TITLE 172 PROFESSIONAL AND OCCUPATIONAL LICENSURE

CHAPTER 88 <u>LICENSURE OF</u> MEDICINE AND SURGERY AND OSTEOPATHIC MEDICINE AND SURGERY

<u>88-001 SCOPE AND AUTHORITY:</u> These regulations are intended to implement the laws governing the practice of Medicine and Surgery and Osteopathic Medicine and Surgery pursuant to <u>Neb. Rev. Stat.</u> §§ 71-1,102 to 71-1,107.30, 71-1,137 to 71-1,141 govern the credentialing of medicine and surgery under Neb. Rev. Stat. §§ 38-2001 through 38-2062 and the Uniform Licensing Law Credentialing Act (UCA).

88-002 DEFINITIONS

Act means Neb. Rev. Stat. § 71-1,102 et seq. known as the Practice of Medicine and Surgery.

Accredited hospital means a hospital accredited by the Department, with the recommendation of the Board

<u>Accredited School or College of Medicine</u> means a United States or Canadian school or college which conforms to the standards required for accreditation by the Liaison Committee on Medical Education (LCME) sponsored by the Association of American Medical Colleges and the American Medical Association and is approved by the Department, upon recommendation of the Board.

<u>Accredited School or College of Osteopathic Medicine</u> means a school or college which conforms to the standards required for accreditation by the American Osteopathic Association Bureau of Professional Education and is approved by the Department, upon recommendation of the Board.

Active addiction means current physical or psychological dependence on alcohol or a substance, which develops following the use of alcohol or a substance on a periodic or continuing basis.

Alcohol or substance abuse means a maladaptive pattern of alcohol or substance use leading to clinically significant impairment or distress as manifested by one or more of the following occurring at any time during the same 12-month period:

- 1. Recurrent alcohol or substance use resulting in a failure to fulfill major role obligations at work, school, or home;
- 2. Recurrent alcohol or substance use in situations in which it is physically hazardous;
- 3. Recurrent legal problems related to alcohol or substance use; or
- 4. Continued alcohol or substance use despite having persistent or recurrent social or interpersonal problems caused or exacerbated by the effects of the alcohol or substance use.

<u>Approved Graduate Medical Education</u> means a program of graduate medical education, <u>approved by the Board</u>, <u>approved that is accredited</u> by the Accreditation Council for Graduate

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Medical Education (ACGME), the Royal College of Physicians and Surgeons of Canada, the College of Family Physicians of Canada, or has been deemed by the Board as comparable to the requirements of ACGME. served in the United States or Canada, or any program the Board deems comparable to the requirements of ACGME, and approved by the Department upon recommendation of the Board.

<u>Approved Graduate Osteopathic Medical Education</u> means a program of graduate medical education approved by the Council on Postdoctoral Training (COPT) served in the United States, or <u>has been deemed by the Board as comparable to the requirements of COPT.</u> any program the Board deems comparable to the requirements of COPT, and approved by the Department upon recommendation of the Board.

<u>Attest/ or Attestation</u> means that the individual declares that all statements on the application/petition are true and complete.

Board means the Board Medicine and Surgery.

<u>Completed application</u> means an application with that contains all of the information requested on the application, with attestation to its truth and completeness, and that is submitted with the required fees and all required documentation filled in, the signature of the applicant verified, fees and all required documentation submitted.

<u>Completed petition</u> for reinstatement means a petition with all of the information requested on the petition filled in, the signature of the applicant verified, fees and all required documentation submitted.

<u>Comprehensive Osteopathic Medical Variable Purpose Examination for the United States of</u> <u>America (COMVEX-USA)</u> means the examination made available by the National Board of Osteopathic Medical Examiners.

Confidential information means information protected as privileged under applicable law.

Consumer means a person receiving health or health-related services or environmental services and includes a patient, client, resident, customer, or person with a similar designation.

Conviction means a plea or verdict of guilty or a conviction following a plea of nolo contendere or non vult contendere made to a formal criminal charge, or a judicial finding of guilt irrespective of the pronouncement of judgment or the suspension thereof, and includes instances in which the imposition or the execution of sentence is suspended following a judicial finding of guilt and the defendant is placed on probation.

Credential means a license, certificate, or registration.

<u>Department</u> means the <u>Division of Public Health of the</u> Department of Health and Human Services Regulation and Licensure.

Dependence means a maladaptive pattern of alcohol or substance use, leading to clinically significant impairment or distress, as manifested by three or more of the following occurring at

any time in the same 12-month period:

- 1. Tolerance as defined by either of the following:
 - a. A need for markedly increased amounts of alcohol or the substance to achieve intoxication or desired effect; or
 - b. A markedly diminished effect with continued use of the same amount of alcohol or the substance;
- 2. Withdrawal as manifested by either of the following:
 - a. The characteristic withdrawal syndrome for alcohol or the substance as referred to in the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition, published by the American Psychiatric Association; or
 - b. Alcohol or the same substance or a closely related substance is taken to relieve or avoid withdrawal symptoms;
- 3. Alcohol or the substance is often taken in larger amounts or over a longer period than was intended;
- 4. A persistent desire or unsuccessful efforts to cut down or control alcohol or substance use:
- 5. A great deal of time is spent in activities necessary to obtain alcohol or the substance, to use alcohol or the substance; or to recover from the effects of use of alcohol or the substance;
- 6. Important social, occupational, or recreational activities are given up or reduced because of alcohol or substance use; or
- 7. Alcohol or substance use continues despite knowledge of having had a persistent or recurrent physical or psychological problem that was likely to have been caused or exacerbated by alcohol or the substance.

<u>Director</u> means the Director <u>of Public Health of the Division of Public Health or his/her designee</u> of Regulation and Licensure or the Chief Medical Officer if one has been appointed pursuant to <u>Neb. Rev. Stat.</u> §82-3201, for performance of the duties set out in that statute.

Federation Credentials Verification Service (FCVS) means the permanent, central repository of core credential documents that have been verified through primary sources administered by the Federation of State Medical Boards.

Fellowship means a program of supervised educational training, approved by the Board, in a medical specialty or subspecialty at an accredited hospital, an accredited school or college of medicine, or an accredited school or college of osteopathic medicine, that follows the completion of undergraduate medical education.

<u>FLEX Weighted Average</u> means the formula used to determine the examination score for the FLEX examination administered prior to 1985. Such formula is as follows: Day 1 score multiplied by 1; Day 2 score multiplied by 2; Day 3 score multiplied by 3. The total of these three scores is divided by 6 which equals the FLEX Weighted Average.

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<u>Foreign Medical Graduate</u> means a graduate of a school or college of medicine not in the United States or Canada (foreign medical school) which is recognized by the appropriate government agency in the country where the medical school is located.

<u>Health Professional Shortage Area</u> means a geographic area designated a health profession shortage area by the Nebraska Rural Health Advisory Commission.

Inactive credential means a credential which the credential holder has voluntarily placed on inactive status and by which action has terminated the right to practice or represent him/herself as having an active credential.

License means an authorization issued by the Department to an individual to engage in a profession or to a business to provide services which would otherwise be unlawful in this state in the absence of such authorization.

<u>Licensing examination</u> means the: 1. Federation Licensing Examination (FLEX), developed by the Federation of State Medical Boards of the United States of America, Inc.; 2. The National Board of Medical Examiners (NBME); 3. The National Board of Osteopathic Medical Examiners (NBOME); 4. Comprehensive Osteopathic Medical Examination (COMLEX); 5. Licentiate of the Medical Council of Canada (LMCC); and 6. The United States Medical Licensing Examination (USMLE) in any of the following combinations:

- 1. USMLE Step 1, Step 2, and Step 3;
- 2. NBME Part I or USMLE Step 1 plus NBME Part II or USMLE Step 2 plus NBME Part III or USMLE Step 3;
- 3. FLEX Component 1 plus USMLE Step 3; or
- 4. NBME Part 1 or USMLE Step 1 Plus NBME Part II or USMLE Step 2 plus FLEX Component 2.
- 1. The Federation Licensing Examination (FLEX);
- 2. The National Board of Medical Examiners (NBME);
- 3. The United States Medical Licensing Examination (USMLE);
- 4. The National Board of Osteopathic Medical Examiners (NBOME);
- 5. The Comprehensive Osteopathic Medical Licensure Examination of the United States (COMLEX-USA);
- 6. The Licentiate of the Medical Council of Canada (LMCC); and,
- 7. Any of the following combinations of examinations:

Any three sequence examination combination of parts 1, 2 and 3 of the USMLE, NBME, NBOME, or COMLEX.

FLEX Component 1 can be combined with NBME Part III, USMLE Step 3, NBOME Part III or COMLEX Level 3.

FLEX Component 2 can be combined with NBME Parts I and II, USMLE Steps 1 and 2, NBOME Parts I and II or COMLEX Levels 1 and 2.

An applicant who fails to pass any part of the licensing examination within four attempts must complete one additional year of post graduate medical education at an accredited

school or college of medicine or osteopathic medicine.

All parts of the licensing examination must be successfully completed within seven years, except that if the applicant has been enrolled in a combined doctorate of medicine and doctorate of philosophy degree program in an accredited school or college of medicine, all parts of the licensing examination must be successfully completed within ten years. An applicant who fails to successfully complete the licensing examination within the time allowed must retake that part of the examination which was not completed within the time allowed.

If the FLEX examination is used prior to 1985, the method must document that the examination was administered and passed at one sitting.

If a FLEX examination is taken, a FLEX weighted average of 75 must be attained if examined prior to January 1, 1985. A grade of 75 is required in each component administered after January 1, 1985.

