requirement, the Department revokes his/her credential after notice and opportunity for a hearing.

<u>155-008.02A1</u> The revocation notice for failure to meet continuing competency requirements specifies that:

- The credential holder was given a first and second notice of failure to meet the continuing competency requirement and the respective dates of each notice;
- 2. The credential holder failed to meet continuing competency renewal requirements or to have his/her credential timely placed on inactive or lapsed status;
- 3. The credential has been revoked for failure to meet continuing competency requirements within thirty days after expiration of the credential and that the revocation will become final unless a request for hearing is filed by the credential holder with the Department within 30 days of date of receipt of the notice; and
- 4. The credential holder has a right to request reinstatement of the credential after revocation.

155-009 CONTINUING COMPETENCY REQUIREMENTS Entire section remains in section 014 as modified

<u>155-009.01 General Requirements:</u> On or before January 1of each odd numbered year, each psychologist who is in active practice in the State of Nebraska must:

<u>155-009.01A</u> Complete 24 hours of professional activities directed at maintaining continuing competency during the preceding 24 month period. Additional hours earned during this period which are above and beyond the required 24 hours cannot be utilized for subsequent renewals.

 No more than the total number of approved hours offered in Nebraska to renew the license will be required during this period.

<u>155-009.01B</u> At the time of renewal, the licensee must submit to the Department the renewal notice with an attestation by the licensee that:

- States s/he has met the continuing competency requirements; and
- Indicates the number of credits/hours in one or more of the following competency areas:
  - a. Developing and teaching an academic course;
  - b. Satisfactorily completing a graduate level academic course;
  - c. Authoring or editing a psychological practice oriented publication;
  - d. Presenting or attending workshops, seminars, symposia, colloquia, invited speaker sessions, meetings of professional or scientific organizations; or

Homestudy or videos.

155-009.01C A psychologist must maintain documentation of attendance at continuing competency activities

<u>155-009.01D</u> Continuing competency activities must directly relate to the definition of psychology. Continuing competency hours will be determined as follows:

- Developing and teaching an academic course in an institution accredited by a regional accrediting agency. Credit will be granted only for the first time the licensee teaches the course during the renewal period and can not be used for subsequent renewal periods.
  - a. <u>1 semester hour of graduate academic credit equals 15 hours</u> continuing competency credit.
- 2. Satisfactorily completing a graduate level course offered by an institution accredited by a regional accrediting agency. Credit will be granted only for the first time it is completed, and it must be completed during the renewal period for which it is submitted.

a. 1 semester hour of graduate academic credit equals 15 hours of continuing competency credit.

Authoring or editing a peer-reviewed psychological practice oriented publication. Continuing competency credit may be earned only in the year of publication or first distribution.

- Senior/1<sup>st</sup> author of a peer-reviewed psychological practice oriented professional or scientific book equals 16 hours of competency credit;
- b. Senior/1<sup>st</sup> author of a peer-reviewed psychological practice oriented professional or scientific book chapter equals 8 hours of continuing competency credit;
- c. Senior/1<sup>st</sup> author of a peer-reviewed psychological practice oriented professional journal article equals 8 hours of continuing competency credit; and
- d. Editor of a peer-reviewed psychological practice oriented professional or scientific book/journal equals 16 hours of continuing competency credit.

Presenting or attending workshops, seminars, symposia, colloquia, invited speaker sessions, meetings of professional or scientific organizations, homestudy, or videos.

- a. 60 minutes of presentation or attendance equals 1 hour of continuing competency credit.
- b. Only activities approved by the following organizations are acceptable: (1) The American Psychological Association (APA);

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	— (2) The American Medical Association; (AMA) Nebrash	<mark>ka Medical</mark>
	Association (NMA)	
	(3) The American Nurses Credentialing Center's Com	<del>mission on</del>
	Accreditation;	
	(4) Nebraska Nurses Association (NNA);	
	(5) National Association of Alcohol and Drug Abuse Columnation	<mark>ounselors</mark>
	(NAADAC) or Nebraska Certified Alcohol and Drug	<mark>- Abuse</mark>
	Counselors (NCADAC);	
	(6) National Association of Social Workers (NASW);	
	(7) National Counselors Association (NCA); or	
	(8) National Association of Marriage and Family Thera	<mark>pists (NAMFT).</mark>

<u>155-009.02</u> Audit of Continuing Competency: The Board may select in a random manner a sample of the license renewal applications for audit of continuing competency credits. Each licensee is responsible for maintaining in his/ her personal files such certificates or records of credit from continuing competency activities received from providers. Licensees selected for audit must produce documentation of his/her attendance at those continuing competency activities attested to on his/her renewal application.

- 1. When selected for audit, the licensee must provide satisfactory documentation of attendance at or participation in approved continuing competency activities attested to on the licensee's renewal. Satisfactory documentation includes, but is not limited to, certificates of attendance, or certified attendance rosters, or letters from sponsors of continuing competency activities, which verify attendance/completion.
- 2. The Board reserves the right to audit the continuing competency attestation of any licensee by notifying the licensee and requesting the licensee to produce within 30 days of mailing, documents verifying attendance/completion of acceptable continuing competency programs.
- Continuing competency hours for which no documentation of attendance/completion is produced will not be included in the calculation of the total of continuing competency hours earned.
- 4. Failure to comply with the audit will result in non-renewal of the license.

<u>155-009.03</u> Waiver of the Continuing Competency: The Department, on the recommendation of the Board, may waive the continuing competency requirements, in whole or in part, for any two-year period or for the period of time since the license was last issued when a licensee submits documentation that circumstances beyond his/her control prevented him/her from completing such requirements. Any licensee who seeks a waiver of the continuing competency must complete the appropriate request on the renewal form which must be received by the Department on or before January 1st of the year the license is subject to renewal.

<u>155-009.03A</u> Circumstances and documentation required follows. The licensee must:

1. Hold a Nebraska license but have not engaged in the practice of psychology for the 24 months preceding renewal; or

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- 2. Have been in the service of the regular armed forces of the United States during any part of the period since his/her license was issued or last renewed. If this waiver is granted, the renewal fee is also waived;
  - a. Submit to the Department official documentation stating dates of service; or
- 3. Be a legal resident of another state, territory, or the District of Columbia and have not practiced as a psychologist in the State of Nebraska since his/her license was issued or last renewed; or
- 4. Have been suffering from a serious or disabling illness or physical disability which prevented completion of the required number of continuing competency hours since his/her license was issued or last renewed;
  - a. Submit to the Department a statement from a physician stating that the licensee was injured or ill, the duration of the illness or injury and the recovery period; and that the licensee was unable to attend continuing competency activities during that period; or
- 5. Have been first licensed within 24 months immediately preceding the license or renewal date.

<u>155-009.03B</u> The Department may, upon the recommendation of the Board, grant or deny an application for waiver of the continuing competency requirements.

- 1. When the Department determines to deny an application for waiver of the continuing competency requirements, it will send to the applicant by certified mail to the last name and address of record in the Department a notice setting forth the reasons for the denial determination.
  - a. The applicant has 30 days from the date of receipt of the denial notice to make a written request to the Department for an appeal. The appeal will be conducted in accordance with 184 NAC 1 Rules of Practice and Procedure for the Department.
  - b. At the conclusion of the appeal pursuant to 184 NAC 1, the Department will issue a final order setting forth the results of the appeal.
- <u>155-009.03C</u> When the Department determines to grant a waiver of the continuing competency, the applicant will be notified within 30 days of receipt of the application.

## <u>155-010\_GROUNDS ON WHICH THE DEPARTMENT MAY DENY, REFUSE RENEWAL OF OR</u> <u>DISCIPLINE A LICENSEE</u>

<u>155-010.01</u> The Department will deny an application for a license when the applicant fails to meet the requirements for licensure as specified in 172 NAC 155-003 through 155-004, or is found to be in violation of any of the grounds listed in 172 NAC <u>155-</u>010.03.

<u>155-010.02</u> The Department will refuse renewal of a license if the licensee fails to meet the requirements specified in 172 NAC 155-007 or 155-009, or in 172 NAC 155-010.03.

