

TITLE 19 – DEPARTMENT OF AGRICULTURE, ADMINISTRATIVE DIVISION

Chapter 1 – RULES OF PRACTICE AND PROCEDURE

001 Repeal. Title 19, Chapter 1 Nebraska Administrative Code, entitled “Rules of Practice and Procedure” is repealed.

002 Annotation Neb. Rev. Stat. §§84-901 to 84-920.

NEBRASKA ADMINISTRATIVE CODE

TITLE 19, NEBRASKA ADMINISTRATIVE CODE, CHAPTER 4

NEBRASKA DEPARTMENT OF AGRICULTURE

PRACTICE AND PROCEDURE REGULATIONS

May, 2014

NEBRASKA ADMINISTRATIVE CODE

TITLE 19 – DEPARTMENT OF AGRICULTURE, ADMINISTRATIVE DIVISION

CHAPTER 4 – PRACTICE AND PROCEDURE REGULATIONS

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TITLE 19 – DEPARTMENT OF AGRICULTURE, ADMINISTRATIVE DIVISION

CHAPTER 4 – PRACTICE AND PROCEDURE REGULATIONS

001 Definitions.

001.01 APA shall mean the Administrative Procedure Act, Neb. Rev. Stat. §§84-901 through 84-920.

001.02 Argument shall mean the oral statement of the petitioner or any other party which explains his or her view of the facts and issue to be decided, the law applicable to the question presented, and the reasoning that connects the facts and law.

001.03 Contested Case shall mean a proceeding before the Department in which the legal rights, duties, or privileges of specific parties are required by law or constitutional right to be determined after hearing before the Department.

001.04 Declaratory order proceeding shall mean a proceeding initiated by a petitioner seeking issuance of a binding order by the Department as to the applicability of specified circumstances to a statute, rule, regulation, or order within the primary jurisdiction of the Department.

001.05 Department shall mean the Department of Agriculture.

001.06 Director shall mean the Director of the Department of Agriculture.

001.07 Ex parte communication shall mean an oral or written communication which is not on the record in a contested case with respect to which reasonable notice to all parties was not given. Ex parte communication shall not include:

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001.07A Communications which do not pertain to the merits of a contested case;

001.07B Communications required for the disposition of ex parte matters as authorized by law;

001.07C Communications in a ratemaking or rulemaking proceeding; and

001.07D Communications to which all parties have given consent.

001.08 Hearing officer shall mean the person or persons conducting a proceeding pursuant to the APA, whether designated as the presiding officer, administrative law judge, or some other title.

001.09 Interest shall mean, with respect to an issue or matter, multiple parties that have a similar point of view or that are likely to be affected in a similar manner.

001.10 Intervenor(s) shall mean persons, political subdivisions, corporations, organizations, or other entities who have or claim to have any interest, legal right, duty, privilege, or immunity, which would be directly affected by the Department's issuance of a binding declaratory order or a person meeting the requirements of 19 NAC 4-015.

001.11 Necessary party shall mean a person who or an entity which has a specific interest in the applicability of the statute, rule, regulation, or order, as distinguished from a general interest such as may be the concern of the public at large. A necessary party is one which is or would be adversely affected in a legally cognizable way by the uncertainty sought to be resolved.

001.12 Party or parties shall mean the person by or against whom a contested case is brought or a person allowed to intervene in a contested case or any person, political subdivision, corporation, organization, or other entity subject to the jurisdiction of the Department who is involved in a declaratory order proceeding.

001.13 Person shall mean an individual, partnership, limited liability company, corporation, association, governmental subdivision, agency, or public or private organization of any character.

001.14 Pleading shall mean any written petition, answer, or motion used in any proceeding before the Department.



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001.15 Rule or regulation shall mean any rule, regulation, or standard issued by the Department, including the amendment or repeal thereof whether with or without prior hearing and designed to implement, interpret, or make specific the law enforced or administered by it or governing its organization or procedure, but not including rules and regulations concerning the internal management of the Department not affecting private rights, private interests, or procedures available to the public and not including permits, certificates of public convenience and necessity, franchises, rate orders and rate tariffs, and any rules of interpretation thereof, and for the purpose of the APA, every rule and regulation which shall prescribe a penalty shall be presumed to have general applicability or to affect private rights and interests.

002 Rule Making Petition.

002.01 Any person may petition the Department requesting the promulgation, amendment, or repeal of a rule or regulation.

002.02 A person petitioning the Department shall use the form prescribed in 19 NAC 4-019.01 or a reasonable facsimile of the form so long as the requirements of this section are met. The petition shall:

002.02A Be clearly designated as a petition for a rules change;

002.02B In the case of a proposed new rule or amendment of an existing rule, shall set forth the desired rule in its entirety;

002.02C In the case of a petition for the repeal of an existing rule, such shall be stated and the rule proposed to be repealed shall either be set forth in full or shall be referred to by Department rule number;

002.02D Describe the reason for the rules change;

002.02E Include an address and telephone number where the petitioner can be reached during regular work hours; and

002.02F Be signed by:

002.02F(1) The petitioner or his or her attorney in which case the attorney shall also state his or her address and telephone number;

002.02F(2) A duly authorized officer of the petitioner, if petitioner is a corporation or other legal entity.

002.03 Petition Consideration and Disposition.

002.03A Within sixty (60) days after submission of a petition, the Department shall:

002.03A1 Deny the petition in writing, stating its reasons therefore;

002.03A2 Initiate rulemaking or regulation making proceedings in accordance with the APA;

002.03A3 If otherwise lawful, adopt a rule or regulation.

003 Petition for Declaratory Order.

003.01 A request for a declaratory order must be made by a petition that meets the requirements of this section.

003.02 Any person may petition the Department for issuance of a declaratory order as to the applicability to specified circumstances of a statute, rule, regulation, or order which is within the primary jurisdiction of the Department.

003.03 A declaratory order may be requested on the applicability of a statute, rule, regulation, or order enforced by the Department. "Applicability" refers to the appropriateness of the relation of the law to the person, property, or state of facts, or its relevance under the circumstances given. It may include such questions as whether the law applies at all, to whom it applies, when it applies, how it applies, or which law applies. Considerations as to whether issuance of a declaratory order is appropriate include:

003.03A A declaratory order may be requested only on the applicability of existing statutes and rules and regulations.

003.03B A declaratory order may be requested to obtain a determination of proposed conduct, not to obtain a determination of the effect of conduct that has already occurred.

003.03C A declaratory order is not a mechanism for review or appeal of a decision made by the Department in a contested case.

003.03D A declaratory order may not be requested to obtain a declaration by the Department that a statute or regulation is unconstitutional or that a regulation of the Department is invalid.

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003.03E A declaratory order may not be issued by the Department that would substantially prejudice the rights of a person who would be a necessary party and who does not consent in writing to the determination of the matter by a declaratory order proceeding.

003.04 A petition for declaratory order shall be in the form of either a pleading or letter which shall contain each of the following:

003.04A A caption, which shall include:

003.04A1 The venue: BEFORE THE DEPARTMENT OF AGRICULTURE, STATE OF NEBRASKA;

003.04A2 A heading specifying the subject matter and the name of the petitioner; and

003.04A3 The name of the pleading: PETITION FOR DECLARATORY ORDER.

003.04B The statements required in subsection 19 NAC 4-003.05.

003.04C The signature of the petitioner, or when represented by an attorney, the signature of the attorney.

003.04D The name and address of the petitioner, and when represented by an attorney, the name, address, telephone number, and bar number of the attorney.

003.04E The petition shall be made on white, letter-sized (8-1/2" x 11") paper.

003.04F The petition shall be legibly typewritten, photostatically reproduced, printed, or handwritten. If handwritten, the petition must be written in ink. Only one side of a page shall contain any writing.

003.04G Any documents attached to a petition shall be securely fastened to the pleading and shall meet the requirements of 19 NAC 4-003.04E and 003.04F and, when possible, be reproduced on 8-1/2" x 11" paper or placed in an 8-1/2" x 11" envelope and clearly marked as an attachment to the petition.

003.05 To be considered, the petition shall include the following:

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003.05A The name and address of the petitioner;

003.05B The name and address of all persons or entities, known to the petitioner, who may have a specific interest in the applicability of the statute, rule, regulation, or order or who may be adversely affected by the issue sought to be resolved by the petitioner.