<u>Locum Tenens</u> means a physician and surgeon who is duly licensed to practice medicine and surgery in another state who has been recommended by the secretary of the Board of Examiners in the state of licensure and who has been granted temporary practice rights by the Board, with the approval of the Department, for a period not to exceed three months in any 12 month period.

<u>NAC</u>-means the Nebraska Administrative Code, the system for classifying State agency rules and regulations. These regulations are 172 NAC 88.

Person means an individual, not a corporation, partnership, or other business entity.

<u>Reciprocity Examination</u> means an examination which is determined to be comparable by the Board to a licensing examination, such as the Special Purpose Examination (SPEX) made available by the Federation of State Medical Board of the United States, Inc., or a state board examination.

Military service means full-time duty in the active military service of the United States, a National Guard call to active service for more than 30 consecutive days, or active service as a commissioned officer of the Public Health Service or the National Oceanic and Atmospheric Administration. Military service may also include any period during which a servicemember is absent from duty on account of sickness, wounds, leave, or other lawful cause. (From the Servicemembers Civil Relief Act, 50 U.S.C. App. 501 et seq., as it existed on January 1, 2007.

Pattern of incompetent or negligent conduct means a continued course of incompetent or negligent conduct in performing the duties of the profession.

Physician Locum Tenens means a permit that may be issued by the Department, with the recommendation of the Board, to an individual who holds an active license to practice medicine and surgery or osteopathic medicine and surgery in another state when circumstances indicate a need for the issuance of a physician locum tenens in the State of Nebraska. A physician locum tenens permit may be issued for a period not to exceed 90 days in any 12-month period.

Profession means any profession or occupation named in subsection (1) or (2) of Neb. Rev. Stat. § 38-121.

Refresher course means a planned program of supervised educational training, approved by the Board, that provides a review of medical knowledge and skills for the purpose of the enhancement of clinical competency.

Served in the regular armed forces has the same meaning as "military service" in these regulations.

<u>Special Purpose Examination (SPEX)</u> means the examination made available by the Federation of State Medical Boards of the United States, Inc.

<u>State Examination means an examination administered by a state, territory of the United States, or District of Columbia for purposes of determining eligibility for initial licensure of physicians or osteopathic physicians and surgeons.</u>

Temporary educational permit means a permit to practice medicine and surgery, osteopathic medicine and surgery, or any of their allied specialties in graduate medical education, a fellowship, or a refresher course.

Visiting faculty permit means a permit for a physician qualified by virtue of previous medical training and experience to teach students of medicine, to conduct research, or both.

<u>Unprofessional conduct</u> means conduct as defined by <u>Neb. Rev. Stat.</u> § 71-148 or as defined by 172 NAC 88-013.

88-003 INITIAL LICENSE TO PRACTICE MEDICINE AND SURGERYREQUIREMENTS FOR <u>ISSUANCE OF LICENSE TO PRACTICE MEDICINE AND SURGERY</u>: Any person who wishes to practice Medicine and Surgery must obtain a license. The criteria for issuance of a license and the documentation required by the Department and the Board are set forth below.

88-003.01 Qualifications: License To Practice Medicine and Surgery Obtained By Examination: To obtain a license by examination on the basis of medical education received in the United States or Canada, an applicant must:

<u>88-003.01A</u> To receive a license to practice medicine and surgery by examination on the basis of education received at an accredited school or college of medicine, an individual must meet the following qualifications:

- 1. <u>Age and Good Character: Be at least 19 years old and of good</u> <u>character;</u>
- 2. <u>Citizenship/Lawful Presence: Be a citizen of the United States, an</u> alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act;
- 3. <u>Education:</u> Have graduated from an accredited school or college

of medicine; and

- 4. <u>88-003.01B</u> Graduate Medical Education: Have successfully completed one year of approved graduate medical education; and
- 5. <u>88-003.01C</u> Examination: Have successfully passed a licensing examination; and
- 6. <u>88-003.01D</u> <u>Maintenance of CompetencyExperience:</u> Meet one of the following within the three years immediately preceding the application for licensure:
- 1. <u>a.</u> Have been in the active practice of the profession of medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
- 2. <u>b.</u> Have had at least one year of approved graduate medical education; or
- 3. <u>c.</u> Have completed <u>continuing medical education</u> <u>approved by the Board</u> at least 75 hours of Category 1 continuing medical education approved by the Accreditation Council for Continuing Medical Education (ACCME) or the American Osteopathic Association (AOA); or
- 4. <u>d.</u> Have completed a refresher course in medicine and surgery approved by the Board; or
- 5. <u>e.</u> Have completed the special purposes examination approved by the Board.

88-003.01E Have attained at least the age of 19; and

<u>88-003.01F</u> Have good moral character; and

88-003.01B To receive a license to practice medicine and surgery by examination as a Foreign Medical Graduate, an individual must meet the following qualifications:

- 1. Age and Good Character: Be at least 19 years old and of good character:
- 2. Citizenship/Lawful Presence: Be a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- 3. Education: Be a Foreign Medical Graduate;
- 4. Graduate Medical Education: Have successfully completed three years of approved graduate medical education;
- 5. Examination: Have successfully passed a licensing examination; and
- 6. Experience: Meet one of the following within the three years immediately preceding the application for licensure:

a. Have been in the active practice of the profession of

medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or

- b. Have had at least one year of approved graduate medical education; or
- c. Have completed continuing medical education approved by the Board; or
- d. Have completed a refresher course in medicine and surgery approved by the Board; or
- e. Have completed the special purpose examination approved by the Board.
- 7. Equivalency: Meet one of the following:
 - a. Have been issued a permanent certificate by the Educational Commission on Foreign Medical Graduates (ECFMG); or
 - b. Have successfully passed the Visa Qualifying Examination or its successor or equivalent examination required by the United States Department of Health and Human Services and the United States Citizenship and Immigration Services; or
 - c. Have successfully completed a program of American medical training designated as the Fifth Pathway and passed the ECFMG Examination;

<u>88-003.01C</u> To receive a license to practice medicine and surgery on the basis of a license in another state or territory of the United States of America or the District of Columbia, an individual must meet the following qualifications:

- 1. Age and Good Character: Be at least 19 years old and of good character;
- 2. Citizenship/Lawful Presence: Be a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- 3. Education: Have graduated from an accredited school or college of medicine or be a Foreign Medical Graduate;
- 4. Graduate Medical Education: Have successfully completed one year of approved graduate medical education if applicant is a graduate of an accredited school or college of medicine, or have successfully completed three years of approved graduate medical education if applicant is a Foreign Medical Graduate;
- 5. Examination: Have successfully passed a State Examination, approved by the Board, and have been duly licensed to practice medicine and surgery in that state or territory of the United States of America or in the District of Columbia based upon the State Examination;

- 6. Equivalency: If applicant is a Foreign Medical Graduate, meet one of the following:
 - a. Have been issued a permanent certificate by the Educational Commission on Foreign Medical Graduates (ECFMG); or
 - b. Have successfully passed the Visa Qualifying Examination or its successor or equivalent examination required by the United States Department of Health and Human Services and the United States Citizenship and Immigration Services; or
 - c. Have successfully completed a program of American medical training designated as the Fifth Pathway and passed the ECFMG Examination;
- 7. Experience: Meet one of the following within the three years immediately preceding the application for licensure:
 - a. Have been in the active practice of the profession of medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
 - b. Have had at least one year of approved graduate medical education; or
 - c. Have completed continuing medical education approved by the Board; or
 - <u>d.</u> Have completed a refresher course in medicine and surgery approved by the Board; or
 - e. Have completed the special purpose examination approved by the Board.

88-003.01D GEOGRAPHICALLY LIMITED LICENSE The Department, with the recommendation of the Board, may waive any requirement for more than one year of approved graduate medical education if the applicant has served at least one year of approved graduate medical education and the following conditions are met:

- 1. The applicant meets all other qualifications for a license to practice medicine and surgery;
- 2. The applicant submits satisfactory proof that the issuance of a license based on the waiver of the requirement of more than one year of approved graduate medical education will not jeopardize the health, safety, and welfare of the citizens of this state; and
- 3. The applicant submits proof that s/he will enter into the practice of medicine in a health profession shortage area designated as such by the Nebraska Rural Health Advisory Commission immediately upon obtaining a license to practice medicine and surgery based upon a waiver of the requirement for more than one year of graduate medical education.

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- 4. A license issued on the basis of such a waiver shall be subject to the limitation that the licensee continue in practice in the health profession shortage area and such other limitations, if any, deemed appropriate under the circumstances by the Director, with the recommendation of the Board, which may include, but shall not be limited to, supervision by a medical practitioner, training, education, and scope of practice. After two years of practice under a limited license issued on the basis of a waiver of the requirement of more than one year of graduate medical education, a licensee may apply to the Department for removal of the limitations. The Director, with the recommendation of the Board, may grant or deny such application or may continue the license with limitations.
- 5. In addition to any other grounds for disciplinary action against the license contained in the Uniform Credentialing Act, the Department may take disciplinary action against a license granted on the basis of a waiver of the requirement of more than one year of graduate medical education for violation of the limitations on the license.

88-003.02 Application: To apply for a license to practice medicine and surgery the individual must submit a complete application to the Department. A complete application includes all required documentation, the required fee, and a written application. The applicant may obtain an application from the Department or construct an application that must contain the following information:

88-003.01G Submit to the Department:

- 1. A completed application for a license to practice medicine and surgery which includes the following information. The application may be submitted on a form provided by the Department or on an alternate format.
- 1. Written Application:
 - a. Personal Information:
 - (1) The legal name of the applicant, maiden name (if applicable), and any other names by which the applicant is known;
 - (2) Date of birth (month, day, and year);
 - (3) Place of birth (city and state or country if not born in the United States):
 - (4) Mailing address (street, rural route, or post office address; and city, state, and zip code, or country information);
 - (5) The applicant's:
 - (a) Social Security Number (SSN); or
 - (b) Alien Registration Number (A#): or
 - (c) Form I-94 (Arrival-Departure Record) number.

d.