<u>155-010.03</u> The Department may deny, refuse renewal of, limit, suspend, or revoke licenses for any of the following grounds and those specified in <u>Neb. Rev. Stat. §71-147:</u>

- 1. Fraud, forgery, or misrepresentation of material facts in procuring or attempting to procure a license.
- 2. Grossly immoral or dishonorable conduct evidencing unfitness or lack of proficiency sufficient to meet the standards required for practice of the profession in this State.
- 3. Habitual intoxication or dependence or failure to comply with a treatment program or an aftercare program entered into under the Licensee Assistance Program established pursuant to <u>Neb. Rev. Stat.</u> §71-172.01.
- 4. Conviction of a misdemeanor or felony under state law, federal law, or the law of another jurisdiction and which, if committed within this state, would have constituted a misdemeanor or felony under state law and which has a rational connection with the applicant's or licensee's fitness or capacity to practice the profession.
- 5. Practice of the profession:
  - a. Fraudulently,
  - b. Beyond its authorized scope,
  - c. With manifest incapacity,
  - d. With gross incompetence or with gross negligence, or
  - e. In a pattern of negligent conduct. Patterns of negligent conduct means a continued course of negligent conduct in performing the duties of the profession.
- 6. Practice of the profession while the ability to practice is impaired by alcohol, controlled substances, narcotic drugs, physical disability, mental disability, or emotional disability.
- 7. Physical or mental incapacity to practice the profession as evidenced by a legal adjudication or a determination thereof by other lawful means.
- 8. Permitting, aiding, or abetting the practice of a profession or the performance of activities requiring a license by a person not licensed to do so.
- 9. Having had his/her license denied, refused renewal, limited, suspended or revoked, or having had such license disciplined in any other manner in accordance with <u>Neb. Rev.</u> <u>Stat.</u> § 71-155 by another state or jurisdiction to practice psychology, based upon acts by the applicant or licensee similar to acts described in 172 NAC 155-010.
- 10. Unprofessional conduct, which term includes all acts specified in <u>Neb. Rev. Stat.</u> § 71-148 or such other acts as are defined in 172 NAC 156 and 172 NAC 157.

- 11. Use of untruthful or improbable statements or flamboyant, exaggerated, or extravagant claims concerning such licensee's professional excellence or abilities, in advertisements.
- 12. Conviction of fraudulent or misleading advertising or conviction of a violation of the Uniform Deceptive Trade Practices Act.
- 13. Distribution of intoxicating liquors, controlled substances or drugs for any other than lawful purposes.
- 14. Willful or repeated violations of the Uniform Licensing Law or 172 NAC 155.
- 15. Unlawful invasion of the field of practice of any profession mentioned in the Uniform Licensing Law which the licensee is not licensed to practice.
- 16. Failure to file a report required by <u>Neb. Rev. Stat.</u> § 71-168.
- 17. Practicing the profession of psychology while his or her license is suspended or in contravention of any limitation placed upon his or her license.
- 18. Refusal of an applicant for a license or of a licensee to submit to a physical or mental examination request by the Board, pursuant to <u>Neb. Rev. Stat.</u> §§ 71-161.12 to 71-161.16 to determine his/her qualifications to practice or to continue in the practice of the profession or occupation for which application was made or for which s/he is licensed.

<u>155-011 RE-CREDENTIALING:</u> This section applies to individuals previously issued a Nebraska credential who have lost the legal authority to practice in total or in part and who seek the authority to return to practice in Nebraska with a valid Nebraska credential.

<u>155-011.01 Eligibility</u>

<u>155-011.01A</u> An individual whose credential has been previously:

1. Placed on lapsed status;

2. Placed on inactive status;

3. Revoked for failure to meet the renewal requirements;

4. Suspended or limited for disciplinary reasons; or

5. Voluntarily surrendered or voluntarily limited for an indefinite period of time;

may request, at any time, to be re-credentialed and re-authorized to practice under the credential, in accord with these regulations.

<u>155-011.01B</u> An individual whose credential has been revoked for disciplinary reasons may apply for reinstatement only after a period of two years has elapsed from the date of revocation.

<u>155-011.01C</u> An individual who practices prior to re-credentialing is subject to:

- 1. Assessment of an Administrative Penalty pursuant to 172 NAC 155-012; and
- 2. Limitation or other sanction on the credential, or denial of the request to be re-credentialed and re-authorized to practice under the credential, and referral for prosecution for uncredentialed practice, as provided in the statutes and regulations governing the credential.

<u>155-011.02</u> Requirements for Restoration from Lapsed Status: A person whose credential has been placed on lapsed status may have his/her credential restored from lapsed to active status by the Department upon proof to the Department that s/he meets the requirements pursuant to 172 NAC 155-003.01.

<u>155-011.02A</u> If the Department has evidence that an applicant has practiced while his/her credential was lapsed, the Department may:

- 1. Assess an Administrative Penalty pursuant to 172 NAC 155-012;
- 2. Initiate disciplinary action against the lapsed credential;
- 3. Deny the request to restore the credential from lapsed to active status; or
- 4. Restore the credential to active status and impose limitation(s) or other sanctions on the credential.

<u>155-011.02B</u> If the Department has evidence that an applicant has committed any other violation of the statutes and regulations governing the credential, the Department may:

- 1. Initiate disciplinary action against the lapsed credential;
- 3. Restore the credential to active status and impose limitation(s) or other sanctions on the credential.

<u>155-011.02C</u> The Department will act within 150 days on all completed applications.

<u>155-011.02D</u> The applicant will be provided with notice and the opportunity for hearing in accord with the Department's Rules of Practice and Procedure and <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920 before any of the actions pursuant to 172 NAC 155-011.02A and 155-011.02B are final.

<u>155-011.03 Requirements to Move a Credential from Inactive to Active Status:</u> A person whose credential has been placed on inactive status may have his/her credential moved from inactive to active status upon proof to the Department that s/he meets the following requirements:

- 1. Meet renewal requirements, including:
  - a. The continuing competency requirements; and
  - b. Paying the renewal fee and any other applicable fees; and

2. Attest:

a. That s/he has not practiced in Nebraska since s/he last held an active credential; or

b. To the actual number of days practiced if the applicant has practiced in Nebraska since s/he last held an active credential.

<u>155-011.04 Procedures for Moving from Inactive to Active Status:</u> To move a credential from inactive status to active status, the applicant must submit the following to the Department:
<ol> <li>A written application which contains the following information about the applicant: a. Name; b. Address;     </li> </ol>
c. Social security number; and
<ul> <li>d. If the applicant holds a professional credential in another state, a list of the state(s) and type of credential;</li> </ul>
e. A statement describing all:
(1) Felony or misdemeanor convictions during the time period since the
credential was active;
(a) If the applicant has been convicted of a felony or misdemeanor,
provide copies of:
[1] Official Court Record, which includes charges and disposition;
[2] Arrest records;
[3] A letter from the applicant explaining the nature
[4] All addiction/mental health evaluations and proof
of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or
[5] A letter from the probation officer addressing probationary     conditions and current status, if the applicant is currently on     probation.
(2) Revocations, suspensions, or other disciplinary actions against any
professional credential held by the applicant during the time period since the credential was active;
(a) If any disciplinary action was taken against the applicant's credential
(b) by another state, submit an official copy of the disciplinary action,
including charges and disposition; and
(3) Disciplinary charges pending against any professional credential held
by the applicant;
f. Verification that the continuing competency requirements for renewal have
been met;
2. The renewal fee and any other applicable fees; and
a. That s/he has not practiced in Nebraska since s/he last held an active credential; or
b. To the actual number of days practiced if the applicant has practiced in
Nebraska since s/he last held an active credential.

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<u>155-011.04A</u> If an applicant has practiced while his/her credential was inactive, the Department may:

1. Assess an Administrative Penalty pursuant to 172 NAC 155-012;

2. Initiate disciplinary action against the credential;

3. Deny the request to move the credential from inactive to active status; or

4. Move the credential to active status and impose limitation(s) or other sanctions on the credential.

<u>155-011.04B</u> If an applicant has committed any other violation of the statutes and regulations governing the credential, the Department may:

1. Initiate disciplinary action against the credential;

2. Deny the request to move the credential from inactive to active status; or

3. Move the credential to active status and impose limitation(s) or other sanctions on the credential.

<u>155-011.04C</u> In either event pursuant to 172 NAC 155-011.04A or 155-011.04B, a notice and the opportunity for hearing will be given to the applicant.

<u>155-011.04D</u> The Department will act within 150 days on all completed applications.