003.05C The statute, rule, regulation, or order upon which the petitioner seeks issuance of a declaratory order;

003.05D A detailed statement of all of the material facts and specific circumstances which apply to petitioner's request for issuance of a declaratory order;

003.05E All propositions of law or contentions asserted by the petitioner;

003.05F A demand for the relief to which the petitioner alleges entitlement. The petition shall state the petitioner's position as to how the Department should rule and why the Department should rule in the manner requested; and

003.05G Any documents pertinent to the petition that the petitioner wishes to be considered by the Department.

003.06 The petition shall be subscribed and verified by the petitioner. If the petitioner is a corporation, political subdivision, or other entity, then the petition shall be subscribed and verified by a duly authorized agent of the petitioning entity.

003.07 The petitioner shall use the form prescribed in 19 NAC 4-019.02 or a reasonable facsimile of the form so long as the requirements of subsections 19 NAC 4-003.04, 003.05, and 003.06 are satisfied.

003.08 The petitioner shall also attach to the petition any written consents obtained from any necessary party that the petition may be determined by use of a declaratory order proceeding.

004 Submission and Service of Declaratory Order Petition.

004.01 The original petition for declaratory order shall be filed with the Director by mail or in person during the Department's normal business hours.

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004.02 The petition shall be deemed as filed when it is actually received by the Department. The Department shall date stamp all petitions upon receipt.

004.03 At the same time the petition is filed with the Department, the petitioner shall serve a copy of the petition, by certified mail, return receipt requested, on all necessary parties, including all persons, political subdivisions, corporations, organizations, or other entities who are known to have or claim any interest, legal right, duty, privilege, or immunity which would be directly affected by issuance of a declaratory order in this matter by the Department.

005 Disposition of Declaratory Order Petition.

005.01 Upon the filing of a petition, the Director may consider the petition, delegate the matter to a designated hearing officer or Department employee to consider the petition and recommend a decision to the Director. In reviewing the petition, the Department may, in its discretion, do one or more of the following:

005.01A Require that additional information be submitted before the petition will be further considered;

005.01B Require a petitioner to provide notice to persons or entities who may be necessary parties and other persons that a request for a declaratory order has been filed with the Department;

005.01C Schedule a date, time, and location at which the petitioner and any other parties to the proceeding may make an oral presentation on the petition;

005.01D Consider the petition and any attachments without oral presentation.

005.02 Within thirty (30) days after the petition is filed, the Department shall, in writing:

005.02A Issue an order declaring the applicability of the statute, regulation, rule, or order in question to the specified circumstances; or

005.02B Agree to issue an order by a specified time declaring the applicability of the statute, regulation, rule, or order in question to the specified circumstances; or

005.02C Set the matter for specified proceedings as set forth in subsection 19 NAC 4-005.01; or

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005.02D Decline to issue a declaratory ruling, stating the reasons for the Department's decision.

005.03 Notwithstanding section 19 NAC 4-005.02, the Department may determine at any time that it will not issue a declaratory order if issuance of an order under the circumstances would be contrary to any provisions of section 19 NAC 4-009. The Department shall notify the petitioner and, if applicable, any intervenor or necessary party in writing when the Department determines not to issue a declaratory order.

006 Intervention in Declaratory Order Proceeding.

006.01 Intervention by any person or entity in a declaratory order proceeding shall be allowed when the following requirements are met:

006.01A A petition for intervention must be submitted in writing to the Department. Copies must be mailed to all parties to the proceeding.

006.01B The contents of the petition must be as specified in 19 NAC 4-006.02.

006.01C The Department must determine that the interests of justice and the orderly and prompt conduct of the proceedings will not be impaired by allowing the intervention.

006.02 The petition for intervention shall be submitted to the Department, in writing, on 8 ½" x 11" white paper, and shall include each of the following:

006.02A The statute, regulation, rule, or order that may apply to or effect the person, property, entity, or facts at issue in the matter;

006.02B A statement of facts sufficient to show the intervenor's interest;

006.02C A statement of facts which demonstrate that the intervenor's legal rights, duties, privileges, immunities, or other legal interests may be substantially affected by the proceeding or that the intervenor may intervene pursuant to a provision of law;

006.02D All propositions of law or contentions asserted by the intervenor;  
and

006.02E A statement of the specific relief requested by the intervenor.

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006.03 The Department may, at its discretion, invite any person or entity to file a petition for intervention.

006.04 The Department shall grant a petition for intervention if the requirements of 19 NAC 4-006.01 and 006.02 are satisfied.

006.05 The Department shall deny a petition for intervention upon determining that the interests of justice or the orderly and prompt conduct of the proceedings would be impaired by allowing the intervention.

006.06 The Department's decision to grant or deny a petition for intervention shall be in writing and served upon all parties.

007 Declaratory Order Proceedings.

007.01 Oral argument shall be had only on specific order of the Department. A petitioner, intervenor, necessary party, or the Department may submit a motion for oral argument to the Director. If opportunity for oral argument is granted, then argument shall be scheduled to be conducted not more than forty-five (45) days after filing of the petition. Petitioner and all other parties or, when represented, their attorneys, shall be served by the Department with a notice of the date, time, and location for oral argument. The Department shall provide each of the parties with notice of the proceeding not less than seven (7) days in advance of the scheduled date. Service shall be made by certified mail, return receipt requested.

007.02 Oral argument will be made before a hearing officer or before any representative of the Department who is authorized to render or to recommend a decision to the Department. The hearing officer or Department representative shall be in control of the proceeding and shall:

007.02A Identify the proceeding and introduce himself or herself and identify each party for the record;

007.02B Hear the oral argument of the petitioner, intervenor, or necessary parties;

007.02C Close the proceedings.

007.03 At the declaratory order proceeding, Department staff shall have the right to present oral argument.

007.04 The hearing officer or representative may impose reasonable time limits on the amount of time allocated to each party for oral argument.

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007.05 The parties and Department staff may file briefs in support of their respective positions. The hearing officer may fix the time and order of filing briefs and may direct that briefs be submitted prior to the date of oral argument.

007.06 The oral argument may be conducted either in person or by telephone conference call.

008 Issuance of Declaratory Order.

008.01 The Department shall issue its declaratory order within sixty (60) days of the date on which the petition was filed.

008.02 The declaratory order shall be in writing and shall include the following:

008.02A The names of all parties to the proceeding upon which the order is based;

008.02B The facts upon which the order is based;

008.02C The statute, regulation, rule, or order at issue in the matter;

008.02D The Department's conclusion as to the applicability of the statute, regulation, rule, or order to the facts;

008.02E The Department's conclusion as to the legal effect or result of applying the statute, regulation, rule, or order to the facts; and

008.02F The reasons relied upon by the Department to support its conclusions.

008.03 A copy of the declaratory order shall be served upon each party by certified mail, return receipt requested.

008.04 A declaratory order shall have the same status and binding effect as any other order issued in a contested case.

008.05 If the Department has not issued a declaratory order within sixty (60) days after the petition has been filed, then the petition shall be deemed to have been denied by the Department.

009 Grounds For Not Issuing Declaratory Orders.



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009.01 Grounds upon which the Department shall refuse to issue a declaratory order include, but are not limited to, the following:

009.01A The petition requests a declaratory order on a matter that is outside the scope of authority of the Department;

009.01B The petition requests review or appeal of a decision made by the Department in a contested case;

009.01C The petition requests a declaratory order on the effect of past conduct;

009.01D An investigation for purposes of a formal adjudication, a contested case, or a petition to issue, amend, or repeal regulations is pending before the Department involving the petitioner on substantially the same or similar facts or issues raised in the petition;

009.01E The petition seeks a declaration that a statute or rule or regulation is unconstitutional or invalid;

009.01F The issue raised in the petition has been settled by a change in circumstances or other means so as to render moot the need for a declaratory order;

009.01G An order would substantially prejudice the rights of a person or entity who would be a necessary party and who does not consent in writing to the determination of the matter by a declaratory order proceeding;

009.01H An order would not resolve the controversy or uncertainty; or

009.01I The question posed or facts presented are insufficiently specific, overly broad, or are otherwise inappropriate as a basis upon which to decide the matter.