<u>Certain applicants may have both a SSN and an A# or I-94</u> <u>number, and if so, must report both.</u>

- (6) The applicant's telephone number including area code (optional);
- (7) The applicant's e-mail address (optional);
- (8) The applicant's fax number (optional);
- <u>b.</u> <u>a.</u> Indicate the licensing examination or reciprocity examination which you successfully completed.
 - b. Applicant information: legal name, address, telephone number (optional), place and date of birth, Social Security Number.
 - c. Answer the following questions yes or no. If you answer yes, explain the circumstances and outcome.
 - (1) Have you ever sought or been granted medical licensure under another name? If yes, indicate other name(s) used.
 - (2) Have you ever held a license in any health profession in the State of Nebraska? If yes, explain.
 - (3) Have you ever held a license in any jurisdiction in a health profession other than medicine and surgery? If yes, explain.
- <u>c.</u> <u>e.</u> <u>Education</u>: name and location of high school completed and date completed, name and location of pre-medical college and date completed, name and location of medical college and date of graduation; if <u>the</u> your medical college is not accredited indicate <u>the</u> your ECFMG number.
- <u>d.</u> <u>e.</u> <u>Post-Graduate Medical Education</u>: name and location of institution for each post-graduate medical education program attended, name of the internship, residency or fellowship, and beginning and ending date of each program.
 - f. Indicate whether you have ever been granted a medical license in any state or territory. If yes, list all current and non-current licenses, including the state or territory, the license number, effective and expiration dates.
- e. g. <u>Maintenance of CompetencyExperience</u>: Indicate that, within the three years immediately preceding the application for licensure, you meet one of the following criteria:
 - (1) Have been in the active practice of the profession of medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
 - (2) Have had at least one year of approved graduate medical education; or

- (3) Have completed at least 75 hours of category 1 continuing medical education as approved by the ACCME or AOA; or
- (4) Have completed a refresher course in medicine and surgery approved by the Board; or
- (5) Have completed the special purposes examination approved by the Board.
- h. Answer the following questions either yes or no; if you answer yes explain the circumstances and outcome:
 - (1) Has any state or territory of the U.S. ever taken any of the following actions against your license?
 - Denied Suspended Revoked Limited (2) Has any licensing or disciplinary authority ever taken any of the following actions against your license?
 - Limited Suspended Restricted Revoked
 - (3) Has any licensing or disciplinary authority placed your license on probation?
 - (4) Have you ever voluntarily surrendered a license issued to you by a licensing or disciplinary authority?
 - (5) Have you ever voluntarily limited in any way a license issued to you by a licensing or disciplinary authority?
 - (6) Have you ever been requested to appear before any licensing agency?
 - (7) Have you ever been notified of any charges or complaints filed against you by any licensing or disciplinary authority or criminal prosecution authority?
 - (8) Are you aware of any pending disciplinary actions against your license in any jurisdiction?
 - (9) Are you aware of any on-going investigations of a disciplinary complaint against your license in any jurisdiction?
 - (10) Have you ever been addicted to, dependent upon or chronically impaired by alcohol, narcotics, barbiturates, or other drugs which may cause physical and/or psychological dependence?
 - (11) During the past ten years have you voluntarily entered or been involuntarily admitted to an institution or health care facility for treatment of a mental or emotional disorder/condition?
 - (12) During the last ten years have you been diagnosed with or treated for bipolar disorder; schizophrenia, or any psychotic disorder?
 - (13) Have you ever been convicted of a felony?

- (14) Have you ever been convicted of a misdemeanor?
- (15) Have you ever been denied a Federal Drug Enforcement Administration (DEA) Registration or state controlled substances registration?
- (16) Have you ever been called before any licensing agency or lawful authority concerned with DEA controlled substances?
- (17) Have you ever surrendered your state or federal controlled substances registration?
- (18) Have you ever had your state or federal controlled substances registration restricted in any way?
- (19) Have you ever been notified of any malpractice claim against you?
- <u>f.</u> <u>i.</u> List in chronological order all <u>of applicant's</u> medical activities <u>for the last ten years, or</u> since graduation from medical college <u>if less than ten years ago.</u>, including absences from work except incidental sick leave and usual vacation;
- <u>g.</u><u>j.</u><u>Indicate whether or not <u>applicant</u> you hold<u>s</u> a Federal Controlled Substances Registration. If yes, list the number and expiration date.</u>
- h. Practice Before Application: The applicant must state:
 - (1) That s/he has not practiced medicine and surgery in Nebraska before submitting the application; or
 - (2) If s/he has practiced medicine and surgery in Nebraska before submitting the application, the actual number of days practiced in Nebraska before submitting the application for a credential and the name and location of practice; and
- i. Attestation: The applicant must attest that:
 - (1) S/he has read the application or has had the application read to him/her; and
 - (2) All statements on the application are true and complete.
 - k. Notarized statement from the applicant that states that that the statements on the application are true and complete and that applicant is of good moral character.
- 2. Official documentation showing successful completion of an accredited school or college of medicine sent directly to the Department from the school or college; and
- Official documentation showing successful completion of one year of approved graduate medical education, on a form provided by the Department, sent directly to the Department from the program; and
- 4. Official documentation showing passing scores obtained on all parts of the licensing examination, submitted directly to the

Department from the official repository of scores; and

- 5. Certification from each state where applicant has ever held a license that such license has never been suspended, revoked, limited, or disciplined in any manner. If there are any disciplinary actions, the nature of such actions is to be included; and
- 6. Official documentation of meeting one of the provisions of 172 NAC 88-003.01D (Maintenance of Competency).
- 7. The required licensure fee.
- 2. Documentation: The applicant must submit the following documentation with the application:
 - a. Evidence of age, such as:
 - (1) Driver's license;
 - (2) Birth certificate;
 - (3) Marriage license that provides date of birth;
 - (4) Transcript that provides date of birth,
 - (5) U.S. State identification card;
 - (6) Military identification; or
 - (7) Other similar documentation;
 - b. Evidence of:
 - (1) Other Credential: If the applicant holds a credential to provide health services or health-related services, in Nebraska or in another jurisdiction, the applicant must submit the state, credential number, type of credential, date issued, and expiration date of each credential where the applicant has been or is currently credentialed. The applicant must have the licensing agency submit to the Department a certification of his/her credential;
 - (2) Disciplinary Action: A list of any disciplinary actions taken against the applicant's credential and a copy of the disciplinary action(s), including charges and disposition sent directly to the Department from the other jurisdiction;
 - (3) Denial: If the applicant was denied a credential or denied the right to take an examination, an explanation of the basis for the denial;
 - (4) Conviction Information: If the applicant has been convicted of a felony or misdemeanor, the applicant must submit to the Department:
 - (a) A list of any misdemeanor or felony convictions;
 - (b) A copy of the court record, which includes charges and disposition;
 - (c) Explanation from the applicant of the events leading to the conviction (what, when, where, why) and a summary of actions the applicant has taken

to address the behaviors/actions related to the conviction;

- (d) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required;
- (e) A letter from the probation officer addressing probationary conditions and current status, if the applicant is currently on probation; and
- (f) Any other information as requested by the Board/Department;
- c. Evidence that the applicant is a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- d. Evidence of citizenship, lawful presence, and/or immigration status may include a copy of:
 - (1) A U.S. Passport (unexpired or expired);
 - (2) A birth certificate issued by a state, county, municipal authority or outlying possession of the United States bearing an official seal:
 - (3) An American Indian Card (I-872);
 - (4) A Certificate of Naturalization (N-550 or N-570);
 - (5) A Certificate of Citizenship (N-560 or N-561);
 - (6) Certification of Report of Birth (DS-1350):
 - (7) A Consular Report of Birth Abroad of a Citizen of the United States of America (FS-240);
 - (8) Certification of Birth Abroad (FS-545 or DS-1350);
 - (9) A United States Citizen Identification Card (I-197 or I-179);
 - (10) A Northern Mariana Card (I-873);
 - (11) A Green Card, otherwise known as a Permanent Resident Card (Form I-551), both front and back of the card;
 - (12) An unexpired foreign passport with an unexpired <u>Temporary I-551 stamp bearing the same name as the</u> <u>passport</u>;
 - (13) A document showing an Alien Registration Number ("A#"). An Employment Authorization Card/Document is not acceptable; or
 - (14) A Form I-94 (Arrival-Departure Record);
- e. Official documentation showing successful completion of an accredited school or college of medicine sent directly to the Department from the school or college; or, official documentation showing that applicant is a Foreign Medical Graduate sent directly to the Department from the school or college;
- f. Official documentation of meeting the graduate medical education

<u>qualifications sent directly to the Department from the graduate</u> <u>medical education program;</u>

- g. Official documentation of scores obtained on all Licensing or State Examinations that applicant has completed sent directly to the Department from the official repository for the scores;
- h. Official documentation of meeting the equivalency qualifications sent directly to the Department from the equivalency organization;
- i. If applying based on a license in another state, territory of the United States, or the District of Columbia, a certification of license from the state where applicant passed a State Examination and has been duly licensed to practice medicine and surgery.
- 3. Fee: The applicant must submit the required license fee along with the application and all required documentation.
- 4. A completed profile from the Federation Credentials Verification Service may be submitted. The profile will be reviewed to determine if its components meet the documentation requirements for evidence of age; education; graduate medical education; examination; and equivalency (if applicable).
- 88-003.02A Criminal Background Checks: An applicant must:
 - 1. Obtain two fingerprint cards from the Department or from any State Patrol office or law enforcement agency;
 - 2. Print the following information on the fingerprint cards:
 - <u>a. Name;</u>
 - b. Address;
 - c. Social Security Number;
 - d. Date of birth;
 - e. Place of birth;
 - f. Any physical identifiers; and
 - g. In the space on the fingerprint cards marked "Reason Fingerprinted", print "Credential";
 - 3. Report to any State Patrol office, law enforcement agency, or other entity that offers the service of fingerprinting to provide their fingerprints on the fingerprint cards; and
 - 4. Forward the completed fingerprint cards and payment for the criminal background check as specified in 172 NAC 88-003.02B1 to the Nebraska State Patrol, CID Division, P.O. Box 94907, Lincoln, NE 68509.