<u>155-011.05</u> Requirements for Reinstatement Within One Year Following Revocation for Failure to Meet the Renewal Requirements: An applicant for reinstatement who applies not more than one year following revocation for failure to meet renewal requirements must:

- 1. Meet the renewal requirements, including:
  - a. The continuing competency requirements; and
  - b. Paying the renewal fee, the late fee of \$35 and any other applicable fees; and
- \_\_\_\_\_\_2. Attest:
  - a. That s/he has not practiced in Nebraska since s/he last held an active credential; or
    - b. To the actual number of days practiced if the applicant has practiced in Nebraska since s/he last held an active credential.

<u>155-011.06</u> Procedures for Reinstatement Within One Year Following Revocation for Failure to Meet the Renewal Requirements: To reinstate a credential not more than one year following revocation for failure to meet renewal requirements, the applicant must submit the following to the Department:

1. A written application which contains the following information about the applicant:

a. Name;

b. Address;

- c. Social security number; and
- d. If the applicant holds a professional credential in another
  - state, a list of the state(s) and type of credential;
  - e. A statement describing all:
    - (1) Felony or misdemeanor convictions during the time period since

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	the credential was active; [a] If the applicant has been convicted of a felony or misdemeanor, provide copies of: [1] Official Court Record, which includes charges and disposition;	
	[2] Arrest records; [3] A letter from the applicant explaining the nature of the conviction;	
	[4] All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained	
	<ul> <li>(2) Revocations, suspensions, or other disciplinary actions against any professional credential held by the applicant during the time period since the credential was revoked;</li> <li>[a] If any disciplinary action was taken against the</li> </ul>	
<ul> <li>applicant's credential by another state, submit an of copy of the disciplinary action, including charges an disposition; and</li> <li>(3) Disciplinary charges pending against any professional credential held by the applicant.</li> <li>f. Verification that the continuing competency requirements for relevance been met;</li> <li>2. The renewal fee, the late fee of \$35 and any other applicable fees; ar</li> <li>3. Attestation by the applicant:</li> <li>a. That s/he has not practiced in Nebraska since s/he last he credential; or</li> <li>b. To the actual number of days practiced if the applicant has</li> </ul>		
E	To the actual humber of days practiced in the applicant has practice Nebraska since s/he last held an active credential. (1) If an applicant has practiced after his/her credential was revoked Department may assess an Administrative Penalty pursuant to NAC 155-012 in which case a notice and opportunity for hearing w	l <del>, the</del> 
-	sent to the applicant. (2) If an applicant has practiced after his/her credential was revoked, or has committed any other violation of the statutes and	
regulations governing the credential, other action may b pursuant to 172 NAC 155-011.06B.		

The Department will forward the application to the Board for its recommendation pursuant to <u>Neb. Rev. Stat. § 71-110 (5).</u>

<u>155-011.06A</u> The Board's recommendation to the Department may be to:

2. Reinstate the credential with terms, conditions or restrictions; or

3. Deny reinstatement.

<u>155-011.06B</u> Upon receipt of the Board's recommendation, the Department will, within 150 days, send to the applicant a written notice of the Department's response. The Department may:

- 1. Reinstate the credential. An Administrative Penalty may be assessed pursuant to 172 NAC 155-012 if warranted;
- 2. If the Department determines that the applicant has committed acts or offenses prohibited by Neb. Rev. Stat. §§ 71-147 or 71-148, the Department may:
- a. Reinstate the credential with terms, conditions or restrictions. In such case the applicant will be provided notice and the opportunity for hearing before the Department pursuant to the Department's Rules of Practice and Procedure and <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920. An Administrative Penalty may be assessed pursuant to 172 NAC 155-012 if warranted; or
  - b. Deny reinstatement. In such case the applicant will be provided notice and the opportunity for hearing before the Department pursuant to the Department's Rules of Practice and Procedure and <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920.

155-11.07 <u>Requirements for Reinstatement More Than One Year Following Revocation for</u> <u>Failure to Meet the Renewal Requirements:</u> An applicant for reinstatement who applies more than one year after revocation for failure to meet the renewal requirements must:

<i>.</i>		etition the Board for reinstatement pursuant to <u>Neb. Rev. Stat.</u> § 71-161.05. The obtition for reinstatement must be accompanied by: Verified recommendations from at least two credentialed practitioners of the same profession as the petitioner each having personal knowledge of the activities of the petitioner since the credential was revoked; and Verified recommendations from at least two citizens each having personal knowledge of the activities of the petitioner since the credential was revoked.
	2. M - a. - b.	eet the renewal requirements, including: — The continuing competency requirements; and Paying the renewal fee, the late fee of \$75 and any other applicable fees.
	3. At a. b.	test: That s/he has not practiced in Nebraska since s/he last held an active credential; or To the actual number of days practiced if the petitioner has practiced in Nebraska since s/he last held an active credential. 

<u>155-011.08</u> Procedures for Reinstatement More Than One Year Following Revocation for <u>Failure to Meet Renewal Requirements.</u> An applicant for reinstatement more than one year following revocation for failure to meet renewal requirements must submit to the Board:

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<u> </u>	<ul> <li>tition for reinstatement:         <ul> <li>Stating the reason the petitioner believes his/her credential should be reinstated;</li> <li>Accompanied by verified recommendations from at least two credentialed practitioners of the same profession as the petitioner each having personal knowledge of the activities of the petitioner since the credential was revoked;</li> </ul> </li> </ul>	<del> </del>  - ;
	personal knowledge of the activities of the petitioner since the credential was revoked. c. Containing the following information about the petitioner: (1) Name; (2) Address;	-
	<ul> <li>(3) Social security number; and</li> <li>(4) If the petitioner holds a professional credential in another state, a list of the</li> <li>state(s) and type of credential;</li> </ul>	f
	<ul> <li>(5) A statement describing all:         <ul> <li>(a) Felony or misdemeanor convictions during the time period since the credential was active;</li> <li>[1] If the petitioner has been convicted of a felony or misdemeanor, provide copies of:</li></ul></li></ul>	
	[b] Arrest records; [c] A letter from the petitioner explaining the nature of the conviction; [d] All addiction/mental health evaluations and proof [d] All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained	
	and/or required; and [e] A letter from the probation officer addressing probationary conditions and current status, if the petitioner is currently on probation. (b) Revocations, suspensions, or other disciplinary actions against	ŧ
	any professional credential held by the petitioner during the time period since the credential was revoked; [1] If any disciplinary action was taken against the petitioner's credential by another state, submit an official copy of the disciplinary action, including charges and disposition; and	<del>-</del>
2.	<ul> <li>(c) Disciplinary charges pending against any professional credentia held by the petitioner.</li> <li>(6) Verification that the continuing competency requirements for renewa have been met;</li> <li>The renewal fee, the late fee of \$75 and any other applicable fees; and</li> </ul>	
3.	Attestation by the petitioner: a. That s/he has not practiced in Nebraska since s/he last held an active credential; or	÷

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b	To the actual number of days practiced if the petitic Nebraska since s/he last held an active credential. (1) If a petitioner has practiced after his/her creder	·
	Department may assess an Administrative Pen NAC 155-012 in which case a notice and opportu- sent to the petitioner.	alty pursuant to 172 nity for hearing will be
	(2) If a petitioner has practiced after his/her credentia committed any other violation of the statutes and the credential, other action may be taken as prov 011.08E.	regulations governing
	08A The petition to recommend reinstatement will be contract the Board that is held, but not earlier than 30 days after	
conclusiv	<u>08B</u> Any petition to recommend reinstatement of ely acted upon by the Board within 180 days after the petition and the necessary accompanying documents with	e filing of a properly
	<u>08C</u> If the Board recommends reinstatement of the creder held on the petition.	itial, no public hearing
credentia	<u>08D</u> Prior to any recommendation by the Board against II, an opportunity for a formal public hearing on the petitio d, if formally requested by the petitioner.	
	<u>08D1</u> The petitioner's request for a formal hearing must b /s of the Board's notification of an opportunity for a formal	
otherwise by sendir at his/hei records c	<u>08E</u> If the petitioner formally requests a formal public he holds such a hearing, the petitioner will be given at leas ng to the petitioner a copy of the notice of hearing by certif r last known residence or business post office address as of the Department or as otherwise known. Notice may be nal service. The hearing will be conducted pursuant to 172	t 30 days prior notice fied or registered mail shown by the files or given to the petitioner
record of the recor	<u>08F</u> The Board will review the petition to recommend re- any hearing held, and submit its recommendation regardi d on which such recommendation is made to the Departme f the petition to recommend reinstatement.	ing reinstatement and
	5-011.08F1 If the Board recommends reinstatement c partment may:	of the credential, the
	<ol> <li>Accept the Board's recommendation and grant credential; or</li> </ol>	-reinstatement of the
	2. If the Department determines that the Board's re	ecommendation is: in