009.02 Grounds upon which the Department may determine to refuse to issue a declaratory order include, but are not limited to, the following:

009.02A Refusal is necessary to assure adequate allocation of Department resources are available for issuing rulings on petitions raising questions of greater urgency or significance;

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009.02B The question presented is of such complexity that the Department has had insufficient opportunity or resources to develop a fully matured ruling;

009.02C The petitioner fails to submit any additional information requested by the Department or submits such information after the date established by the Department;

010 Appeal of Declaratory Order. A declaratory order is subject to review in the manner provided for review of contested cases by the Act. Specific procedures for appeal are set forth in Neb. Rev. Stat. §84-917.

011 Commencement of a Contested Case.

011.01 The contested case begins with the filing of a petition and request for hearing, if applicable, with the Department. The petition is the initial document filed by or with the Department that sets forth a claim and request for Department action.

011.02 The parties to a contested case shall be the petitioner or person by whom a contested case is brought and the respondent or person against whom a contested case is brought.

011.03 A party may appear on his or her own behalf in a contested case proceeding or may be represented by an attorney or other representative as permitted by law.

011.04 The pleadings in a contested case may include a petition, answer, reply, notice, motion, stipulation, objection or order or other formal written document filed in a proceeding before the Department. Any pleading filed in a contested case shall meet the following requirements:

011.04A The pleading shall contain a heading specifying the name of the Department and the title or nature of the pleading, shall state material factual allegations and state concisely the action the Department is being requested to take, shall contain the name and address of the petitioner, and shall be signed by the party filing the pleading, or when represented by an attorney, the signature of that attorney.

011.04A1 Attorneys shall also include their address, telephone number and bar number.

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011.04A2 The initial petition shall also contain the name and address of the respondent.

011.04B All pleadings shall be made on white, letter-sized (8½ x 11) paper and shall be legibly typewritten, photostatically reproduced, printed or handwritten. If handwritten, a pleading must be written in ink.

011.05 All pleadings shall be filed with the Department at its official office. Filing may be accomplished by personal delivery or mail and will be received during regular office hours of the Department.

011.06 The Department shall serve a copy of the petition on each respondent listed in the petition personally or by first-class or certified mail. Written proof of such service shall be filed with the Department. Each respondent who chooses to file a responsive pleading must do so within 20 days from the date of personal service or the date of Department mailing of the petition.

011.07 All pleadings subsequent to the initial petition shall be served by the party filing such pleading upon all attorneys of record or other representatives of record and upon all unrepresented parties. Service shall be made personally or by first-class or certified mail. Written proof of such service shall be filed with the Department.

011.08 Unless state law provides that a hearing is not required, a hearing date shall be set by the Department not sooner than 20 days unless the hearing date is set in accordance with statutory requirements. A written notice of the time and place of hearing and the name of the hearing officer, if known, shall be served by the Department upon all attorneys of record or other representatives of record and upon all unrepresented parties. The notice must include a proof of such service and will be filed with the Department.

011.09 In computing time prescribed or allowed by these rules and regulations or by any applicable statute in which the method of computing time is not specifically provided, days will be computed by excluding the day of the act or event and including the last day of the period. If the last day of the period falls on a Saturday, Sunday, or state holiday, the period shall include the next working day.

012 Hearing Officer; Criteria.

012.01 The Department may be authorized by law to delegate to a hearing officer other than the Director the functions of conducting a prehearing

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conference, a hearing, or both, and submitting a recommended decision to the Department.

012.02 A person who has served as investigator, prosecutor, or advocate in a contested case or in its prehearing stage may not serve as hearing officer or assist or advise a hearing officer in the same proceeding except as provided in subsection 19 NAC 4-012.04.

012.03 A person who is subject to the authority, direction, or discretion of one who has served as investigator, prosecutor, or advocate in a contested case or in its prehearing stage may not serve as hearing officer or advise a hearing officer in the same proceeding except as provided in subsection 19 NAC 4-012.04.

012.04 If all parties consent, a person who has served as, or who is subject to the authority, direction, or discretion of one who has served as investigator, prosecutor, or advocate in a contested case or in its prehearing stage may assist a hearing officer in the preparation of orders.

012.05 A person who has participated in a determination of probable cause or other equivalent preliminary determination in a contested case may serve as hearing officer or assist or advise a hearing officer in the same proceeding.

012.06 A person may serve as hearing officer at successive stages of the same contested case.

013 Prohibitions Against Ex Parte Communications.

013.01 The prohibitions found in this section shall apply beginning at the time notice for hearing is given.

013.02 Prohibitions; to whom applicable.

013.02A No party in a contested case or other person outside the Department having an interest in the contested case shall make or knowingly cause to be made an ex parte communication to the hearing officer or to the Director or employee who is or may reasonably be expected to be involved in the decisionmaking process of the contested case.

013.02B The hearing officer or Director or employee who is or may reasonably be expected to be involved in the decisionmaking process of the contested case shall not make or knowingly cause to be made an ex

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parte communication to any party in a contested case or other person outside the Department having an interest in the contested case.

013.02C The Director or employee if engaged in the investigation or enforcement of a contested case shall not make or knowingly cause to be made an ex parte communication to a hearing officer or the Director or employee who is or may reasonably be expected to be involved in the decisionmaking process of the contested case.

013.03 The hearing officer or Director or employee who is or may reasonably be expected to be involved in the decisionmaking process of the contested case who receives or who makes or knowingly causes to be made an ex parte communication set forth in parts 19 NAC 4-013.02A through 013.02C shall file in the record of the contested case:

013.03A All such written communications;

013.03B Memoranda stating the substance of all such oral communications; and

013.03C All written responses and memoranda stating the substance of all oral responses to all the ex parte communications.

013.03D The filing shall be made within two working days of the receipt or making of the ex parte communication. Notice of the filing, with an opportunity to respond, shall be given to all parties of record.

013.03E Filing and notice of filing provided under part 19 NAC 4-013.03D shall not be considered on the record and reasonable notice for purposes of the definition of ex parte communication.

014 Prehearing Procedures.

014.01 A hearing officer designated to conduct a hearing may determine, subject to the Department's rules and regulations, whether a prehearing conference will be conducted. If a prehearing conference is not held, a hearing officer for the hearing may issue a prehearing order, based on the pleadings, to regulate the conduct of the proceedings.

014.01A If a prehearing conference is conducted:

014.01A1 The hearing officer shall promptly notify the Department of the determination that a prehearing conference will be

conducted. The Department may assign another hearing officer for the prehearing conference; and

014.01A2 The hearing officer for the prehearing conference shall set the time and place of the conference and give reasonable written notice to all parties and to all persons who have filed written petitions to intervene in the matter. The Department shall give notice to other persons entitled to notice.

014.01A3 The notice referred to in subpart 19 NAC 4-014.01A2 shall include the following:

014.01A3(a) The names and mailing addresses of all parties and other persons to whom notice is being given by the hearing officer;

014.01A3(b) The name, official title, mailing address, and telephone number of any counsel or employee who has been designated to appear for the Department;

014.01A3(c) The official file or other reference number, the name of the proceeding, and a general description of the subject matter;

014.01A3(d) A statement of the time, place, and nature of the prehearing conference;

014.01A3(e) A statement of the legal authority and jurisdiction under which the prehearing conference and the hearing are to be held;

014.01A3(f) The name, official title, mailing address, and telephone number of the hearing officer for the prehearing conference;

014.01A3(g) A statement that a party who fails to attend or participate in a prehearing conference, hearing, or other stage of a contested case or who fails to make a good faith effort to comply with a prehearing order may be held in default under the APA; and

014.01A3(h) Any other matters that the hearing officer considers desirable to expedite the proceedings.

014.01B The hearing officer shall conduct a prehearing conference, as may be appropriate, to deal with such matters as exploration of settlement possibilities, preparation of stipulations, clarification of issues, rulings on identity and limitation of the number of witnesses, objections to proffers of evidence, determination of the extent to which direct evidence, rebuttal evidence, or cross-examination will be presented in written form and the extent to which telephone, television, or other electronic means will be used as a substitute for proceedings in person, order of presentation of evidence and cross-examination, rulings regarding issuance of subpoenas, discovery orders, and protective orders, and such other matters as will promote the orderly and prompt conduct of the hearing. The hearing officer shall issue a prehearing order incorporating the matters determined at the prehearing conference.