88-003.02A1 Payment for criminal background checks is the responsibility of the individual and can be made by personal check, money order or cashier's check, payable to the Nebraska State Patrol. The fee for criminal background checks is established by the Nebraska State Patrol and can be found on the web site of the Department.

88-003.02A2 Submission by the individual of completed fingerprint cards and the appropriate payment to the Nebraska State Patrol authorizes the release of the results of the criminal background check to the Department. The results will be forwarded by the Nebraska State Patrol directly to the Department for consideration with the application for licensure.

<u>88-003.02</u> License to Practice Medicine and Surgery Obtained as a Foreign Medical Graduate: To obtain a license by examination on the basis of medical education received in a foreign country, an applicant must:

<u>88-003.02A</u> Be a foreign medical graduate; and

<u>88-003.02B</u> Have successfully completed three years of approved graduate medical education; and

88-003.02C Have successfully passed a licensing examination; and

<u>88-003.02D</u> <u>Maintenance of Competency</u>: Meet one of the following within the three years immediately preceding the application for licensure:

- Have been in the active practice of the profession of medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
- 2. Have had at least one year of approved graduate medical education; or
- 3. Have completed at least 75 hours of Category 1 continuing medical education approved by the Accreditation Council for Continuing Medical Education (ACCME) or the American Osteopathic Association (AOA); or
- 4. Have completed a refresher course in medicine and surgery approved by the Board; or
- 5. Have completed the special purposes examination approved by the Board.

<u>88-003.02E</u> Have attained at least the age of 19, and

88-003.02F Have good moral character; and

88-003.02G Have one of the following; and

- 1. Have been issued a permanent certificate by the Educational Commission on Foreign Medical Graduates (ECFMG); or
- 2. Have successfully passed the Visa Qualifying Examination or its successor or equivalent examination required by the United States Department of Health and Human Services and the United States Immigration and Naturalization Service; or
- 3. Have successfully completed a program of American medical training designated as the Fifth Pathway and passed the ECFMG

Examination;

88-003.02H Submit to the Department:

- A completed application for a license to practice medicine and surgery which includes the following information. The application may be submitted on a form provided by the Department or on an alternate format.
 - a. All information as listed in 172 NAC 88-003.01G item 1; and
- 2. Official documentation showing the applicant is a foreign medical graduate, sent directly to the Department from the school or college; and
- 3. Official documentation showing successful completion of three years of approved graduate medical education, sent directly to the Department from the program; and
- 4. Official documentation showing passing scores obtained on all parts of the licensing examination submitted directly to the Department from the official repository of scores; and
- 5. Certification from each state where applicant has ever held a license that such license has never been suspended, revoked, limited, or disciplined in any manner. If there are any disciplinary actions, the nature of such actions is to be included; and
- 6. Official documentation of meeting one of the provisions of 172 NAC 88-003.02D (Maintenance of Competency).
- 7. The required licensure fee; and
- 8. One of the following:
 - a. Official documentation of a permanent certificate issued by the Educational Commission on Foreign Medical Graduates (ECFMG) sent directly to the Department from the ECFMG; or
 - b. Official documentation of successful completion of the Visa Qualifying Examination or its successor; or
 - c. Official documentation of successful completion of a program of American medical training designated as the Fifth Pathway and passage of the ECFMG examination.

<u>88-003.03 License To Practice Medicine and Surgery Obtained by Reciprocity: To obtain a license by reciprocity, an applicant must:</u>

88-003.03A Have successfully passed a reciprocity examination; and

<u>88-003.03B</u> Have been duly licensed to practice medicine and surgery in some other state or territory of the United States of America or in the District of Columbia under conditions and circumstances which the Board finds to be comparable to the requirements of the State of Nebraska for obtaining a license to practice medicine and surgery; and

<u>88-003.03C</u> Have graduated from an accredited school or college of medicine

or be a foreign medical graduate; and

<u>88-003.03D</u> <u>Maintenance of Competency</u>: Meet one of the following within the three years immediately preceding the application for licensure:

- 1. Have been in the active practice of the profession of medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
- 2. Have had at least one year of approved graduate medical education; or
- 3. Accreditation Council for Continuing Medical Education (ACCME) or the American Osteopathic Association (AOA); or
- 4. Have completed a refresher course in medicine and surgery approved by the Board; or
- 5. Have completed the special purposes examination approved by the Board.

<u>88-003.03E</u> Have successfully completed one year of approved graduate medical education if applicant is a graduate of an accredited school or college of medicine, or have successfully completed three years of approved graduate medical education if applicant is a foreign medical graduate; and 88-003.03F Have attained at least the age of 19, and

<u>88-003.03G</u> Have good moral character; and

<u>88-003.03H</u> Submit to the Department:

- 1. A completed application for a license to practice medicine and surgery which includes the following information. The application may be submitted on a form provided by the Department, or on an alternate format.
 - a. All information as listed in 172 NAC 88-003.01G item 1.
- 2. Official documentation showing successful completion of an accredited school or college of medicine sent directly to the Department from the school or college, or official documentation showing the applicant is a foreign medical graduate, sent directly to the Department from the school or college; and
- 3. Official documentation showing successful completion of one year of graduate medical education, if applicant is a graduate of an accredited school or college of medicine, or three years of graduate medical education if applicant is a foreign medical graduate sent directly to the Department from the program; and
- 4. A certification from each state where applicant has ever held a license that such license has never been suspended, revoked, limited, or disciplined in any manner. If there are any disciplinary actions, the nature of such actions is to be included; and
- 5. Official documentation of meeting one of the provisions of 172 NAC 88-003.03D (Maintenance of Competency).
- 6. Documentation that s/he a reciprocity examination; the name of the examination on which licensure was based; the method of

administering such examination; the scores given at such examination; and how the passing score was determined; and

- 7. The required licensure fee; and
- 8. If applicant is a foreign medical graduate, submit one of the following:
 - a. Official documentation of a permanent certificate issued by the Educational Commission on Foreign Medical Graduates (ECFMG) sent directly to the Department from the ECFMG; or
 - b. Official documentation of successful completion of the Visa Qualifying Examination or its successor; or
 - c. Official documentation of successful completion of a program of American medical training designated as the Fifth Pathway and passage of the ECFMG examination.

<u>88-003.031</u> The Board reviews the documents submitted to determine if the applicant's license issued by the other jurisdiction was based upon requirements which are comparable to those maintained in medicine in Nebraska and recommends to the Department to issue or deny the license.

<u>88-003.0311</u> If the review indicates an applicant's license in the other jurisdiction was not based upon requirements comparable to those of Nebraska, the Board may recommend that the applicant take and pass the SPEX or the COMVEX-USA with a passing score of at least 75 or any other examination approved by the Board.

<u>88-003.04</u> When a license will expire within 180 days after its initial issuance date, the Department will collect one-fourth of the initial licensing fee, and the license will be valid until the next subsequent renewal date.

<u>88-003.035</u> Department Review: The Department will act within 150 days upon all completed applications for <u>initial credentialinglicensure</u>.

88-003.04 Denial of Initial Credential: If an applicant for an initial credential does not meet all of the requirements for a credential, the Department will deny issuance of a credential. If the applicant is found to have committed any act which would be grounds for denial of a credential as listed in 172 NAC 88-010, the Department may deny issuance of a credential. To deny a credential, the Department will notify the applicant in writing of the denial and the reasons for the determination. The denial will become final 30 days after mailing the notice unless the applicant, within that 30-day period, requests a hearing in writing. The hearing will be conducted in accordance with the Administrative Procedure Act and 184 NAC 1, the Department's Rules of Practice and Procedure.

88-003.05 Withdrawn Applications: An applicant for a credential who withdraws his/her application or whose application is rejected by the Department prior to administration of the examination will be allowed the return of his/her fee, except for a \$25 administrative fee to be retained by the Department.

88-003.06 Practice Prior to Credential: An individual who practices prior to issuance of a credential is subject to assessment of an administrative penalty under 172 NAC 88-013 or such other action as provided in the statutes and regulations governing the credential.

88-003.07 Confidentiality: Social Security Numbers obtained under this section are not public information but may be shared by the Department for administrative purposes if necessary and only under appropriate circumstances to ensure against any unauthorized access to this information.

88-003.08 Address Information: Each credential holder must notify the Department of any change to the address of record.

88-003.09 Non-English Documents: Any documents written in a language other than English must be accompanied by a complete translation into the English language. The translation must be an original document and contain the notarized signature of the translator. An individual may not translate his/her own documents.

88-004 INITIAL LICENSE TO PRACTICE OSTEOPATHIC MEDICINE AND SURGERYREQUIREMENTS FOR ISSUANCE OF LICENSE TO PRACTICE AS AN OSTEOPATHIC PHYSICIAN OR TO PRACTICE OSTEOPATHIC MEDICINE AND SURGERY Any person who wishes to practice as an Osteopathic Physician or practice Osteopathic Medicine and Surgery must obtain a license. The criteria for issuance of a license and the documentation required by the Department and the Board are set forth below.