 If the Department determines that the Board's recommendation is: in excess of statutory authority; made upon unlawful procedure; unsupported by competent, material, and substantial evidence; or

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	arbitrary or capricious, not accept the Board's reco either:	ommendation and
	a. Deny reinstatement of the credential, or	
	b. Grant reinstatement with terms, conditions, or res	strictions.
	<u>155-011.08F2</u> If the Board recommends denial of reinstatemends send to the petitioner a written notice of the Board's recompetitioner may appeal the Board's decision to the District Court of pursuant to <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920.	mendation. The
	<u>155-011.08F3</u> If the Board recommends reinstatement with term restrictions, the Department may:	ms, conditions, or
	<ul> <li>Accept the Board's recommendation and grant re terms, conditions, or restrictions; or</li> </ul>	einstatement with
	2. Not accept the Board's recommendation and either:	
	a. Deny reinstatement of the credential; or	
	b. Grant reinstatement of the credential.	
	<u>155-011.08F4</u> The Department will, within 150 days of receir recommendation, send to the petitioner a written notice of reinstatement with or without terms, conditions, or restriction reinstatement of the credential.	the Department's
	<u>155-011.08F5</u> The petitioner may appeal the Department's decir Court of Lancaster County pursuant to <u>Neb. Rev. Stat.</u> §§ 84-90	

<u>155-011.09</u> Requirements to Reinstate a Credential Following Suspension, Limitation, or Revocation for Disciplinary Reasons: An applicant for reinstatement following suspension, limitation, or revocation for disciplinary reasons must meet the following requirements:

1	Petition the Board for reinstatement: a. The petition for reinstatement must be accompanied by verified recommendations from at least two credentialed practitioners of the same profession as the petitioner each having personal knowledge of the activities of the petitioner since the credential was suspended, limited, or revoked; and verified recommendations from at least two citizens each having personal knowledge of the activities of the petitioner since the credential was suspended, limited, or revoked;
2.	Pay the reinstatement fee of \$75, and other profession-specific requirements if expressly set by law; and
3.	<ul> <li>If the credential was revoked or suspended, attest:         <ul> <li>That s/he has not practiced in Nebraska since s/he last held an active credential; or</li> <li>To the actual number of days practiced if the petitioner has practiced in Nebraska since s/he last held an active credential.</li> </ul> </li> </ul>
Disciplinar revocation	<u>0 Procedures for Reinstatement Following Suspension, Limitation, or Revocation for</u> <u>y Reasons:</u> An applicant for reinstatement following suspension, limitation, or for disciplinary reasons must submit to the Board:
<u> </u>	otition for reinstatement:
<u>а.</u>	Stating the reason the petitioner believes his/her credential should be reinstated;
b	Accompanied by verified recommendations from at least two credentialed practitioners
	of the same profession as the petitioner each having personal knowledge of the activities of the petitioner since the credential was suspended, limited, or revoked; and
	verified recommendations from at least two citizens each having personal knowledge
	of the activities of the petitioner since the credential was suspended, limited, or
С.	revoked. Containing the following information about the petitioner:
	— <del>(1) — Name;</del> — <del>(2) — Address;</del> — <del>(3) — Social security number; and</del>
	<ul> <li>(4) If the petitioner holds a professional credential in another state, a list of the state(s) and type of credential;</li> </ul>
	(5) A statement describing all:
	<ul> <li>Felony or misdemeanor convictions during the time period since the credential was suspended, limited, or revoked;</li> </ul>
	[1] If the petitioner has been convicted of a felony or misdemeanor,
	provide copies of:
	[a] Official Court Record, which includes charges

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		nd disposition;	
		r <del>rest records;</del>	in a the sectors
		letter from the petitioner explain	ing the nature
		conviction;	Constant and the set
	[d] All addiction/mental health evaluations and proof		
		treatment, if the conviction invol-	0
		lated offense and if treatmer required;	it was obtained and/or
	ar	-	
		letter from the probation officer on ditions and current status, if t	
	pr	on obation.	
		suspensions, or other disciplinal	rv actions against anv
		credential held by the petitione	
	since	·····	a serie grane anne prese
	the credential	was suspended, limited, or revo	<del>ked;</del>
		disciplinary action was taken	
	G	edential	<b>.</b>
	by anoth	ner state, submit an official copy	of the disciplinary action,
	includin	g charges and disposition; and	
	(c) Disciplinary c	harges pending against any pro	fessional credential held
<del>by</del>			
	the petitioner;		
	(6) Any continuing com		
2.	The reinstatement fee of \$	. ,	
	· ·	er, if the credential was revoked	•
		practiced in Nebraska since s	s/he last held an active
	b. To the actual number of days practiced if the petitioner has practice		
			titioner has practiced in
Nebraska since s/he last held an active credential.         (1)       If a petitioner has practiced after his/her credential was revol         Department may assess an Administrative Penalty pursuant         NAC 155-012 in which case a separate notice and opportu         hearing will be sent to the petitioner.			
		tice and opportunity for	
	<b>U</b>	•	
	(2) If a petitioner has practiced after his/her credential was revol committed any other violation of the statutes and regulations		
		, other action may be taken pu	rsuant to 172 NAC 155-
	<del>011.10G.</del>		
154	011 104 The Board will	I make a recommendation to	the Director regarding
		ary action. In determining whet	
	ecommended, the Board ma		
	1. Request the Departr	nent investigate all activities of	the petitioner since the
		is taken against him/her, includir	
	Neb. Rev. Stat. §§71		5

2. Require the petitioner to submit to a complete diagnostic examination by one

or more physicians appointed by the Board, the petitioner being free also to consult a physician or physicians of his/her own choice for a complete diagnostic examination and make available a report or reports thereof to the Board;

- 3. Require the petitioner to pass a written, oral, or practical examination or any combination of such examinations; or
- 4. Require the petitioner to complete additional education.

<u>155-011.10B</u> The petition to recommend reinstatement will be considered at the next meeting of the Board that is held, but not earlier than 30 days after the petition is filed.

- <u>155-011.10C</u> Any petition to recommend reinstatement of a credential will be conclusively acted upon by the Board within 180 days after the filing of a properly prepared petition and the necessary accompanying documents with the Board.
- <u>155-011.10D</u> If the Board recommends reinstatement of the credential, no public hearing need be held on the petition.
- <u>155-011.10E</u> Prior to any recommendation by the Board against reinstatement of the credential, an opportunity for a formal public hearing on the petition must be granted by the Board, if formally requested by the petitioner.
  - <u>155-011.10E1</u> The petitioner's request for a formal hearing must be submitted within 30 days of the Board's notification of an opportunity for a formal public hearing.
  - <u>155-011.10E2</u> If the petitioner had a hearing or an opportunity for a hearing on a prior petition to recommend reinstatement filed pursuant to <u>Neb. Rev. Stat.</u> § 71-161.04 within a period of two years immediately preceding the filing of such petition, the Board may grant or deny, without a hearing, any petition to recommend reinstatement filed pursuant to <u>Neb. Rev. Stat.</u> § 71-161.04.

<u>155-011.10F</u> If the petitioner formally requests a formal public hearing or if the Board otherwise holds such a hearing, the petitioner will be given at least 30 days prior notice by sending to the petitioner a copy of the notice of hearing by certified or registered mail at his/her last known residence or business post office address as shown by the files or records of the Department or as otherwise known. Notice may be given to the petitioner by personal service. The hearing will be conducted pursuant to 172 NAC 1.

<u>155-011.10G</u> The Board reviews the petition to recommend reinstatement, any examination or investigatory information and the record of hearing, if one was held. The Board will submit its recommendation to the Director within 180 days of receipt of the petition to recommend reinstatement.