014.01C The hearing officer may conduct all or part of the prehearing conference by telephone, television, or other electronic means if each participant in the conference has an opportunity to participate in, to hear, and, if technically feasible, to see the entire proceeding while it is taking place.

014.02 Discovery in contested cases.

014.02A The hearing officer or a designee, at the request of any party or upon the hearing officer's own motion, may issue subpoenas, discovery orders, and protective orders in accordance with the rules of civil procedure except as may otherwise be prescribed by law. Subpoenas and orders issued under this subsection may be enforced by the district court.

014.02B Any prehearing motion to compel discovery, motion to quash, motion for protective order or other discovery-related motion shall:

014.02B1 Quote the interrogatory, request, question, or subpoena at issue, or be accompanied by a copy of the interrogatory, request, subpoena or excerpt of a deposition;

014.02B2 State the reasons supporting the motion;

014.02B3 Be accompanied by a statement setting forth the steps or efforts made by the moving party or his or her counsel to resolve by agreement the issues raised and that agreement has not been achieved; and

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014.02B4 Be filed with the Department. The moving party must serve copies of all such motions to all parties to the contested case.

014.02C Other than is provided in subpart 19 NAC 4-014.02B4, discovery materials need not be filed with the Department.

014.03 The hearing officer may, in his or her discretion, grant extensions of time or continuances of hearings upon the hearing officer's own motion or at the timely request of any party for good cause shown. A party must file a written motion for continuance which states in detail the reasons why a continuance is necessary and serve a copy of the motion on all other parties.

014.03A Good cause for an extension of time or continuance may include, but is not limited to, the following:

014.03A1 Illness of the party, legal counsel or witness;

014.03A2 A change in legal representation; or

014.03A3 Settlement negotiations are underway.

014.04 Amendments.

014.04A A petition may be amended at any time before an answer is filed or is due if notice is given to the respondent or his or her attorney. In all other cases, a petitioner must request permission to amend from the hearing officer.

014.04B A hearing officer may also allow, in his or her discretion, the filing of supplemental pleadings alleging facts material to the case occurring after the original pleadings were filed. A hearing officer may also permit amendment of pleadings where a mistake appears or where amendment does not materially change a claim or defense.

014.05 Unless otherwise precluded by law, informal disposition may be made of any contested case by stipulation, agreed settlement, consent order, or default.

015 Intervention in a Contested Case.

015.01 Intervention in a contested case shall be allowed when the following requirements are met:



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015.01A A petition for intervention must be submitted in writing to the hearing officer or designee at least five days before the hearing. Copies must be mailed by the petitioner for intervention to all parties named in the hearing officer's notice of the hearing;

015.01B The petition must state facts demonstrating that the petitioner's legal rights, duties, privileges, immunities, or other legal interests may be substantially affected by the proceeding or that the petitioner qualifies as an intervenor under any provision of law; and

015.01C The hearing officer or designee must determine that the interests of justice and the orderly and prompt conduct of the proceedings will not be impaired by allowing the intervention.

015.02 The hearing officer or designee may grant a petition for intervention at any time upon determining that the intervention sought is in the interests of justice and will not impair the orderly and prompt conduct of the proceedings.

015.03 If a petitioner qualifies for intervention, the hearing officer or designee may impose conditions upon the intervenor's participation in the proceedings, either at the time that intervention is granted or at any subsequent time. Those conditions may include:

015.03A Limiting the intervenor's participation to designated issues in which the intervenor has a particular interest demonstrated by the petition;

015.03B Limiting the intervenor's use of discovery, cross-examination, and other procedures so as to promote the orderly and prompt conduct of the proceedings; and

015.03C Requiring two or more intervenors to combine their presentation of evidence and argument, cross-examination, discovery, and other participation in the proceedings.

015.04 The hearing officer or designee, at least 24 hours before the hearing, shall issue an order granting or denying each pending petition for intervention, specifying any conditions and briefly stating the reasons for the order.

015.04A The hearing officer or designee may modify the order at any time, stating the reasons for the modification.

015.04B The hearing officer or designee shall promptly give notice of an order granting, denying, or modifying intervention to the petitioner for intervention and to all parties.

016 Conducting a Contested Case Hearing.

016.01 At the discretion of the hearing officer, the hearing may be conducted in the following order:

016.01A The hearing is called to order by the hearing officer. Any preliminary motions, stipulations or agreed orders are entertained.

016.01B Each party may be permitted to make an opening statement. Opening statements take place in the same order as the presentation of evidence.

016.01C Presentation of evidence.

016.01C1 Evidence will be received in the following order:

016.01C1(a) Evidence is presented by the petitioner;

016.01C1(b) Evidence is presented by the respondent;

016.01C1(c) Rebuttal evidence is presented by the petitioner; and

016.01C1(d) Surrebuttal evidence is presented by the respondent.

016.01C2 With regard to each witness who testifies, the following examination may be conducted:

016.01C2(a) Direct examination conducted by the party who calls the witness;

016.01C2(b) Cross-examination by the opposing party;

016.01C2(c) Redirect examination by the party who called the witness; and

016.01C2(d) Recross-examination by the opposing party.

016.01D After the evidence is presented, each party may have opportunity to make a closing argument. Closing arguments shall be made in the same order as the presentation of evidence. The hearing officer may request that the parties submit briefs in lieu of closing arguments.

016.02 Evidence.

016.02A In contested cases the Department or hearing officer may admit and give probative effect to evidence which possesses probative value commonly accepted by reasonably prudent persons in the conduct of their affairs and may exclude incompetent, irrelevant, immaterial and unduly repetitious evidence.

016.02B Any party to a formal hearing before the Department, from which a decision may be appealed to the courts of this state, may request that the Department be bound by the rules of evidence applicable in district court by delivering to the Department at least three days prior to the holding of the hearing a written request therefore. Such request shall include the requesting party's agreement to be liable for the payment of costs incurred thereby and upon any appeal or review thereof, including the cost of court reporting services which the requesting party shall procure for the hearing.

016.02C Documentary evidence may be received in the form of copies or excerpts or incorporated by reference.

016.02D All evidence including records and documents in the possession of the Department of which it desires to avail itself shall be offered and made a part of the record in the case. No factual information or evidence other than the record shall be considered in the determination of the case.

016.02E A hearing officer or designee may administer oaths and issue subpoenas in accordance with the rules of civil procedure except as may otherwise be prescribed by law. Subpoenas and orders issued under this subsection may be enforced by the district court.

016.02F The Department shall give effect to the rules of privilege recognized by law.

016.02G The Department may take official notice of cognizable facts and in addition may take official notice of general, technical, or scientific facts within its specialized knowledge and the rules and regulations adopted and promulgated by such Department.

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016.02G1 Parties shall be notified either before or during the hearing or by reference in preliminary reports or otherwise of materials so noticed.

016.02G2 Parties shall be afforded an opportunity to contest facts so noticed.

016.02G3 The record shall contain a written record of everything officially noticed.

016.02H The Department may utilize its experience, technical competence and specialized knowledge in the evaluation of the evidence presented to it.

016.03 The hearing officer may conduct all or part of the hearing by telephone, television, or other electronic means if each participant in the hearing has an opportunity to participate in, to hear, and, if technically feasible, to see the entire proceeding while it is taking place.

016.04 Official record.

016.04A The Department shall prepare an official record, which shall include testimony and exhibits, in each contested case, but it shall not be necessary to transcribe the record of the proceedings unless requested for purpose of rehearing or appeal, in which event the transcript and record shall be furnished by the Department upon request and tender of the cost of preparation.

016.04B The Department shall maintain an official record of each contested case under the APA for at least four years following the date of the final order.

016.04C The Department record shall consist only of the following:

016.04C1 Notices of all proceedings;

016.04C2 Any pleadings, motions, requests, preliminary or intermediate rulings and orders, and similar correspondence to or from the Department pertaining to the contested case;

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016.04C3 The record of the hearing before the Department, including all exhibits and evidence introduced during such hearing, a statement of matters officially noticed by the Department during the proceeding, and all proffers of proof and objections and rulings thereon; and

016.04C4 The final order.