88-004.01 QualificationsLicense To Practice Osteopathic Medicine and Surgery Obtained by Examination:

<u>88-004.01A</u> To obtain a license to practice osteopathic medicine and surgery by examination an individual applicant must meet the following qualifications:

- 1. Age and Good Character: Be at least 19 years old and of good character;
- 2. Citizenship/Lawful Presence: Be a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- 3. Education:88-004.01A Have graduated from an accredited school or college of osteopathic-medicine and surgery; and
- <u>4. Graduate Medical Education:88-004.01B</u> Have successfully completed one year approved graduate medical education or approved graduate osteopathic medical education; and
- <u>5. Examination:</u>88-004.01C Have successfully passed a licensing examination; and
- 6. Experience:88-004.01D Maintenance of Competency: Meet one

of the following within the three years immediately preceding the application for licensure:

- <u>a.</u> <u>1.</u> Have been in the active practice of the profession of medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
- <u>b.</u> <u>2.</u> Have had at least one year of approved graduate medical education or approved graduate osteopathic medical education; or
- <u>c.</u> <u>3.</u> Have completed <u>continuing medical education</u> <u>approved by the Board</u> at least 75 hours of Category 1 <u>continuing medical education approved by the</u> <u>Accreditation Council for Continuing Medical Education</u> (ACCME) or the American Osteopathic Association (AOA); or
- <u>d.</u> 4. Have completed a refresher course in medicine and surgery approved by the Board; or
- e. <u>5.</u> Have completed the special purposes examination approved by the Board.
- 88-004.01E Have attained at least the age of 19; and
- 88-004.01F Have good moral character; and
- <u>88-004.01G</u> Submit to the Department:
 - 1. A completed application for a license to practice osteopathic medicine and surgery which includes the following information. The application may be submitted on a form provided by the Department; or on an alternate format.
 - a. All information pursuant to 172 NAC 88-003.01G item 1.
 - 2. Official documentation showing successful completion of an accredited school or college of osteopathic medicine and surgery sent directly to the Department from the school or college; and
 - 3. Official documentation showing successful completion of one year of approved graduate medical education or approved graduate osteopathic medical education sent directly to the Department from the program; and
 - 4. Official documentation showing passing scores obtained on all parts of the licensing examination, submitted directly to the Department from the official repository of scores; and
 - 5. Certification from each state where applicant has ever held a license that such license has never been suspended, revoked, limited, or disciplined in any manner. If there are any disciplinary actions, the nature of such actions is to be included; and
 - 6. Official documentation of meeting one of the provisions of 172 NAC 88-003.04D (Maintenance of Competency).
 - 7. The required licensure fee.

88-004.02 License To Practice Osteopathic Medicine and Surgery obtained by

<u>Reciprocity:</u> To obtain a license by reciprocity, an applicant must:

<u>88-004.02A</u> Have successfully passed a reciprocity examination; and

<u>88-004.02B</u> Have been duly licensed to practice osteopathic medicine and surgery in some other state or territory of the United States of America or in the District of Columbia under conditions and circumstances which the Board finds to be comparable to the requirements of the State of Nebraska for obtaining a license to practice osteopathic medicine and surgery; and

<u>88-004.02C</u> <u>Maintenance of Competency:</u> Meet one of the following within the three years immediately preceding the application for licensure:

- 1. Have been in the active practice of the profession of medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
- 2. Have had at least one year of approved graduate medical education; or
- 3. Have completed at least 75 hours of Category 1 continuing medical education approved by the Accreditation Council for Continuing Medical Education (ACCME) or the American Osteopathic Association (AOA); or
- 4. Have completed a refresher course in medicine and surgery approved by the Board; or
- 5. Have completed the special purposes examination approved by the Board.

88-004.01B To receive a license to practice osteopathic medicine and surgery on the basis of a license in another state or territory of the United States or the District of Columbia, an applicant must meet the following qualifications:

- 1. Age and Good Character: Be at least 19 years old and of good character;
- 2. Citizenship/Lawful Presence: Be a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- 3. Education:88-004.02D Have graduated from an accredited school or college of osteopathic medicine; and
- <u>4. Graduate Medical Education88-004.02E</u> Have successfully completed one year of approved graduate medical education or approved graduate osteopathic medical education; and
- 5. Examination: Have successfully passed a State Examination, approved by the Board, and have been duly licensed to practice osteopathic medicine and surgery in that state or territory of the United States of America or in the District of Columbia based upon the State Examination;
- 6. Experience: Meet one of the following within the three years immediately preceding the application for licensure:

- a. Have been in the active practice of the profession of osteopathic medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
- b. Have had at least one year of approved osteopathic graduate medical education or approved graduate medical education; or
- c. Have completed continuing medical education approved by the Board; or
- <u>d.</u> Have completed a refresher course in osteopathic medicine and surgery or medicine and surgery approved by the Board; or
- e. Have completed the special purpose examination approved by the Board.

88-004.02F Have attained at least the age of 19, and

<u>88-004.02G</u> Have good moral character; and

<u>88.004.02H</u> Submit to the Department:

- 1. A completed application for a license to practice osteopathic medicine and surgery which includes the following information. The application may be submitted on a form provided by the Department or on an alternate format.
 - a. All information pursuant to 172 NAC 88-003.01G item 1.
- 2. Official documentation showing successful completion of an accredited school or college of osteopathic medicine sent directly to the Department from the institution; and
- 3. Official documentation showing successful completion of one year of approved graduate medical education or approved graduate osteopathic medical education, sent directly to the Department from the program; and
- 4. A certification from each state where applicant has ever held a license that such license has never been suspended, revoked, limited, or disciplined in any manner. If there are any disciplinary actions, the nature of such actions is to be included; and
- 5. Official documentation of meeting one of the provisions of 172 NAC 88 –004.02C (Maintenance of Competency).
- 6. The required licensure fee; and
- 7. Documentation that s/he successfully passed a reciprocity examination; the name of the examination on which licensure was based; the method of administering such examination; the scores given at such examination and how the passing score was determined.

<u>88-004.021</u> The Board reviews the documents submitted to determine if the applicant's license issued by the other jurisdiction was based upon requirements

which are comparable to those maintained in osteopathic medicine in Nebraska and recommends to the Department to issue or deny the license.

<u>88-004.0211</u> If the review indicates an applicant's license in the other jurisdiction was not based upon requirements comparable to those of Nebraska, the Board may recommend that the applicant take and pass the SPEX or the COMVEX-USA with a passing score of at least 75 or any other examination approved by the Board.

<u>88-004.03</u> License To Practice As An Osteopathic Physician: To obtain a license to practice as an osteopathic physician on the basis of examination, an applicant must:

<u>88-004.03A</u> Have graduated from an accredited school or college of osteopathic medicine; and

<u>88-004.03B</u> Have successfully passed a licensing examination; and

<u>88-004.03C</u> <u>Maintenance of Competency:</u> Meet one of the following within the three years immediately preceding the application for licensure:

- 1. Have been in the active practice of the profession of medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
- 2. Have had at least one year of approved graduate medical education; or
- 3. Have completed at least 75 hours of Category 1 continuing medical education approved by the Accreditation Council for Continuing Medical Education (ACCME) or the American Osteopathic Association (AOA); or
- 4. Have completed a refresher course in medicine and surgery approved by the Board; or
- 5. Have completed the special purposes examination approved by the Board.
- 88-004.03D Have attained at least the age of 19; and
- <u>88-004.03E</u> Have good moral character; and
- <u>88-004.03F</u> Submit to the Department:
 - 1. A completed application to practice osteopathic medicine which includes the following information. The application will be on a form provided by the Department, or on an alternate format.
 - a. All information pursuant to 172 NAC 88-003.01G item 1.
 - 2. Official documentation showing successful completion of an accredited school or college of osteopathic medicine, sent directly to the Department from the school or college; and
 - 3. Official documentation showing passing scores obtained on all parts of the licensing examination, sent directly to the Department from the official repository of scores; and

- limited, or disciplined in any manner. If there are any disciplinary actions, the nature of such actions is to be included; and 5. Official documentation of meeting one of the provisions of 172
- NAC 88-004.03C (Maintenance of Competency); and 6. The required licensure fee.

<u>88-004.04</u> When a license will expire within 180 days after its initial issuance date, the Department will collect one-fourth of the initial licensing fee, and the license will be valid until the next subsequent renewal date.

88-004.02 Application: To apply for a license to practice osteopathic medicine and surgery the individual must submit a complete application to the Department. A complete application includes all required documentation, the required fee, and a written application. The applicant may obtain an application from the Department or construct an application that must contain the following information:

- 1. Written Application:
 - a. Personal Information:
 - (1) The legal name of the applicant, maiden name (if applicable), and any other names by which the applicant is known;
 - (2) Date of birth (month, day, and year);
 - (3) Place of birth (city and state or country if not born in the United States):
 - (4) Mailing address (street, rural route, or post office address; and city, state, and zip code, or country information);
 - (5) The applicant's:
 - (a) Social Security Number (SSN); or
 - (b) Alien Registration Number ("A#"); or

(c) Form I-94 (Arrival-Departure Record) number. Certain applicants may have both a SSN and an A# or I-94 number, and if so, must report both.

- (6) The applicant's telephone number including area code (optional);
- (7) The applicant's e-mail address (optional);
- (8) The applicant's fax number (optional);
- b. Indicate all the licensing examination(s) or state examination(s) which applicant completed.
- c. Education: name and location of high school completed and date completed, name and location of pre-medical college and date completed, name and location of osteopathic medical college and

date of graduation.