<u>155-011.10G1</u> If the Board recommends reinstatement of the credential:

1. The Board will send its recommendation to the petitioner by certified mail along with notification that the petitioner must file an application for

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	reinstatement with the Director.	
	The petitioner must submit, to the Department, a reinstatement by the Director within 30 days of rece recommendation.	
	 a. The application must include: (1) Name of the petitioner; and (2) Signed statement that the petitioner required to issue the credential in accordance recommendation for reinstatement.	
	Upon receipt of the application for reinstatement from Department will submit the following to the Director:	the petitioner, the
	<ul> <li>a. The application;</li> <li>b. The written recommendation of the Board, inc of fact or order of the Board;</li> <li>c. The petition submitted to the Board;</li> <li>d. The record of hearing, if any; and</li> <li>e. Any pleadings, motions, requests, preliminar rulings and orders, and similar corresponden Board and the petitioner.</li> </ul>	y or intermediate
	The Director will issue a decision regarding reinstat days of receipt of the petitioner's application for re Director's decision will be based upon a review of proceedings before the Board. The Director will ne hearing. The Director may affirm, reverse, or more recommendation. A decision by the Director to reve Board's recommendation will be based on finding recommendation is: in excess of statutory author unlawful procedure; unsupported by competen substantial evidence in view of the entire record capricious.	einstatement. The the record of the ot hold a second odify the Board's erse or modify the that the Board's ority; made upon t, material, and
	<ul> <li>When the Director affirms, modifies or reverse recommendation for reinstatement, the Direct Order setting forth the decision regarding rein petitioner's credential. The order will be sent to the petitioner;</li> <li>If the petitioner does not accept the Director's cappeal such decision to the District Court of pursuant to <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-92</li> </ul>	stor will enter an estatement of the by certified mail to lecision, s/he may Lancaster County
	<u>G2</u> If the Board recommends reinstatement of the cre- or restrictions:	dential with terms,

1. The Board will send its recommendation to the petitioner by certified mail along with notification that the petitioner must file an application for

Effective Date 10/30/04	De	epartment of Health and Human Services Regulation and Licensure	172 NAC 155
	rein	statement with the Director.	
	rein	petitioner must submit, to the Departmeter statement by the Director within 30 days ommendation.	•••
	<del>a.</del>	The application must include: (1) Name of the petitioner; and (2) Signed statement that the petition to issue the credential in accor- recommendation for reinstatemen	dance with the Board's
	Dep	on receipt of the application for reinstateme partment will submit the following to the Dir — The application;	•
	b c d		liminary or intermediate
	day Dire proc hea recc Boa recc unla sub	Director will issue a decision regarding a s of receipt of the petitioner's application ector's decision will be based upon a rev ceedings before the Board. The Director ring. The Director may affirm, reverse ommendation. A decision by the Director ard's recommendation will be based on ard's recommendation will be based on ard's recommendation of the control ommendation is: in excess of statutory awful procedure; unsupported by con- stantial evidence in view of the entire ricious.	for reinstatement. The iew of the record of the will not hold a second or modify the Board's to reverse or modify the finding that the Board's authority; made upon npetent, material, and
	a. 	When the Director affirms, modifies of recommendation for reinstatement, the Order setting forth the decision regard petitioner's credential. The order will be the petitioner; If the petitioner does not accept the Dire appeal such decision to the District Corpursuant to <u>Neb. Rev. Stat.</u> §§ 84-901 to	Director will enter an ing reinstatement of the sent by certified mail to ctor's decision, s/he may urt of Lancaster County
<del>petit</del> The	ioner a writ petitioner n	If the Board denies reinstatement, the ten notice of the Board's recommendation nay appeal the Board's decision to the Di It to <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920.	n to deny reinstatement.

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<u>155-011.11 Procedures for Restoration of Credentials Voluntarily Surrendered or Limited for an</u> <u>Indefinite Period of Time</u>

<u>155-011.11A</u> Credentials voluntarily surrendered or limited for an indefinite period of time pursuant to <u>Neb. Rev. Stat.</u> §71-161.11 may be restored at the discretion of the Department.

<u>155-011.11A1</u> An applicant for restoration of a credential that was voluntarily surrendered or limited for an indefinite period of time must submit to the Department:

 A written application which contains the following information about the applicant:

Name: <del>a.</del> h Address: Social security number: and C. If the applicant holds a professional credential in another state, a d. list of the state(s) and type of credential; A statement describing all: Felony or misdemeanor convictions during the time period (1) since the credential was active; If the applicant has been convicted of a felony or <del>(a)</del> misdemeanor, provide copies of: [1] Official Court Record, which includes charges and disposition; [2] Arrest records: [3] A letter from the applicant explaining the nature of the conviction: [4] All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required; and [5] A letter from the probation officer addressing probationary conditions and current status, if the applicant is currently on probation. Revocations, suspensions, or other disciplinary actions (2)against any professional credential held by the applicant during the time period since the credential was active; (a) If any disciplinary action was taken against the applicant's credential by another state, submit an official copy of the disciplinary action, including charges and disposition: and Disciplinary charges pending against any professional (3) credential held by the applicant; f. Any continuing competency activities; and An attestation: <del>a.</del> That s/he has not practiced in Nebraska prior to the (1) voluntary surrender of his/her credential; or To the actual number of days practiced if the applicant has (2) practiced in Nebraska prior to the voluntary surrender of his/her credential.

<u>155-011.11A2</u> If an applicant has practiced while his/her credential was voluntarily surrendered, the Department may:

- 1. Assess an Administrative Penalty pursuant to 172 NAC 155-012;
- 2. Initiate disciplinary action against the credential;
- 3. Deny the request to restore the credential; or
- 4. Restore the credential to active status and impose limitation(s) or other sanctions on the credential.

<u>155-011.11A3</u> If an applicant has committed any other violation of the statutes and regulations governing the credential while his/her credential was voluntarily surrendered or limited, the Department may:

- 1. Initiate disciplinary action against the credential;
- 2. Deny the request for restoration of the credential; or
- 3. Restore the credential to active status and impose limitation(s) or other sanctions on the credential.

<u>155-011.11A4</u> In either event pursuant to 172 NAC 155-011.11A2 or 155-011.11A3, a notice and the opportunity for hearing will be given to the applicant.

155-011.11A5 The Department will act within 150 days on all completed applications.

<u>155-011.12 Procedures for Restoration of Credentials Voluntarily Surrendered or Limited for a</u> <u>Specific and Definite Period of Time</u>

<u>155-011.12A</u> Credentials voluntarily surrendered or limited for a specific and definite period of time as agreed to between the holder and Department pursuant to <u>Neb. Rev.</u> <u>Stat.</u> § 71-161.11 will be automatically restored at the expiration of that period of time.

<u>155-011.12B</u> If an individual has practiced while his/her credential was voluntarily surrendered for a specific and definite period of time, the Department may assess an Administrative Penalty pursuant to 172 NAC 155-012.

<u>155-011.13 Credentials Voluntarily Surrendered or Limited Permanently:</u> Credentials that are voluntarily surrendered or limited permanently pursuant to <u>Neb. Rev. Stat.</u> § 71-161.11 will not be restored.

<u>155-012</u> ADMINISTRATIVE PENALTY: The Department may assess an administrative penalty when evidence exists that a person or entity practices without a credential. Practice without a credential for the purpose of this regulation means practice:

- 1. Prior to the issuance of a credential;
- 2. Following the expiration of a credential; or
- 3. Prior to the reinstatement of a credential.

<u>155-0012.01 Evidence of Practice:</u> The Department will consider any of the following conditions as prima facie evidence of practice without a credential:

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	1. The person admits to engaging in practice;	

- 2. Staffing records or other reports from the employer of the person indicate that the person was engaged in practice;
- 3. Billing or payment records document the provision of service, care, or treatment by the person;
- 4. Service, care, or treatment records document the provision of service, care, or treatment by the person;
- 5. Appointment records indicate that the person was engaged in practice; and
- 6. The person opens a business or practice site and announces or advertises that the business or site is open to provide service, care, or treatment.

For purposes of this regulation prima facie evidence means a fact presumed to be true unless disproved by some evidence to the contrary.

<u>155-012.02</u> Penalty: The Department may assess an administrative penalty in the amount of \$10 per day, not to exceed a total of \$1,000 for practice without a credential. To assess such penalty, the Department will:

- 1. Provide written notice of the assessment to the person. The notice must specify:
  - a. The total amount of the administrative penalty;
  - b. The evidence on which the administrative penalty is based;
  - c. That the person may request, in writing, a hearing to contest the assessment of an administrative penalty;
  - d. That the Department will within 30 days following receipt of payment of the administrative penalty transmit the penalty to the State Treasurer for credit to the Permanent School Fund; and
  - e. That an unpaid administrative penalty constitutes a debt to the State of Nebraska which may be collected in the manner of a lien foreclosure or sued for and recovered in a proper form of action in the name of the state in the District Court of the county in which the violator resides or owns property: and

2. Send by certified mail, a written notice of the administrative penalty to the last known address of the person to whom the penalty is assessed.