016.04D As provided in section 19 NAC 4-013.03 the hearing officer or the Director, or employee who is or may reasonably be expected to be involved in the decision making process of the contested case who receives or who makes or knowingly causes to be made an ex parte communication as set forth in that subsection shall make the appropriate filings which shall be included in the official record of the contested case.

016.04E Except to the extent that the APA or another statute provides otherwise, the Department record shall constitute the exclusive basis for Department action in contested cases under the act and for judicial review thereof.

016.05 All costs of a formal hearing shall be paid by the party or parties against whom a final decision is rendered.

017 Contested Case Decision and Order.

017.01 Every decision and order adverse to a party to the proceeding, rendered by the Department in a contested case, shall be in writing or stated in the record and shall be accompanied by findings of fact and conclusions of law.

017.02 The decision and order should include:

017.02A The name of the Department and name of the proceeding;

017.02B The time and place of the hearing;

017.02C The names of all parties or their attorneys who entered an appearance at the hearing;

017.02D The findings of fact consisting of a concise statement of the conclusions upon each contested issue of fact;

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017.02E The conclusions of law consisting of the applications of the controlling law to the facts found and the legal results arising therefrom; and

017.02F The order consisting of the action taken by the Department as a result of the facts found and the legal conclusions arising therefrom.

017.03 Parties to the proceeding shall be notified of the decision and order in person or by mail. A copy of the decision and order and accompanying findings and conclusions shall be delivered or mailed upon request to each party or his or her attorney of record.

018 Appeals in Contested Cases.

018.01 Any person aggrieved by a final decision in a contested case is entitled to judicial review under the APA or to resort to such other means of review as may be provided by law.

018.02 Parties desiring to appeal the Department decision must file a petition for review in the district court of the county where the Department action is taken within thirty days after the service of the final decision by the Department.

018.03 Unless otherwise provided by statute, the procedures of Neb. Rev. Stat. §84-917 govern the procedure for taking an appeal.

019 Forms

019.01 Petition for Rule Change

BEFORE THE DEPARTMENT OF AGRICULTURE  
STATE OF NEBRASKA

IN THE MATTER OF )  
[INSERT NAME OF PETITIONER] ) PETITION FOR  
RULE MAKING

COMES NOW the petitioner, [insert name of Petitioner], according to the Administrative Procedure Act and according to the Nebraska Department of Agriculture rules and regulations for Petitions for Rule Making, and requests that the Department of Agriculture establish rule making as set forth in this Petition.

In support of this request, the Petitioner states as follows:

1. The Nebraska Department of Agriculture administers the provisions of [insert sections of the statutes or legislative bill numbers for which rule making is sought], and is responsible for development of rules and regulations to implement these statutes.
2. Petitioner seeks a rule making procedure to [check one]:
  - Develop new rules.
  - Amend existing rules, specifically \_\_\_\_ NAC \_\_\_\_, entitled \_\_\_\_\_.
  - Repeal certain existing rules, specifically \_\_\_\_ NAC \_\_\_\_, entitled \_\_\_\_\_.
3. In the case of a proposed new rule or amendment of an existing rule, include a copy of the desired rule in its entirety.
4. In the case of a petition for the repeal of an existing rule, include a copy of the rule proposed to be repealed in its entirety **OR** list the rule number, including title and chapter of the Nebraska Administrative Code.

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5. Petitioner states that the described rule change is needed for the following reasons:
6. Include full mailing address and telephone number [including area code] where the petitioner can be reached during regular work hours.
7. Petitioner offers the following additional information for use by this agency in consideration of this request [if any]:
8. Petitioner has attached the following documents in support of this request [list all documents attached]:

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_ .

\_\_\_\_\_  
Signature of Petitioner

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

If Petitioner is a corporation or other legal entity, signatory must be a duly authorized officer

OR

\_\_\_\_\_  
Signature of Petitioner's Attorney

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Address

\_\_\_\_\_  
Telephone number





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020 Annotation Neb. Rev. Stat. §§84-901 through 84-920.

NEBRASKA ADMINISTRATIVE CODE

TITLE 19 - NEBRASKA ADMINISTRATIVE CODE - CHAPTER 5

NEBRASKA DEPARTMENT OF AGRICULTURE

NEGOTIATED RULEMAKING PRACTICE AND PROCEDURE REGULATIONS

April, 2014



# NEBRASKA ADMINISTRATIVE CODE

## TITLE 19 – DEPARTMENT OF AGRICULTURE

### CHAPTER 5 – NEGOTIATED RULEMAKING PRACTICE AND PROCEDURE REGULATIONS

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## TITLE 19 – DEPARTMENT OF AGRICULTURE

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## NEBRASKA ADMINISTRATIVE CODE

### TITLE 19 – DEPARTMENT OF AGRICULTURE

#### CHAPTER 5 – NEGOTIATED RULEMAKING PRACTICE AND PROCEDURE REGULATIONS

##### 001 Definitions.

001.01 APA shall mean the Administrative Procedure Act, Neb. Rev. Stat. §§84-901 through 84-920.

001.02 Consensus shall mean unanimous concurrence among the interests represented on a negotiated rulemaking committee unless the committee agrees upon another specified definition.

001.03 Convenor shall mean a person who impartially assists the Department in determining whether establishment of a negotiated rulemaking committee is feasible and appropriate for a particular rulemaking procedure.

001.04 Department shall mean the Department of Agriculture.

001.05 Director shall mean the Director of the Department of Agriculture.

001.06 Facilitator shall mean a person who impartially aids in the discussion and negotiations among the members of a negotiated rulemaking committee to develop a proposed rule. A facilitator shall not have decision making authority.

001.07 Interest shall mean, with respect to an issue or matter, multiple parties that have a similar point of view or that are likely to be affected in a similar manner.

001.08 Negotiated rulemaking shall mean rulemaking through the use of a negotiated rulemaking committee.

001.09 Negotiated rulemaking committee or committee shall mean an advisory committee established to consider and discuss issues for the purpose of reaching a consensus in the development of a proposed rule.

001.10 Person shall mean an individual, partnership, limited liability company, corporation, association, governmental subdivision, agency, or public or private organization of any character.

001.11 Rule or regulation shall mean any rule, regulation, or standard issued by the Department, including the amendment or repeal thereof whether with or without prior hearing and designed to implement, interpret, or make specific the law enforced or administered by it or governing its organization or procedure, but not including rules and regulations concerning the internal management of the Department not affecting private rights, private interests, or procedures available to the public and not including permits, certificates of public convenience and necessity, franchises, rate orders and rate tariffs, and any rules of interpretation thereof, and for the purpose of the APA, every rule and regulation which shall prescribe a penalty shall be presumed to have general applicability or to affect private rights and interests.

002 Establishment of a Negotiated Rulemaking Committee. The Department may establish a negotiated rulemaking committee to negotiate and develop a proposed rule if the Director determines that the use of the negotiated rulemaking procedure is in the public interest. In making that determination, the Director shall consider whether:

002.01 There is a need for the rule.

002.02 There are a limited number of identifiable interests that will be significantly affected by the rule.

002.03 There is a reasonable likelihood that a committee can be convened with a balanced representation of persons who:

002.03A Can adequately represent the interests identified; and

002.03B Are willing to negotiate in good faith to reach a consensus on the proposed rule.

002.04 There is a reasonable likelihood that a committee will reach a consensus on the proposed rule within a fixed period of time.



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002.05 The negotiated rulemaking procedure will not unreasonably delay the notice of proposed formal rulemaking and the issuance of the final rule pursuant to the APA.

002.06 The Department has adequate resources and is willing to commit those resources, including technical assistance, to the committee.

002.07 The Department, to the maximum extent possible consistent with the legal obligations of the Department, will use the consensus of the committee as the basis of the rule proposed by the Department in the formal rulemaking process of the APA.