- d. Graduate Medical Education: name and location of institution for each osteopathic graduate medical education or graduate medical education program attended, name of the internship, residency or fellowship, and beginning and ending dates of each program.
- e. Experience: Indicate that, within the three years immediately preceding the application for licensure, applicant meets one of the following criteria:
 - (1) Has been in the active practice of the profession of medicine and surgery or osteopathic medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
 - (2) Has had at least one year of approved graduate osteopathic medical education or graduate medical education; or
 - (3) Has completed continuing medical education approved by the Board; or
 - (4) Has completed a refresher course in osteopathic medicine and surgery or medicine and surgery approved by the Board; or
 - (5) Has completed the special purpose examination approved by the Board.
- f. List in chronological order all of applicant's osteopathic medical activities for the last ten years, or since graduation from osteopathic medical college if less than ten years ago.
- g. Indicate whether or not applicant holds a Federal Controlled Substances Registration. If yes, list the number and expiration date.
- h. Practice Before Application: The applicant must state:
 - (1) That s/he has not practiced medicine and surgery/osteopathic medicine and surgery in Nebraska before submitting the application; or
 - (2) If s/he has practiced medicine and surgery/osteopathic medicine and surgery in Nebraska before submitting the application, the actual number of days practiced in Nebraska before submitting the application for a credential and the name and location of practice; and
- i. Attestation: The applicant must attest that:
 - (1) S/he has read the application or has had the application read to him/her; and
 - (2) All statements on the application are true and complete.
- 2. Documentation: The applicant must submit the following documentation

with the application:

- a. Evidence of age, such as:
 - (1) Driver's license;
 - (2) Birth certificate;
 - (3) Marriage license that provides date of birth;
 - (4) Transcript that provides date of birth;
 - (5) U.S. State identification card;
 - (6) Military identification; or
 - (7) Other similar documentation;
- b. Evidence of:
 - (1) Other Credential: If the applicant holds a credential to provide health services or health-related services, in Nebraska or in another jurisdiction, the applicant must submit the state, credential number, type of credential, date issued, and expiration date of each credential where the applicant has been or is currently credentialed. The applicant must have the licensing agency submit to the Department a certification of his/her credential;
 - (2) Disciplinary Action: A list of any disciplinary actions taken against the applicant's credential and a copy of the disciplinary action(s), including charges and disposition sent directly to the Department from the other jurisdiction;
 - (3) Denial: If the applicant was denied a credential or denied the right to take an examination, an explanation of the basis for the denial;
 - (4) Conviction Information: If the applicant has been convicted of a felony or misdemeanor, the applicant must submit to the Department:
 - (a) A list of any misdemeanor or felony convictions;
 - (b) A copy of the court record, which includes charges and disposition;
 - (c) Explanation from the applicant of the events leading to the conviction (what, when, where, why) and a summary of actions the applicant has taken to address behaviors/actions related to the conviction;
 - (d) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required;
 - (e) A letter from the probation officer addressing probationary conditions and current status, if the applicant is currently on probation; and

- (f) Any other information as requested by the Board/Department;
- c. Evidence that the applicant is a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- <u>d.</u> Evidence of citizenship, lawful presence, and/or immigration status may include a copy of:
 - (1) A U.S. Passport (unexpired or expired);
 - (2) A birth certificate issued by a state, county, municipal authority or outlying possession of the United States bearing an official seal;
 - (3) An American Indian Card (I-872);
 - (4) A Certificate of Naturalization (N-550 or N-570);
 - (5) A Certificate of Citizenship (N-560 or N-561);
 - (6) Certification of Report of Birth (DS-1350);
 - (7) A Consular Report of Birth Abroad of a Citizen of the United States of America (FS-240);
 - (8) Certification of Birth Abroad (FS-545 or DS-1350);
 - (9) A United States Citizen Identification Card (I-197 or I-179);
 - (10) A Northern Mariana Card (I-873);
 - (11) A Green Card, otherwise known as a Permanent Resident Card (Form I-551), both front and back of the card;
 - (12) An unexpired foreign passport with an unexpired <u>Temporary I-551 stamp bearing the same name as the</u> <u>passport;</u>
 - (13) A document showing an Alien Registration Number ("A#"). An Employment Authorization Card/Document is not acceptable; or
 - (14) A Form I-94 (Arrival-Departure Record);
- e. Official documentation showing successful completion of an accredited school or college of osteopathic medicine sent directly to the Department from the school or college;
- f. Official documentation of meeting the osteopathic graduate medical education or graduate medical education qualifications sent directly to the Department from the graduate education program;
- g. Official documentation of scores obtained on each examination sent directly to the Department from the official repository for the scores;
- h. If applying based on a license in another state, territory of the United States, or the District of Columbia, a certification of license from the state where applicant passed a State Examination and has been duly licensed to practice medicine and surgery; and

- 3. Fee: The applicant must submit the required license fee along with the application and all required documentation.
- 4. A completed profile from the Federation Credentials Verification Service may be submitted. The profile will be reviewed to determine if its components meet the documentation requirements for evidence of age; education; graduate medical education; and examination.

88-004.02A Criminal Background Checks: An applicant must:

- 1. Obtain two fingerprint cards from the Department or from any State Patrol office or law enforcement agency;
- 2. Print the following information on the fingerprint cards:
 - <u>a. Name;</u>
 - b. Address;
 - c. Social Security Number;
 - d. Date of birth;
 - e. Place of birth;
 - f. Any physical identifiers; and
 - g. In the space on the fingerprint cards marked "Reason Fingerprinted", print "Credential";
- 3. Report to any State Patrol office, law enforcement agency, or other entity that offers the service of fingerprinting to provide their fingerprints on the fingerprint cards; and
- 4. Forward the completed fingerprint cards and payment for the criminal background check as specified in 172 NAC 88-004.02B1 to the Nebraska State Patrol, CID Division, P.O. Box 94907, Lincoln, NE 68509.

88-004.02A1 Payment for criminal background checks is the responsibility of the individual and can be made by personal check, money order or cashier's check, payable to the Nebraska State Patrol. The fee for criminal background checks is established by the Nebraska State Patrol and can be found on the web site of the Department.

88-004.02A2 Submission by the individual of completed fingerprint cards and the appropriate payment to the Nebraska State Patrol authorizes the release of the results of the criminal background check to the Department. The results will be forwarded by the Nebraska State Patrol directly to the Department for consideration with the application for licensure.

<u>88-004.035</u> Department Review: The Department will act within 150 days upon all completed applications for initial credentialing licensure.

88-004.04 Denial of Initial Credential: If an applicant for an initial credential does not meet all of the requirements for a credential, the Department will deny issuance of a

credential. If the applicant is found to have committed any act which would be grounds for denial of a credential as listed in 172 NAC 88-010, the Department may deny issuance of a credential. To deny a credential, the Department will notify the applicant in writing of the denial and the reasons for the determination. The denial will become final 30 days after mailing the notice unless the applicant, within that 30-day period, requests a hearing in writing. The hearing will be conducted in accordance with the Administrative Procedure Act and 184 NAC 1, the Department's Rules of Practice and Procedure.

88-004.05 Withdrawn Applications: An applicant for a credential who withdraws his/her application or whose application is rejected by the Department prior to administration of the examination will be allowed the return of his/her fee, except for a \$25 administrative fee to be retained by the Department.

88-004.06 Practice Prior to Credential: An individual who practices prior to issuance of a credential is subject to assessment of an administrative penalty under 172 NAC 88-013 or such other action as provided in the statutes and regulations governing the credential.

88-004.07 Confidentiality: Social Security Numbers obtained under this section are not public information but may be shared by the Department for administrative purposes if necessary and only under appropriate circumstances to ensure against any unauthorized access to this information.

88-004.08 Address Information: Each credential holder must notify the Department of any change to the address of record.

88-004.09 Non-English Documents: Any documents written in a language other than English must be accompanied by a complete translation into the English language. The translation must be an original document and contain the notarized signature of the translator. An individual may not translate his/her own documents.

88-005 <u>REQUIREMENTS FOR ISSUANCE OF TEMPORARY EDUCATIONAL PERMITS</u> The Department may issue a <u>T</u>temporary <u>Ee</u>ducational <u>Pp</u>ermit to <u>any person who is in an approved</u> an individual to practice medicine and surgery while serving in a program of graduate medical educational program, a fellowship, or a refresher course conducted by an accredited hospital or school or college of medicine or by another authorized provider in the State of Nebraska.

The holder of a temporary educational permit must not engage in the practice of medicine and surgery outside of the assigned graduate medical education program, fellowship or refresher course conducted by an accredited hospital or school or college of medicine or by another authorized provider in the State of Nebraska.

88-005.01 Qualifications: To receive a temporary educational permit, an individual must meet the following qualifications: A Temporary Educational Permit may be issued to graduates of accredited schools or colleges of medicine or osteopathic medicine. These persons must:

1. Age and Good Character: Be at least 19 years old and of good character;

2. Have been accepted into an accredited hospital or school or college of medicine approved graduate program; and

3. Have attained at least the age of 19, and

- 4. Have good moral character; and
- 2. Citizenship/Lawful Presence: Be a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- <u>3.</u> Education: Have graduated from an accredited school or college of medicine or, an accredited school or college of osteopathic medicine, or be a Foreign Medical Graduate located in the United States or Canada; and
- 4. Equivalency: If applicant is a Foreign Medical Graduate, meet one of the following:
 - a. Have been issued a permanent certificate by the Educational Commission on Foreign Medical Graduates (ECFMG); or
 - b. Have successfully passed the Visa Qualifying Examination or its successor or equivalent examination required by the United States Department of Health and Human Services and the United States Citizenship and Immigration Services; or
 - c. Have successfully completed a program of American medical training designated as the Fifth Pathway and passed the ECFMG Examination; and
- 5. Have been accepted into an approved graduate medical education program, fellowship or refresher course conducted by an accredited hospital or school or college of medicine or by another authorized provider in the State of Nebraska.