<u>155-012.03</u> Administrative Hearing: When a person contests the administrative penalty and requests a hearing, the Department will hold a hearing pursuant to <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920 and 184 NAC 1, Rules of Practice and Procedure before the Department.

These Rules and Regulations amend 172 NAC 155, which were last filed with the Secretary of State on July 24, 1996 and became effective July 29, 1996.

September 27, 2004
October 25, 2004
October 25, 2004
October 30, 2004

Forms referred to in the body of these regulations are available upon request.

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172 NAC 155

### TITLE 172 PROFESSIONAL AND OCCUPATIONAL LICENSURE

CHAPTER 155 PSYCHOLOGY LICENSES

001. SCOPE AND AUTHORITY. These regulations govern the credentialing of psychologists, psychological assistants, psychologist associates, provisional licensed psychologists, and special licensed psychologists as set out in Nebraska Revised Statute (Neb. Rev. Stat.) §§ 38-3101 to 38-3133 of the Psychology Practice Act and the Uniform Credentialing Act (UCA). Persons providing psychology services to clients located in Nebraska must be licensed as a psychologist in Nebraska unless exempt.

<u>002.</u> <u>DEFINITIONS.</u> Definitions are set out in the Psychology Practice Act, the Uniform Credentialing Act, 172 Nebraska Administrative Code (NAC) 10, and this chapter.

002.01 BEHAVIORAL HEALTH PRACTITIONER. A licensed psychologist, special licensed psychologist, psychological assistant, psychologist associate, licensed independent mental health practitioner, licensed mental health practitioner, qualified physician, licensed alcohol and drug counselor, or other recognized profession who is licensed, certified, or regulated under the laws of this state, whose scope of practice includes mental health services or mental health service referrals.

002.02 CLIENT OR PATIENT. A recipient of psychological services within the context of a professional relationship. In the case of individuals with legal guardians, including minors and incompetent adults, the legal guardian will also be considered a client or patient for decision-making purposes relating to the minor or incompetent adult.

002.03 CONSULTATION. A professional collaborative relationship between a behavioral health practitioner or behavioral health entity and a consultant who is a licensed psychologist. The consulting psychologist must be qualified by license, training and experience to address the mental health problems of clients who are the subjects of consultation. When a mental health practitioner seeks consultation with a licensed psychologist for clients with major mental disorders, the consultation must be conducted in accordance with 172 NAC 94.

002.04 DIRECT SERVICE. A variety of activities, during the postdoctoral experience associated with a client system, including collateral contacts, for the purpose of providing psychological services.

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### 002.04(A) DIRECT SERVICES. Includes, but are not limited to the following:

- (i) Interviewing;
- (ii) Therapy;
- (iii) Case conferences;
- (iv) Behavioral observations and management;
- (v) Evaluations;
- (vi) Treatment planning;
- (vii) Testing;
- (viii) Report writing;
- (ix) <u>Clinical supervision of graduate students in an American Psychological</u> <u>Association accredited clinical, counseling, or school psychology program;</u>
- (x) Consultations;
- (xi) Biofeedback and neurofeedback;
- (xii) Patient management, such as crisis management, triage, placement referrals, etc.;
- (xiii) Classroom teaching of graduate psychology courses in an American Psychological Association accredited clinical, counseling, or school psychology programs that involve examination of psychopathology, psychological assessment, or psychological intervention; and
- (xiv) Clinical research that involves examination of psychopathology, psychological assessment, or psychological intervention.

002.04(B) NON-DIRECT SERVICES. Includes, but are not limited to the following:

- (i) Insurance or managed care reviews relating to payment;
- (ii) <u>Classroom teaching that is not for graduate courses in an American Psychological</u> <u>Association accredited clinical, counseling, or school psychology programs that</u> <u>involve examination of psychopathology, psychological assessment, or</u> <u>psychological intervention;</u>
- (iii) <u>Receiving supervision;</u>
- (iv) Research that does not involve the examination of psychopathology, psychological assessment, or psychological intervention in clinical situations;
- (v) Program evaluation;
- (vi) Scheduling client appointments; and
- (vii) Administrative tasks related to mental health facilities and programs.

002.05 MAJOR MENTAL DISORDER. Any clinically significant mental or emotional disorder in which symptoms, regardless of specific diagnoses or the nature of the presenting complaint, are associated with present distress or disability or present significantly increased risk of suffering, death, pain, disability, or an important loss of freedom. No diagnosis from the Diagnostic and Statistical Manual of Mental Disorders (DSM) published by the American Psychiatric Association, nor any diagnosis from the International Classification of Diseases (ICD) published by the World Health Organization, of the edition or version used on the effective date of this chapter, is excluded from the category of Major Mental Disorder if the contemporary assessment indicates severe symptoms, as outlined in this section. These documents are available by contacting the publishing organizations. This includes currently observed or assessed dysfunction or impairment that portends danger to self or others, a disabling deterioration of function that seriously impairs daily functioning to include food, clothing, and shelter or an inability to establish or maintain a personal support system. Such disorders may take many forms and have varying causes but must be considered a manifestation of behavioral, psychological, or biological dysfunction in the person. Behavioral or psychological disorder symptoms include one or more of the following:

- (A) Persistent or severe suicidal or homicidal thinking or behaviors injurious to self or others;
- (B) Psychotic symptoms which include delusions, hallucinations, or formal thought disorders, including evidence of frequent substitution of fantasy for reality;
- (C) Physical complaints or signs suggesting deterioration or anomaly in physiological, psychophysiological, or neuropsychological functioning;
- (D) Feeling, mood or affect in which the emotion is clearly disruptive in its effects on other aspects of a person's life. A marked change in mood, depression or anxiety that incapacitates a person;
- (E) <u>Severe impairment in concentration and thinking, persistence, and pace.</u> Frequent <u>or consistently impaired thinking; or</u>
- (F) Consistent inability to maintain conduct within the limits prescribed by law, rules, and strong mores or disregard for safety of others or destructive to property.

002.06 NATIONAL REGISTER. The National Register of Health Service Providers in Psychology (NRHSPP) is a credentials bank that verifies that the psychologist applying for licensure in Nebraska has previously submitted primary source documentation demonstrating completion of specific education and training, holds an active unrestricted license, and has maintained professional and ethical standards.

002.07 NATIONAL STANDARDIZED EXAMINATION. The Examination for Professional Practice in Psychology (EPPP) or the Enhanced Examination for Professional Practice in Psychology (EEPPP) developed by the Professional Examination Service (PES) or another examination that is substantially equivalent and approved by the Board.

002.08 NEBRASKA JURISPRUDENCE EXAMINATION. The examination relating to statutes and regulations governing psychology in Nebraska and relevant federal laws and ethical standards in psychology.

002.09 POSTDOCTORAL EXPERIENCE. Psychology experience or practice under the direct supervision of a licensed psychologist qualified to offer the services provided. To be postdoctoral, the experience must follow the formal awarding of the doctoral degree by an appropriate institution of higher education. Such experience must be compatible with knowledge and skills acquired during formal doctoral or postdoctoral education in accordance with professional requirements and relevant to the intended area of practice.

002.10 QUALIFIED PHYSICIAN. An individual with a current license to practice medicine and surgery and has specialized training in mental health treatment or is a Board Certified Psychiatrist.

002.11 REGULAR EMPLOYMENT. For purposes of Neb. Rev. Stat. § 38-3113, regular employment is:

- (A) Work done in the context of an employer-employee relationship;
- (B) That the school system directly pays the school psychologist for all services rendered; and

(C) That the agreed-upon school psychological services are provided in the context of a comprehensive service delivery system, are not limited to any specific type of service and include opportunities for follow-up and continuing consultation.

002.12 SUPERVISING LICENSED PSYCHOLOGIST. A Nebraska licensed psychologist, not a special licensed psychologist, who provides supervision.