003 Convenors; Selection; Duties. The Department, at the discretion of the Director, may use the services of a convenor.

003.01 The Department may employ or contract for an organization or an individual to serve as a convenor, or may use the services of a state employee to act as a convenor. A convenor shall not have a financial or other interest that would preclude him or her from serving in an impartial and independent manner. The Department shall determine whether a person under consideration as a convenor has such an interest. A person disqualified under this criterion shall be dropped from further consideration.

003.02 The convenor may assist the Department in making the determination of need for a negotiated rulemaking process discussed in section 19 NAC 5-002. The convenor may also assist the Department in:

003.02A Identifying persons who will be significantly affected by a proposed rule.

003.02B Conducting discussions with affected persons on the issues of concern and ascertaining whether the establishment of a negotiated rulemaking committee is feasible and appropriate for the particular rulemaking.

003.03 The convenor shall report findings and make recommendations to the Department. Upon request of the Department, the convenor shall ascertain the names of persons who are willing and qualified to represent the interests that will be significantly affected by the proposed rule. That report by the convenor and any recommendations of the convenor shall be public records and made available to the public for review upon request.

004 Petitions for the Use of a Negotiated Rulemaking Committee. Any person may petition the Department to request the use of a negotiated rulemaking committee in the development or revision of a rule, as provided below.

004.01 A negotiated rulemaking process may be requested on any topic appropriate for a rule or regulation by the Department.

004.01A A negotiated rulemaking process may be requested only to develop or revise rules which carry out statutes that are within the authority of the Department to implement.

004.01B A negotiated rulemaking process may not be requested to develop a rule or regulation to vary or change the specific terms of a statute.

004.01C A negotiated rulemaking process may not be requested to negotiate a rule on a matter which is not within the definition of a rule or regulation as set forth in subsection 19 NAC 5-001.11.

004.02 A request for the use of a negotiated rulemaking procedure shall be made by a petition that meets the requirements of form set out in this subsection. In the event that it does not, the Department may refuse to accept it.

004.02A A petition may be in the form of a pleading that contains a caption, heading, and name in the form prescribed in 19 NAC 5-014.01.

004.02B A petition may also be made in the form of a letter so long as the letter contains all of the information required by these regulations and is clearly delineated as a petition for negotiated rulemaking.

004.02C All petitions must be on white, letter sized paper (8 1/2 by 11) of standard weight.

004.02D Petitions must be legible, and may be typewritten, photostatically reproduced, printed, or handwritten. If handwritten, petitions must be in ink. Only one side of a page of a petition shall contain any writing.

004.02E Any documents that are intended to accompany a petition shall be securely fastened, clearly marked as attachments to the petition, and meet the other requirements of this section as to size, print and legibility.

004.03 A petition for a negotiated rulemaking procedure shall meet the following requirements for content and substance. In the event that it does not, the Department may refuse to accept it.

004.03A The petition must identify the general subject matter about which the negotiated rulemaking procedure is requested, including the statutes or legislative bill(s) which provide authority for the desired regulation, and, if amendments to existing regulations are sought, identification of the regulations by title, chapter and name.

004.03B The petition must identify the specific issue(s) proposed for inclusion in the negotiated rulemaking process.

004.03C The petition must discuss the facts surrounding each problem or issue proposed for inclusion in the negotiated rulemaking process.

004.03D The petition must discuss why a negotiated rulemaking process is in the public interest, including information on each of the criteria set out in subsections 19 NAC 5-002.01 through 002.05. The petition may also include information on the criteria included in subsections 19 NAC 5-002.06 and 002.07, to the extent such information is available to the petitioner. The petitioner may also submit such other information as may assist the Department in making a decision.

004.03E The petition must identify persons who will be significantly affected by any rule which might result from the proposed negotiated rulemaking process, to the extent known by the petitioner. The petitioner may also suggest the names of persons who are willing and qualified to represent the interests that will be significantly affected by the negotiated rulemaking process and the proposed rule.

004.04 A petition for a negotiated rulemaking process shall be filed with the Director. Filing may be made by personal delivery during regular Department office hours or by mail.

004.05 Upon the filing of a petition for a negotiated rulemaking procedure, the Director may designate an employee of the Department or use the services of a convenor to recommend to the Director whether a negotiated rulemaking process should be initiated.

004.06 With sixty (60) days after submission of a petition for a negotiated rule making procedure, the Department shall:

004.06A Deny the petition in writing, stating the reason(s) for denial; or

004.06B Initiate the negotiated rulemaking process as provided in these rules.

004.07 The decision of the Department with respect to a petition for a negotiated rule making procedure may be made in the form of a pleading or a letter clearly designated as the decision on the petition. The petitioner shall be served with a copy of the Department's final decision by certified mail, return receipt requested.

004.08 A decision by the Department with respect to a petition for a negotiated rulemaking procedure is not subject to judicial review, although nothing herein shall bar a judicial review if such is otherwise provided by law.

005 Notice of a Negotiated Rulemaking Committee; Comment; Applications for Membership. If the Department decides to go forward with the establishment of a negotiated rulemaking committee, the Department shall proceed with the following process.

005.01 The Department shall give notice to the Secretary of State, publish notice in a newspaper having general circulation in the state, and, as appropriate, publish notice in other newspapers and publications. The notice shall include:

005.01A An announcement that the Department intends to establish a negotiated rulemaking committee to negotiate and develop a proposed rule.

005.01B A description of the subject and scope of the rule to be developed and the issues to be considered.

005.01C A list of interests likely to be significantly affected by the proposed rule.

005.01D A list of the persons proposed to represent the affected interests and the Department.

005.01E A proposed schedule for completing the work of the committee.

005.01F An explanation of how a person may apply for or nominate another person for membership on the committee.

005.02 Persons interested in making comments upon the formation of a particular proposed negotiated rulemaking committee shall have thirty (30) days from the date of publication of the notice concerning that committee to do so. Such comments shall be in writing, and shall either be personally delivered to the Department or mailed to the Department at its business office.

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005.03 Persons interested in applying for membership on a particular proposed negotiated rulemaking committee or in nominating other persons for such membership shall have thirty (30) days from the date of publication of the notice concerning that committee to do so. Persons making application for membership or nominations for membership shall do so in the form prescribed in 19 NAC 5-014.02 which shall be provided by the Department.

005.04 Persons making application for membership or nominations for membership may also do so by letter, so long as the letter contains all of the information set out in 19 NAC 5-014.02 and is clearly delineated as an application or nomination for membership on a specific negotiated rulemaking committee.

006 Establishment of a Negotiated Rulemaking Committee; Procedure. After publication of notice and termination of the comment and membership application period, the Department will consider the comments and membership applications for a particular negotiated rulemaking committee and determine whether such a committee can adequately represent the interests of the persons that will be significantly affected by a proposed rule, and whether such a committee is feasible and appropriate in the particular rulemaking. In making the final determination as to creation of a negotiated rulemaking committee, the Department may use the services of a convener as set out in section 19 NAC 5-003. In making the final determination as to creation of a negotiated rulemaking committee, this Department and its Department director will apply the criteria set out in 19 NAC 5-002.

006.01 If, after such a determination, the Department decides that a negotiated rulemaking procedure is feasible, it may establish a negotiated rulemaking committee as provided in these regulations. The committee will negotiate issues and develop proposed rules for use by the Department in formal rulemaking.

006.02 If, after such a determination, the Department decides not to establish a negotiated rulemaking committee, the Department shall:

006.02A Notify the persons who commented on, applied for membership on or nominated persons for membership on the particular negotiated rulemaking committee of the reasons for the decision not to establish such a committee.

006.02B Publish notice of the decision not to establish the particular negotiated rulemaking committee in a newspaper having general circulation in the state, and, as appropriate, in other newspapers and publications.

007 Negotiated Rulemaking Committee; Membership. All members of a negotiated rulemaking committee shall participate in the deliberations of the committee with the same rights and responsibilities as other members.

007.01 Members of a negotiated rulemaking committee may include:

007.01A A person designated by the Department to represent the Department. This person shall be authorized to fully represent the Department in the discussions and negotiations of the committee.

007.01B Persons selected by the Department as willing and qualified to represent the interests that will be significantly affected by the proposed rule.

007.01C Persons contacted and recruited by the negotiated rulemaking committee itself by consensus as essential to the success of the negotiated rulemaking process.