88-005.02 Application: To apply for a temporary educational permit the individual must submit a complete application to the Department. A complete application includes all required documentation, the required fee, and a written application. The applicant may obtain an application from the Department or construct an application that must contain the following information:

- 5. Submit to the Department:
 - a. A completed application for a temporary educational permit which includes the following information. The application may be submitted on a form provided by the Department; or on an alternate format.
- 1. Written Application:
 - a. Personal Information:
 - (1) Applicant information: The legal name of the applicant,

maiden name (if applicable), and any other names by which the applicant is known, address, telephone number (optional), place and date of birth, Social Security Number.

- (2) <u>Date of birth (month, day, and year);</u>
- (3) <u>States);</u>
- (4) <u>Mailing address (street, rural route, or post office address;</u> and city, state, and zip code, or country information);
- (5) <u>The applicant's:</u>

 (a) Social Security Number (SSN); or
 (b) Alien Registration Number ("A*"); or
 (c) Form I-94 (Arrival-Departure Record) number.

 Certain applicants may have both a SSN and an A# or I-94 number, and if so, must report both.
- (6) <u>The applicant's telephone number including area code</u> (optional);
- (7) <u>The applicant's e-mail address (optional);</u>
- (8) <u>The applicant's fax number (optional);</u>
- b. (2) Education: name and location of high school completed and date completed, name and location of pre-medical college and date completed, name and location of medical <u>or osteopathic</u> <u>medical</u> college and date of graduation; if <u>the your</u> medical college is not accredited indicate <u>the your</u> ECFMG number.
 - (3) Answer the following questions either yes or no; if you answer yes explain the circumstances and outcome:
 - (a) Has any state or territory of the U.S. ever taken any of the following actions against your license? Denied Suspended Revoked Limited
 - (b) Has any licensing or disciplinary authority ever taken any of the following actions against your license?
 - Limited Suspended Restricted Revoked
 - (c) Has any licensing or disciplinary authority placed our license on probation?
 - (d) Have you ever voluntarily surrendered a license issued to you by a licensing or disciplinary authority?
 - (e) Have you ever voluntarily limited in any way a license issued to you by a licensing or disciplinary authority?
 - (f) Have you ever been requested to appear before any licensing agency?
 - (g) Have you ever been notified of any charges or complaints filed against you by any licensing or disciplinary authority or criminal prosecution authority?

- (h) Are you aware of any pending disciplinary actions against your license in any jurisdiction?
- (i) Are you aware of any on-going investigations of a disciplinary complaint against your license in any jurisdiction?
- (j) Have you ever been addicted to, dependent upon or chronically impaired by alcohol, narcotics, barbiturates, or other drugs which may cause physical and/or psychological dependence?
- (k) During the past ten years have you voluntarily entered or been involuntarily admitted to an institution or health care facility for treatment of a mental or emotional disorder/condition?
- (I) During the last ten years have you been diagnosed with or treated for bipolar disorder; schizophrenia, or any psychotic disorder?
- (m) Have you ever been convicted of a felony?
- (n) Have you ever been convicted of a misdemeanor?
- (o) Have you ever been denied a Federal Drug Enforcement Administration (DEA) Registration or state controlled substances registration?
- (p) Have you ever been called before any licensing agency or lawful authority concerned with DEA controlled substances?
- (q) Have you ever surrendered your state or federal controlled substances registration?
- (r) Have you ever had your state or federal controlled substances registration restricted in any way?
- (s) Have you ever been notified of any malpractice claim against you?
- c. Graduate Medical Education: name and location of institution for each graduate medical/osteopathic medicine education program attended, name of the internship, residency or fellowship, and beginning and ending dates of each program.
- d. List in chronological order all of applicant's medical/osteopathic medicine activities for the last ten years, or since graduation from medical college if less than ten years ago.
- e. (4) Indicate whether or not <u>applicant you</u> holds a Federal Controlled Substances Registration. If yes, list the number and expiration date.
- f. Practice Before Application: The applicant must state:
 - (1) That s/he has not practiced medicine and surgery/osteopathic medicine and surgery in Nebraska before submitting the application; or
 - (2) If s/he has practiced medicine and surgery/osteopathic medicine and surgery in Nebraska before submitting the application, the actual number of days practiced in

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		Nebraska before submitting the ap and the name and location of prac	
	<u>g. Atte</u>	station: The applicant must attest tha	<u>t:</u>
	<u>(1)</u> (2)	S/he has read the application or read to him/her; and All statements on the application a	
	(5)	<u>Requesting Institution</u> : Officient applicant has been accepted postgraduate medical education p hospital or school or college of name, location and duration of the	d into an approved rogram by an accredited medicine, including the
	(6)	 Notarized statement from the app statements on the application are that applicant is of good moral cha 	icant that states that the true and complete and
	b. Official documentation showing successful completion of an accredited school or college of medicine; and c. The required permit fee.		
88-005.02		y Educational Permit may be issued	ued to foreign medical

<u>88-005.02</u> A Temporary Educational Permit may be issued to foreign medical graduates.

These persons must:

- 1. Be a foreign medical graduate; and
- 2. Have been accepted into an accredited hospital or school or college of medicine approved graduate program; and
- 3. Have attained at least the age of 19, and
- Have good moral character; and
- 5. Have one of the following:
 - a. A permanent certificate issued by the Educational Commission on Foreign Medical Graduates, (ECFMG); or
 - b. Successfully passed the Visa Qualifying Examination, or its successor or equivalent examination as required by the United States Department of Health & Human Services and the United States Immigration and Naturalization Service; or
 - c. Successfully completed a program of American medical training designated as the Fifth Pathway and passed the ECFMG examination.
 - And
- 6. Submit to the Department:
 - a. A completed application for a temporary educational permit which includes the following information. The application may be submitted on a form provided by the Department or on an alternate format.
 - (1) All information pursuant to 172 NAC 88-005.01 item 5a.
 - b. Official documentation showing the applicant is a foreign medical graduate; and

c. The required permit fee; and

d. One of the following:

- (1) Official documentation of a permanent certificate issued by the Educational Commission on Foreign Medical Graduates (ECFMG) sent directly to the Department from the ECFMG; or
- (2) Official documentation of successful completion of the Visa Qualifying Examination or its successor; or
- (3) Official documentation of successful completion of a program of American medical training designated as the Fifth Pathway and passage of the ECFMG examination.

<u>88-005.03</u> The holder of a Temporary Educational Permit must not engage in the practice of medicine and surgery or osteopathic medicine and surgery outside of the assigned training program. Such programs are:

- 1. Any supervised educational program conducted by an accredited hospital or school or college of medicine; and
- 2. Any approved graduate medical education program conducted by an accredited hospital or school or college of medicine.

<u>88-005.04</u> Any Temporary Educational Permit will not exceed one year in duration and may be renewed for no more than five one year periods.

<u>88-005.05</u> Any permit granted pursuant to 172 NAC 88-005 may be suspended, limited or revoked by the Department on the recommendation of the Board at anytime upon a finding that reasons for issuing such permit no longer exist or that the person to whom such permit has been issued is no longer qualified to hold such permit.

- 2. Documentation: The applicant must submit the following documentation with the application:
 - a. Evidence of age, such as:
 - (1) Driver's license;
 - (2) Birth certificate;
 - (3) Marriage license that provides date of birth;
 - (4) Transcript that provides date of birth;
 - (5) U.S. State identification card;
 - (6) Military identification; or
 - (7) Other similar documentation;

b. Evidence of:

(1) Other Credential: If the applicant holds a credential to provide health services or health-related services in Nebraska or in another jurisdiction, the applicant must submit the state, credential number, type of credential, date issued, and expiration date of each credential where

the applicant has been or is currently credentialed.

- (2) Disciplinary Action: A list of any disciplinary actions taken against the applicant's credential and a copy of the disciplinary action(s), including charges and disposition sent directly to the Department from the other jurisdiction;
- (3) Denial: If the applicant was denied a credential or denied the right to take an examination, an explanation of the basis for the denial;
- (4) Conviction Information: If the applicant has been convicted of a felony or misdemeanor, the applicant must submit to the Department:
 - (a) A list of any misdemeanor or felony convictions;
 - (b) A copy of the court record, which includes charges and disposition;
 - (c) Explanation from the applicant of the events leading to the conviction (what, when, where, why) and a summary of actions the applicant has taken to address behaviors/actions related to the conviction;
 - (d) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required;
 - (e) A letter from the probation officer addressing probationary conditions and current status, if the applicant is currently on probation; and
 - (f) Any other information as requested by the Board/Department;
- c. Evidence that the applicant is a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- <u>d.</u> Evidence of citizenship, lawful presence, and/or immigration status may include a copy of:
 - (1) A U.S. Passport (unexpired or expired);
 - (2) A birth certificate issued by a state, county, municipal authority or outlying possession of the United States bearing an official seal;
 - (3) An American Indian Card (I-872);
 - (4) A Certificate of Naturalization (N-550 or N-570);
 - (5) A Certificate of Citizenship (N-560 or N-561);
 - (6) Certification of Report of Birth (DS-1350);
 - (7) A Consular Report of Birth Abroad of a Citizen of the United States of America (FS-240);
 - (8) Certification of Birth Abroad (FS-545 or DS-1350);

- (9) A United States Citizen Identification Card (I-197 or I-179);
- (10) A Northern Mariana Card (I-873);
- (11) A Green Card, otherwise known as a Permanent Resident Card (Form I-551), both front and back of the card;
- (12) An unexpired foreign passport with an unexpired <u>Temporary I-551 stamp bearing the same name as the</u> <u>passport;</u>
- (13) A document showing an Alien Registration Number ("A#"). An Employment Authorization Card/Document is not acceptable; or
- (14) A Form I-94 (Arrival-Departure Record);
- e. Official documentation showing successful completion of an accredited school or college of medicine or osteopathic medicine; or, official documentation showing that applicant is a Foreign Medical Graduate;
- f. Official documentation of meeting the equivalency qualifications sent directly to the Department from the equivalency organization, if applicant is a Foreign Medical Graduate; and
- g. Official Documentation that an accredited hospital or school or college of medicine or another authorized provider in the State of Nebraska has requested the issuance of a temporary educational permit to the applicant for him/her to participate in its graduate medical education program, fellowship, or refresher course; and
- 3. Fee: The applicant must submit the required permit fee along with the application and all required documentation.
- 4. A completed profile from the Federation Credentials Verification Service may be submitted. The profile will be reviewed to determine if its components meet the documentation requirements for evidence of age; education; and equivalency.