<u>003.</u> <u>CRIMINAL BACKGROUND CHECK. All applicants applying for a psychology license or provisional psychology license must submit a full set of fingerprints to the Nebraska State Patrol in compliance with Neb. Rev. Stat. § 38-131.</u>

<u>004.</u> INITIAL PSYCHOLOGY LICENSE. To obtain a psychology license, an applicant must submit a complete application provided by the Department and provide documentation demonstrating that the applicant meets the credentialing requirements of Neb. Rev. Stat. §§ 38-131, 38-3114, 38-3115, 172 NAC 10, and this chapter. Applicants must submit the following:

004.01 EDUCATION. An official transcript, verifying completion of a doctoral degree from a program of graduate study in professional psychology, directly from the issuing institution, or the Association of State and Provincial Psychology Boards' credentialing data bank, or the National Register. If the program is not accredited by the American Psychological Association, the applicant must submit the following to show the program meets the requirements of Neb. Rev. Stat. §38-3114:

- (A) Documentation, including syllabi or course descriptions, verifying that the applicant completed the following coursework:
  - (i) Scientific and professional ethics;
  - (ii) Research design and methodology;
  - (iii) Statistics and psychometics;
  - (iv) Biological bases of behavior;
  - (v) Cognitive and affective bases of behavior;
  - (vi) Social bases of behavior;
  - (vii) Individual behavior;
  - (viii) Assessment and evaluation; and
  - (ix) Treatment and intervention.
- (B) Documentation that the program complies with the following:
  - (i) It was clearly identified and labeled as a psychology program and its intent was to education and train psychologists;
  - (ii) <u>Has a permanent and stable standing, including organizational structure,</u> <u>leadership and funding, within the academic setting;</u>
  - (iii) Has clear authority and primary accountability for the academic program with an identifiable psychology faculty and has a psychologist who is responsible for the training program;
  - (iv) Was integrated and has an organized sequence of study, including core course work and profession-wide competencies;
  - (v) Has an identifiable body of students who are matriculated in the degree program; and
  - (vi) Has degree granting authority and was regionally accredited.
- (C) Documentation that the program required students to successfully complete the following years of study and residency:

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- (i) <u>A minimum of 3 full-time academic years of graduate study, or equivalent, and</u> <u>an internship prior to receiving the doctoral degree;</u>
- (ii) <u>Two of the 3 academic years, or equivalent, must be at the program from which</u> the doctoral degree is granted; and
- (iii) One year must be a full-time residency, or the equivalent, at the degree granting program. If the program is an on-line program, at least 600 hours must be live face-to-face in person interaction with faculty and students.

004.02 INTERNSHIP. Documentation of completion of an internship that was accredited by the American Psychological Association or if the internship is not accredited by the American Psychological Association, the applicant must submit:

- (A) Verification that the internship was accredited by the Association of Psychology Postdoctoral and Internship Centers (APIC); or
- (B) Verification and documentation of the following:
  - (i) The official school, college or university transcript must show completion of practica prior to entering the internship;
  - (ii) <u>A letter from the internship director or a copy of the internship brochure that</u> verifies the purpose of the internship was to train psychologists for the independent provision of direct psychology services:
  - (iii) The internship was at least 12 months in duration and consisted of at least 1,500 hours in not more than 24 months. School psychology internships may be 10 months in duration;
  - (iv) The internship was directed by a licensed psychologist;
  - (v) The internship was sequentially organized with progressively increased levels of responsibility and skills;
  - (vi) The internship required 4 hours of supervision per week, 2 of the 4 hours were individual face-to-face. For part time internships, the supervision requirements must be proportional to these standards;
  - (vii) The internship had 2 or more supervising licensed psychologists on-site; and (viii) The internship included positions for 2 or more psychology interns.

004.03 SUPERVISED POSTDOCTORAL EXPERIENCE. Documentation of completion of at least 1 year of supervised postdoctoral experience.

<u>004.03(A)</u> COMPLETED IN NEBRASKA. If the postdoctoral experience was completed in Nebraska, the applicant must provide documentation that the applicant:

(i) Holds or has held a provisional license as set out in 172 NAC 155-005; and

- (ii) Has completed postdoctoral experience as follows:
  - (1) Met the standards of supervision as set out in 172 NAC 155-011;
  - (2) Included 1,500 or more hours in total duration, including 1,000 or more hours of direct service hours earned in not more than 48 months; and
  - (3) Compatible with the knowledge and skills acquired during formal doctoral or postdoctoral education in accordance with professional requirements and relevant to the intended area of practice.

004.03(B) COMPLETED OUTSIDE OF NEBRASKA. If the postdoctoral experience was completed outside of Nebraska, it must have met the requirements of 172 NAC 155-004.01(c)(i)(2)

004.04 EXAMINATION. Documentation of passing the national standardized examination with a minimum scaled score of 500 for all doctoral candidates and passing the Nebraska jurisprudence examination with a minimum score of 80%. The national standardized examination requirement is waived for applicants in the categories set out in Neb. Rev. Stat. § 38-3115.

<u>005.</u> PROVISIONAL PSYCHOLOGY LICENSE. To obtain a provisional license, an applicant must submit a complete application provided by the Department and provide documentation demonstrating that the applicant meets the requirements of Neb. Rev. Stat. §§ 38-131, 38-3122, 172 NAC 10, and this chapter.

<u>005.01 LICENSE EXTENSION. The provisional license may be extended one time for an additional 2-year period upon approval by the Board and submission of a new application under this section.</u>

<u>006.</u> TWENTY YEARS OF PSYCHOLOGY LICENSURE. To obtain a license based on 20 years of psychology licensure, an applicant must submit a complete application provided by the Department and provide documentation demonstrating that the applicant meets the requirements of Neb. Rev. Stat. § 38-3117, 172 NAC 10, and this chapter. Applicants must submit:

- (A) An official transcript, verifying completion of a doctoral degree in psychology, directly from the issuing institution, or the Association of State and Provincial Psychology Boards' credentialing data bank, or the National Register;
- (B) Verification of holding a current license based on a doctoral degree in psychology;
- (C) <u>Verification of at least 20 years of licensed practice in psychology in the United States or</u> <u>a Canadian jurisdiction; and</u>
- (D) Documentation of successful passage of the Nebraska jurisprudence examination with a minimum score of 80%.

<u>007.</u> <u>RECIPROCITY PSYCHOLOGY LICENSE.</u> To obtain a license based on reciprocity, an applicant must submit a complete application provided by the Department and provide documentation demonstrating that the applicant meets the requirements of 172 NAC 10 and this chapter. Applicants must submit documentation of:

- (A) A current license in another jurisdiction; and
- (B) <u>A current Certification of Professional Qualification (CPQ) through the Association of</u> State and Provincial Psychology Boards or a current credential at the doctoral level as a Health Service Provider by the National Register of Health Service Providers; or
- (C) Being licensed in a state participating in the Association of State and Provincial Psychology Boards Reciprocity Agreement; or
- (D) Meeting the requirements to obtain an initial license as set out in 172 NAC 155-004.

<u>007.01</u> EXAMINATION. Applicants must submit documentation of passing the Nebraska jurisprudence examination with a minimum score of 80%.

007.02 TEMPORARY LICENSE. To obtain a temporary license, an individual must submit a complete application provided by the Department and provide documentation demonstrating that the applicant meets the requirements of Neb. Rev. Stat. §§ 38-129.01 or 38-3120, 172 NAC 10, and 172 NAC 155-007(A) through (D) of this chapter.

## DRAFTNEBRASKA DEPARTMENT OF08-05-2019HEALTH AND HUMAN SERVICES

172 NAC 155

<u>008.</u> <u>THIRTY DAYS PSYCHOLOGY PRACTICE WITHIN A ONE YEAR PERIOD.</u> To obtain authority to practice for 30 days within a one year period, an applicant must submit a complete application provided by the Department and provide documentation demonstrating that the applicant meets the requirements of Neb. Rev. Stat. §§ 38-3119, 172 NAC 10, and this chapter. Applicants must submit documentation of:

- (A) A current license in another jurisdiction; and
- (B) An official transcript, verifying completion of a doctoral degree from a program of graduate study in professional psychology from an institution of higher education. The transcript must be submitted directly from the issuing institution, the Association of State and Provincial Psychology Boards' credentialing data bank, or the National Register.

009. REGISTRATION. To obtain registration, an applicant must submit a complete application provided by the Department and provide documentation demonstrating that the applicant meets the requirements of 172 NAC 10, Neb. Rev. Stat. §§ 38-3113 and 38-3116 for applicants who hold a special license as a psychologist, Neb. Rev. Stat. §§ 38-3113 and 38-3122 for provisional licenses, Neb. Rev. Stat. § 38-3113 for assistants or associates, and this chapter.

009.01 PSYCHOLOGICAL ASSISTANTS OR PSYCHOLOGIST ASSOCIATES. Applicants must submit an official transcript, directly by the issuing institution, verifying completion of a masters' degree in clinical psychology, counseling psychology, or educational psychology.

009.02 TERMINATION OF SUPERVISION. If a supervisor or registrant terminates supervision, he or she must notify the Department in writing immediately of the date of termination.