007.01D Persons selected by the negotiated rulemaking committee by consensus upon committee review of a petition for membership or nomination as set out in subsection 19 NAC 5-007.02.

007.02 Persons who will be significantly affected by a proposed rule and who believe that their interests will not be adequately represented by any person on a negotiated rulemaking committee may petition for or nominate another person for membership on the negotiated rulemaking committee.

007.02A Each petition or nomination for committee membership shall be in writing and be submitted to the negotiated rulemaking committee by delivering or mailing the same to the Department. All such petitions or nominations shall include:

007.02A1 Identification of the applicable negotiated rulemaking proceeding.

007.02A2 The name of the petitioner or nominee, and a description of the interests the person represents.

007.02A3 Evidence that the petitioner or nominee is authorized to represent parties related to the interests the person proposes to represent.

007.02A4 A written commitment that the petitioner or nominee will actively participate in good faith in the development of the rule under consideration.

007.02A5 An explanation of reasons that the persons already on the negotiated rulemaking committee do not adequately represent the interests of the person submitting the petition or nomination.

007.02B Persons wishing to file such a petition for membership or nomination to a negotiated rulemaking committee may use the form prescribed in 19 NAC 5-014.03. Persons wishing to file such a petition for membership or nomination to a negotiated rulemaking committee may also do so by letter, provided that the letter contains the information set forth above.

007.02C Upon receiving a petition for membership or nomination to a particular negotiated rulemaking committee, the committee in question shall decide, by consensus at its next meeting, whether or not to expand its membership.

008 Negotiated Rulemaking Committee; Operation. A negotiated rulemaking committee established under these rules shall consider the matter proposed by the Department for consideration and shall attempt to reach consensus concerning a proposed rule and any other matter the committee determines is relevant to the proposed rule.

008.01 A negotiated rulemaking committee may adopt procedures or ground rules for the operation of the committee consistent with these rules and the pertinent Nebraska statutes.

008.02 The Department shall provide appropriate administrative support to a negotiated rulemaking committee including technical assistance and support.

008.03 The person representing the Department on a negotiated rulemaking committee shall participate in the deliberations of the committee with the same rights and responsibilities as other members of the committee and shall be authorized to fully represent the Department in the discussions and negotiations of the committee.

008.04 If a negotiated rule making committee achieves consensus on a proposed rule at the conclusion of the negotiations, the committee shall transmit to the Department a report containing the proposed rule.

008.05 If a negotiated rulemaking committee does not reach a consensus on the proposed rule, the committee shall transmit to the Department a report specifying areas in which the committee reached consensus and the issues that remain unresolved. The committee may include in the report any other information, recommendations, or materials that the committee considers appropriate. Any member of the committee may include as an addendum to the report additional information, recommendations or materials.

009 Facilitators; Selection; Duties. A facilitator shall be selected to assist a negotiated rulemaking committee with its duties.

009.01 The Department may nominate a person to serve as a facilitator for the negotiations of a negotiated rulemaking committee, subject to the approval of the committee by consensus. If the committee does not approve the Department's nomination for facilitator, the Department shall submit a substitute nomination. If the committee does not approve the substitute nomination of the Department for facilitator, the committee shall select, by consensus, a person to serve as facilitator.

009.02 The Department may employ or contract for an organization or an individual to serve as a facilitator for a negotiated rulemaking committee or the Department may use the services of a state employee to act as a facilitator. A person designated by the Department to represent it on a negotiated rulemaking committee with respect to substantive issues may not serve as the facilitator. A facilitator shall not have a financial or other interest that would preclude him or her from serving in an impartial and independent manner. The Department shall determine whether a person under consideration for facilitator has such an interest. A person disqualified under this criterion shall be dropped from further consideration.

009.03 A facilitator approved or selected by a committee shall:

009.03A Preside at the meetings of the committee in an impartial manner.

009.03B Impartially assist members in conducting discussions and negotiations and achieving consensus.

009.03C Manage the keeping of minutes and records.

010 Negotiated Rulemaking Committee; Expenses. Members of a negotiated rulemaking committee shall be responsible for their own expenses of participation. However, the Department may pay for a committee member's actual and necessary expenses incurred in serving on the committee as provided in Neb. Rev. Stat. §§81-1174 through 81-1177 and a reasonable per diem rate of compensation if:



010.01 The committee member certifies a lack of adequate financial resources to participate on the committee in the form prescribed in 19 NAC 5-014.04; and,

010.02 The Department determines that the committee member's participation is necessary to assure an adequate representation of the interests of the members.

011 Grants or Gifts. The Department may accept grants or gifts from any source to fund a negotiated rulemaking process if:

011.01 Information on the name of the person giving the grant or gift and the amount of the grant or gift is available to the public.

011.02 The grant or gift is given to and accepted by the Department without placing any condition on the membership of a committee or the outcome of the negotiated rulemaking process.

011.03 There is a consensus among the members of the negotiated rulemaking committee that the acceptance of the grant or gift will not diminish the integrity of the negotiated rulemaking process.

012 Negotiated Rulemaking Committee; Termination. A negotiated rulemaking committee shall terminate upon the adoption of the final rule under consideration by the Department pursuant to the APA, unless the Department, after consulting the committee, or the committee itself specifies an earlier termination date.

013 Negotiated Rulemaking Procedure; Judicial Review. Any action of the Department relating to establishing, assisting or terminating a negotiated rulemaking committee under the Negotiated Rulemaking Act shall not be subject to judicial review, except that nothing in this section shall bar judicial review if such judicial review is otherwise provided by law.

014 Forms.

014.01 Petition for Negotiated Rulemaking.

BEFORE THE DEPARTMENT OF AGRICULTURE  
STATE OF NEBRASKA

IN THE MATTER OF [INSERT STATUTE )  
NUMBERS OR NAME] BY [INSERT NAME )      PETITION FOR NEGOTIATED  
OF PETITIONER] )                              RULEMAKING

COMES NOW the petitioner, [insert name of Petitioner], according to the Nebraska Negotiated Rulemaking Act and according to the Nebraska Department of Agriculture rules and regulations for Petitions for Negotiated Rulemaking, and requests that the Nebraska Department of Agriculture establish a negotiated rulemaking committee as set forth in this Petition.

In support of this request, the Petitioner states as follows:

1. The Nebraska Department of Agriculture administers the provisions of [insert sections of the statutes or legislative bill numbers for which negotiated rulemaking is sought], and is responsible for development of rules and regulations to implement these statutes.

2. Petitioner seeks a negotiated rulemaking procedure to [check one]:

- Develop new rules.
- Amend existing rules, specifically \_\_\_\_ NAC \_\_\_\_, entitled \_\_\_\_\_.
- Repeal certain existing rules, specifically \_\_\_\_ NAC \_\_\_\_, entitled \_\_\_\_\_.

3. A negotiated rulemaking committee should be established to negotiate and develop rules on each of the following issues concerning the statute(s), legislative bill(s) or regulation(s) identified above [identify each issue as to each statute, legislative bill or regulation and the general scope of the rulemaking proposed]:

4. The facts surrounding each of the issues listed in paragraph 3 above are as follows:

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5. Establishment of a negotiated rulemaking committee would be in the public interest under each of the following criteria based upon the information the Petitioner hereby submits.

A. There is a need for rulemaking on the issue(s) identified above because:

B. There are a limited number of identifiable interests that will be significantly affected by the rule, including the following interests:

C. There is a reasonable likelihood that a negotiated rulemaking committee can be convened with a balanced representation of people (1) who can adequately represent the interests identified above; and (2) are willing to negotiate in good faith to reach a consensus on the proposed rule, as shown by the following:

D. There is a reasonable likelihood that a committee will reach a consensus on the proposed rule within a fixed period of time because:

E. The use of this procedure will not unreasonably delay formal rulemaking and issuance of a final rule because:

F. [Optional for response by Petitioner] The Nebraska Department of Agriculture should commit its resources, including technical assistance, to such a committee because:

G. [Optional for response by Petitioner] The Nebraska Department of Agriculture should, to the maximum extent possible consistent with its legal obligations, use a consensus of such a committee as the basis for a rule to be adopted under the Administrative Procedure Act because:

6. The following persons will be significantly affected by any rule which might result from the negotiated rulemaking procedure which is the subject of this Petition [identify such persons by name and address where possible]:

7. The following persons may be willing and qualified to represent the interests that will be significantly affected by any rule which might result from the negotiated

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rulemaking procedure which is the subject of this Petition [identify such persons by name and address where possible]:

8. Petitioner offers the following additional information for use by this agency in consideration of this request [if any]:

9. Petitioner has attached the following documents in support of this request [list all documents attached]:

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_ .