<u>009.03</u> CHANGE OF OR ADDITIONAL SUPERVISOR. If a change in supervisor occurs or an additional supervisor is added, the registrant must submit an application as set out in 172 NAC 155-009.

010. SUPERVISING LICENSED PSYCHOLOGIST. The supervisor must:

- (A) Hold an active license, which is currently not limited, suspended, or on probation. If disciplined by the Department during the supervisory period, the supervisor must terminate the supervision immediately and notify the Department of the termination.
- (B) Not be a family member.
- (C) Arrange adequate supervision coverage in his or her absence.
- 011. SUPERVISION. Supervision applies to the following:

011.01 PROVISIONAL PSYCHOLOGY LICENSE. A professional relationship in which a licensed psychologist assumes legal and professional responsibility for the work of the provisional psychology licensee. The purpose of supervision is to provide training to assist the supervisee to achieve full licensure. The supervisor must:

- (A) Review raw data from the applicant's clinical work which is made directly available to the supervisor through such means as written clinical materials, direct observation, and video and audio recordings; and
- (B) <u>Meet with the provisional licensee at least twice per month for a minimum of 4 total</u> hours. Such meeting may include face-to-face consultation, telephone, video, or other

### <u>electronic means of communication and must ensure confidentiality of the</u> <u>conversation. The supervisor is responsible for documenting supervision meetings.</u>

011.02 SPECIAL PSYCHOLOGY LICENSE. The supervisor will be responsible for determining the extent and character of supervision of a special psychology licensee, keeping in mind the education and experience of the supervisee. The supervisor assumes legal and professional responsibility for any work by the supervisee relating to major mental disorders. In all cases the supervisor must be competent to provide the services being supervised.

011.03 PROVISIONAL MENTAL HEALTH PRACTITIONER. A professional relationship in which a licensed psychologist has oversight responsibility for the mental health practice of the provisional mental health practitioner. The purpose of supervision is to provide training to assist the supervisee to achieve full licensure as a mental health practitioner. The supervisor must meet with the provisional licensee in accordance with 172 NAC 94.

011.04 SEEKING AN INDEPENDENT MENTAL HEALTH PRACTITIONER LICENSE. A professional relationship in which a licensed psychologist has oversight responsibility for the independent mental health practice of an individual seeking an independent mental health practitioner license. The purpose of supervision is to provide training to assist the supervisee to achieve full licensure as an independent mental health practitioner. The supervisor must meet with the applicant in accordance with 172 NAC 94.

011.05 PROVISIONAL ALCOHOL AND DRUG COUNSELOR. A professional relationship in which a licensed psychologist has oversight responsibility for the alcohol and drug clinical work of the provisional alcohol and drug counselor. The purpose of supervision is to enhance and promote the alcohol and drug clinical skills and competencies of the supervisee who is earning hours of clinical work experience to achieve full licensure as an alcohol and drug counselor. The supervisor must meet the requirements of 172 NAC 15.

011.06 PSYCHOLOGICAL ASSISTANT OR PSYCHOLOGIST ASSOCIATE. A professional relationship in which a licensed psychologist has oversight responsibility for the psychological work of an individual who administers and scores and may develop interpretations of psychological testing under the supervision of the licensed psychologist. Such individuals are deemed to be conducting their duties as an extension of the legal and professional authority of the supervising psychologist and must not independently provide interpretive information or treatment recommendations to clients or other health care professionals prior to obtaining appropriate supervision. The purpose of this supervision will be to provide oversight that insures competent and ethical practice in accordance with the statutes and Code of Conduct as promulgated by this Board. The supervisor must:

- (A) Review raw data from the assistant or associates work which is made directly available to the supervisor through such means as written clinical materials, direct observation, and video and audio recordings; and
- (B) Meet with the assistant or associate at least twice per month for a minimum of 4 total hours. Such meetings may include face-to-face consultation, telephone, video, or other electronic means of communication and must ensure confidentiality of the conversation. The supervisor is responsible for documenting supervision meetings.

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172 NAC 155

012. <u>TEST ADMINISTRATION BY UNLICENSED INDIVIDUALS.</u> An individual who is trained by and is under the supervision of a licensed psychologist may administer and score tests which require no independent professional judgment and no interpretation of results. The individual must receive supervision, orders, and directions from a licensed psychologist. The supervisor must select the test to be administered and is ultimately responsible for the accuracy of the administration and scoring of the tests.

013. RENEWAL, WAIVER OF CONTINUING EDUCATION, AND INACTIVE STATUS. The licensee must meet the requirements set out in 172 NAC 10 and this chapter. All psychology licenses, except a provisional license and temporary license, issued by the Department will expire on January 1 of each odd-numbered year.

014. CONTINUING EDUCATION. Psychologists holding an active license in the State of Nebraska must complete at least 24 hours of acceptable continuing education hours during the 24-month period prior to the expiration date. This section does not apply to individuals who hold a provisional license or temporary license.

014.01 REQUIRED HOURS. At least 3 of the 24 hours of continuing education must be in ethics relating to psychology.

014.02 CONTINUING EDUCATION ACTIVITIES. Continuing education must directly relate to the practice of psychology as defined in Neb. Rev. Stat. § 38-3108. Continuing education hours are determined as follows:

014.02(A) DEVELOPING AND TEACHING A GRADUATE ACADEMIC COURSE. Developing and teaching a graduate academic course in an institution accredited by a regional accrediting agency is an approved continuing education activity. Hours will be granted only for the first time the licensee teaches the course during the renewal period and cannot be used for subsequent renewal periods. 1 semester hour of graduate academic credit equals 15 hours of continuing education.

014.02(B) COMPLETING A GRADUATE LEVEL COURSE. Satisfactorily completing a graduate level course offered by an institution accredited by a regional accrediting agency is an approved continuing education activity. Hours will be granted only for the first time it is completed, and it must be completed during the renewal period for which it is submitted. 1 semester hour of graduate academic credit equals 15 hours of continuing education.

014.02(C) AUTHORING OR EDITING A PEER-REVIEWED PSYCHOLOGICAL PRACTICE ORIENTED PUBLICATION. Continuing education hours may be earned only in the year of publication or first distribution. Hours are granted as follows:

- (i) Senior or 1<sup>st</sup> author of a peer-reviewed psychological practice oriented professional or scientific book equals 16 hours of continuing education;
- (ii) <u>Senior or 1<sup>st</sup> author of a peer-reviewed psychological practice oriented professional</u> or scientific book chapter equals 8 hours of continuing education;
- (iii) Senior or 1<sup>st</sup> author of a peer-reviewed psychological practice oriented professional journal article equals 8 hours of continuing education; and

# (iv) Editor of a peer-reviewed psychological practice oriented professional or scientific book or journal equals 16 hours of continuing education.

014.02(D) PRESENTING, ATTENDING, OR COMPLETING PROGRAMS. Presenting or attending workshops, seminars, symposia, colloquia, invited speaker sessions, meetings of professional or scientific organizations, homestudy, or videos are acceptable continuing education activities.

014.02(D)(i) HOUR. 60 minutes of presentation or attendance equals 1 hour of continuing education.

<u>014.02(D)(ii) APPROVED CONTINUING EDUCATION PROVIDERS.</u> Only activities approved by the following organizations are acceptable for renewal or reinstatement:

- (1) <u>American Association of Marriage and Family Therapists (AAMFT) or its state</u> <u>Chapters:</u>
- (2) American Counselors Association (ACA) or its state chapters or National Board for Certified Counselors (NBCC);
- (3) American Nurses Credentialing Center's Commission on Accreditation (ANCCC):
- (4) Nebraska Medical Association (NMA);
- (5) Nebraska Nurses Association (NNA);
- (6) National Association of Alcohol and Drug Abuse Counselors (NAADAC);
- (7) National Association of Social Workers (NASW) or its state chapters;
- (8) The Accreditation Council for Continuing Medical Education (ACCME);
- (9) The American Medical Association (AMA) or its state chapters;
- (10) The American Nurses Credentialing Center's Commission on Accreditation (ANCCCA); and
- (11) The American Psychological Association (APA) or its state chapters.

015. REINSTATEMENT. The applicant must meet the requirements set out in 172 NAC 10.

016. FEES. Fees are set out in 172 NAC 2.

017. PSYCHOLOGY INTERJURISDICATIONAL COMPACT. The applicant must meet the requirements set out in Neb. Rev. Stat. § 38-3901.