\_\_\_\_\_  
Signature of Petitioner

\_\_\_\_\_  
List Petitioner's name [typed or printed]

\_\_\_\_\_  
List Petitioner's full mailing address

\_\_\_\_\_  
List Petitioner's telephone number  
[including area code]

014.02 Application/Nomination for membership on a committee.

BEFORE THE DEPARTMENT OF AGRICULTURE  
STATE OF NEBRASKA

IN THE MATTER OF THE NEGOTIATED )  
RULEMAKING COMMITTEE FOR ) APPLICATION/NOMINATION  
[INSERT NAME OF THE PROPOSED ) FOR MEMBERSHIP  
NEGOTIATED RULEMAKING COMMITTEE], ) ON THE COMMITTEE  
\_\_\_\_ NAC \_\_\_\_ )

APPLICATION FOR MEMBERSHIP  
(Complete if applicable)

1. The undersigned person (the applicant) hereby applies for membership on the above-referenced negotiated rulemaking committee proposed by the Nebraska Department of Agriculture.

\_\_\_\_\_  
Name of applicant (typed or printed)

\_\_\_\_\_  
Full address of applicant

\_\_\_\_\_  
Applicant's telephone number  
(Including area code)

2. The applicant represents the following identifiable interest which will be significantly affected by the proposed administrative rule to be considered by the above-referenced Negotiated Rulemaking Committee:

3. The applicant is authorized to represent parties related to the interest listed above because:

4. The applicant can adequately represent the parties and interest listed above because:

5. In support of his or her application, the applicant has attached the following documents to this petition (list all attachments):

6. By signing this application, the applicant hereby certifies that he or she will represent the interest identified above to the best of his or her ability in the negotiation process, and that he or she is willing to actively negotiate in good faith to reach a consensus on the proposed rule to be considered by the above-referenced Negotiated Rulemaking Committee.

\_\_\_\_\_  
Signature of Applicant

\_\_\_\_\_ Date \_\_\_\_\_

NOMINATION FOR MEMBERSHIP  
(Complete if applicable)

1. The undersigned person (the nominating party) hereby nominates the following person (the nominee) for membership on the above-referenced Negotiated Rulemaking Committee proposed by the Nebraska Department of Agriculture.

\_\_\_\_\_  
Name of nominee (typed or printed)

\_\_\_\_\_  
Full address of nominee

\_\_\_\_\_  
Nominee's telephone number  
(including area code)

2. The nominee represents the following identifiable interest which will be significantly affected by the proposed administrative rule to be considered by the above-referenced Negotiated Rulemaking Committee:

3. The nominee is authorized to represent parties related to the interest listed above because:

4. The nominee can adequately represent the interest and parties listed above because:

5. In support of the nomination of the nominee, the nominating party has attached the following documents to this petition (list attachments):

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6. The nominating party believes that the nominee will represent the interest identified above to the best of his or her ability and that the nominee is willing to negotiate in good faith to reach a consensus on the proposed rule to be considered by the above-referenced Negotiated Rulemaking Committee because:

\_\_\_\_\_ Date \_\_\_\_\_  
Signature of Nominating Party

\_\_\_\_\_  
Name of nominating party  
(printed or typed)

\_\_\_\_\_  
Full address of nominating party

\_\_\_\_\_  
Telephone number of nominating party  
(include area code)

014.03 Application for membership of inadequately represented interest.

BEFORE THE DEPARTMENT OF AGRICULTURE  
STATE OF NEBRASKA

IN THE MATTER OF THE NEGOTIATED )  
COMMITTEE FOR )  
[INSERT NAME OF THE PROPOSED )  
NEGOTIATED RULEMAKING COMMITTEE], ) INADEQUATELY REPRESENTED)  
\_\_\_ NAC \_\_ . )

APPLICATION FOR MEMBERSHIP  
(complete if applicable)

1. The undersigned person (the applicant) hereby applies for membership on the above-referenced Negotiated Rulemaking Committee.

\_\_\_\_\_  
Name of applicant (typed or printed)

\_\_\_\_\_  
Full address of applicant

\_\_\_\_\_  
Applicant's telephone number  
(including area code)

2. The applicant represents the following identifiable interest which will be significantly affected by the proposed administrative rule being considered by the above-referenced Negotiated Rulemaking Committee:

3. The applicant is authorized to represent parties related to the interest listed above because:

4. The applicant can adequately represent the parties and interest listed above because:

5. Reasons that persons already serving on the above-referenced Negotiated Rulemaking Committee do not adequately represent the interest listed in paragraph 2 above include:



6. In support of his or her application, the applicant has attached the following documents to this petition (list all attachments):

7. By signing this application, the applicant hereby certifies that he or she will represent the interest identified above to the best of his or her ability in the negotiation process, and that he or she is willing to actively negotiate in good faith to reach a consensus on the proposed rule being considered by the above-referenced Negotiated Rulemaking Committee.

\_\_\_\_\_  
Signature of Applicant

Date \_\_\_\_\_

NOMINATION FOR MEMBERSHIP  
(complete, if applicable)

1. The undersigned person (the nominating party) hereby nominates the following person (the nominee) for membership on the above-referenced Negotiated Rulemaking Committee.

\_\_\_\_\_  
Name of nominee (typed or printed)

\_\_\_\_\_  
Full address of nominee

\_\_\_\_\_  
Nominee's telephone number  
(including area code)

2. The nominee represents the following identifiable interest which will be significantly affected by the proposed administrative rule being considered by the above-referenced Negotiated Rulemaking Committee:

3. The nominee is authorized to represent parties related to the interest listed above because:

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4. The nominee can adequately represent the interest listed above because:
5. Reasons that persons already serving on the above-referenced Negotiated Rulemaking Committee do not adequately represent the interest listed in paragraph 2 above include:
6. In support of the nomination of the nominee, the nominating party has attached the following documents to this petition (list all attachments):
7. The nominating party believes that the nominee will represent the interest identified above to the best of his or her ability and that the nominee is willing to actively negotiate in good faith to reach a consensus on the proposed rule to be considered by the above-referenced Negotiated Rulemaking Committee because:

\_\_\_\_\_ Date \_\_\_\_\_  
Signature of nominating party

\_\_\_\_\_  
Name of nominating party  
(printed or typed)

\_\_\_\_\_  
Full address of nominating party

\_\_\_\_\_  
Telephone number of nominating party  
(include area code)

014.04 Certification of financial need.

BEFORE THE DEPARTMENT OF AGRICULTURE  
STATE OF NEBRASKA

IN THE MATTER OF THE NEGOTIATED )  
RULEMAKING COMMITTEE FOR ) CERTIFICATION OF FINANCIAL  
[INSERT NAME OF THE PROPOSED ) NEED  
NEGOTIATED RULEMAKING COMMITTEE], )  
\_\_\_\_ NAC \_\_\_\_.

STATE OF NEBRASKA )  
 ) ss.  
COUNTY OF \_\_\_\_\_ )

COMES NOW the undersigned, being first duly sworn, and hereby states and certifies as follows:

1. I am a member of the above-referenced Negotiated Rulemaking Committee created by the Nebraska Department of Agriculture.
2. In connection with my duties on that committee, I represent [insert the name of the appropriate identified interest].
3. In connection with my duties on that Committee, I have incurred or will incur expenses or other costs.
4. I certify that I have a lack of adequate financial resources to serve on the above-referenced Negotiated Rulemaking Committee, and that I need financial assistance from the Nebraska Department of Agriculture in order to serve.

\_\_\_\_\_  
Signature of Affiant

\_\_\_\_\_  
Name of Affiant (typed or printed)

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

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015 Annotation Neb. Rev. Stat. §§84-921 to 84-932.

T19 Ch5 draft negotiated regs.wpd