88-005.02A Criminal Background Check: An applicant for a temporary educational permit shall have 90 days from the issuance of the permit to comply with the requirements for the criminal background check and shall have his/her permit suspended after such 90-day period if the criminal background check is not complete or revoked if the criminal background check reveals that the applicant was not qualified for the permit. An applicant must:

- 1. Obtain two fingerprint cards from the Department or from any State Patrol office or law enforcement agency;
- 2. Print the following information on the fingerprint cards:

<u>a.</u>	Name;
b.	Address;
C.	Social Security Number;
d.	Date of birth;
e.	Place of birth;

f. Any physical identifiers; and

- g. In the space on the fingerprint cards marked "Reason Fingerprinted", print "Credential";
- 3. Report to any State Patrol office, law enforcement agency, or other entity that offers the service of fingerprinting to provide their fingerprints on the fingerprint cards; and
- 4. Forward the completed fingerprint cards and payment for the criminal background check as specified in 172 NAC 88-004.02B1 to the Nebraska State Patrol, CID Division, P.O. Box 94907, Lincoln, NE 68509.

88-005.02A1 Payment for criminal background checks is the responsibility of the individual and can be made by personal check, money order or cashier's check, payable to the Nebraska State Patrol. The fee for criminal background checks is established by the Nebraska State Patrol and can be found on the web site of the Department.

88-005.02A2 Submission by the individual of completed fingerprint cards and the appropriate payment to the Nebraska State Patrol authorizes the release of the results of the criminal background check to the Department. The results will be forwarded by the Nebraska State Patrol directly to the Department for consideration with the application for licensure.

88-005.03 Department Review: The Department will act within 150 days upon all completed applications for initial credentialing.

88-005.04 Denial of Initial Credential: If an applicant for an initial credential does not meet all of the requirements for a credential, the Department will deny issuance of a credential. If the applicant is found to have committed any act which would be grounds for denial of a credential as listed in 172 NAC 88-010, the Department may deny issuance of a credential. To deny a credential, the Department will notify the applicant in writing of the denial and the reasons for the determination. The denial will become final 30 days after mailing the notice unless the applicant, within that 30-day period, requests a hearing in writing. The hearing will be conducted in accordance with the Administrative Procedure Act and 184 NAC 1, the Department's Rules of Practice and Procedure.

88-005.05 Withdrawn Applications: An applicant for a credential who withdraws his/her application or whose application is rejected by the Department prior to administration of the examination will be allowed the return of his/her fee, except for a \$25 administrative fee to be retained by the Department.

88-005.06 Practice Prior to Credential: An individual who practices prior to issuance of a credential is subject to assessment of an administrative penalty under 172 NAC 88-013 or such other action as provided in the statutes and regulations governing the credential.

88-005.07 Confidentiality: Social Security Numbers obtained under this section are not public information but may be shared by the Department for administrative purposes if necessary and only under appropriate circumstances to ensure against any unauthorized access to this information.

88-005.08 Address Information: Each credential holder must notify the Department of any change to the address of record.

88-005.09 Non-English Documents: Any documents written in a language other than English must be accompanied by a complete translation into the English language. The translation must be an original document and contain the notarized signature of the translator. An individual may not translate his/her own documents.

<u>88-006</u> <u>REQUIREMENTS FOR ISSUANCE OF VISITING FACULTY PERMITS:</u> The Department, with the recommendation of the Board, may issue a Visiting Faculty Permit to <u>an individual toany person who is serveing</u> as a member of the faculty of an accredited hospital or school or college of medicine in <u>the State of</u> Nebraska, to teach students of medicine, to conduct research, or both.

The holder of a Visiting Faculty Permit must not engage in the practice of medicine and surgery outside of his/her assignment(s) as a member of the faculty of an accredited school or college of medicine in the State of Nebraska to teach students of medicine, to conduct research, or both.

<u>88-006.01</u> Qualifications: These persons must To receive a visiting faculty permit, an individual must meet the following qualifications:

- 1. Age and Good Character: Be at least 19 years old and of good character;
- 2. Citizenship/Lawful Presence: Be a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- 3. 1.—Have graduated from an accredited school or college of medicine, an accredited school or college of osteopathic medicine or be a fForeign mMedical gGraduate; and
- <u>4.</u> <u>2.</u> Be appointed to <u>Have been accepted as a member of the faculty</u> of an accredited school or college of medicine in Nebraska, to teach students of medicine, to conduct research, or both; and
- 3. Have attained at least the age of 19; and
- 4. Have good moral character; and

88-006.02 Application: To apply for a visiting faculty permit the individual must submit a complete application to the Department. A complete application includes all required documentation, the required fee, and a written application. The applicant may obtain an application from the Department or construct an application that must contain the following information:

- 1. Written Application:
 - a. Personal Information:

5. Submit to the Department:

- a. A completed application for a visiting faculty permit which includes the following information. The application may be submitted on a form provided by the Department or on an alternate format.
 - <u>Applicant information:</u> <u>The legal name of the applicant,</u> <u>maiden name (if applicable), and any other names by</u> <u>which the applicant is known, address, telephone number</u> (optional), place and date of birth, Social Security Number.
 - (2) Date of birth (month, day, and year);
 - (3) Place of birth (city and state or country if not born in the United States):
 - (4) Mailing address (street, rural route, or post office address; and city, state, and zip code, or country information);
 - (5) The applicant's:
 - (a) Social Security Number (SSN); or
 - (b) Alien Registration Number ("A#"); or
 - (c) Form I-94 (Arrival-Departure Record) number.

Certain applicants may have both a SSN and an A# or I-94 number, and if so, must report both.

- (6) The applicant's telephone number including area code (optional):
- (7) The applicant's e-mail address (optional);
- (8) The applicant's fax number (optional);
- b. Indicate whether or not applicant holds a Federal Controlled Substances Registration. If yes, list the number and expiration date.
- c. Practice Before Application: The applicant must state:
 - (1) That s/he has not practiced medicine and surgery/ osteopathic medicine and surgery in Nebraska before submitting the application; or
 - (2) If s/he has practiced medicine and surgery/osteopathic medicine and surgery in Nebraska before submitting the application, the actual number of days practiced in Nebraska before submitting the application for a credential and the name and location of practice; and
- d. Attestation: The applicant must attest that:
 - (1) S/he has read the application or has had the application read to him/her; and

- (2) All statements on the application are true and complete.
- 2. Documentation: The applicant must submit the following documentation with the application:
 - a. Evidence of age, such as:
 - (1) Driver's license;
 - (2) Birth certificate;
 - (3) Marriage license that provides date of birth;
 - (4) Transcript that provides date of birth;
 - (5) U.S. State identification card;
 - (6) Military identification; or
 - (7) Other similar documentation;
 - b. Evidence of:
 - (1) Other Credential: If the applicant holds a credential to provide health services or health-related services in Nebraska or in another jurisdiction, the applicant must submit the state, credential number, type of credential, date issued, and expiration date of each credential where the applicant has been or is currently credentialed. The applicant must have the licensing agency submit to the Department a certification of his/her credential;
 - (2) Disciplinary Action: A list of any disciplinary actions taken against the applicant's credential and a copy of the disciplinary action(s), including charges and disposition sent directly to the Department from the other jurisdiction;
 - (3) Denial: If the applicant was denied a credential or denied the right to take an examination, an explanation of the basis for the denial;
 - (4) Conviction Information: If the applicant has been convicted of a felony or misdemeanor, the applicant must submit to the Department:
 - (a) A list of any misdemeanor or felony convictions;
 - (b) A copy of the court record, which includes charges and disposition;
 - (c) Explanation from the applicant of the events leading to the conviction (what, when, where, why) and a summary of actions the applicant has taken to address behaviors/actions related to the conviction;
 - (d) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required;

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- (e) A letter from the probation officer addressing probationary conditions and current status, if the applicant is currently on probation; and
- (f) Any other information as requested by the Board/Department; and
- c. Evidence that the applicant is a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- <u>d.</u> Evidence of citizenship, lawful presence, and/or immigration status may include a copy of:
 - (1) A U.S. Passport (unexpired or expired);
 - (2) A birth certificate issued by a state, county, municipal authority or outlying possession of the United States bearing an official seal;
 - (3) An American Indian Card (I-872);
 - (4) A Certificate of Naturalization (N-550 or N-570);
 - (5) A Certificate of Citizenship (N-560 or N-561);
 - (6) Certification of Report of Birth (DS-1350);
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 - (12) An unexpired foreign passport with an unexpired <u>Temporary I-551 stamp bearing the same name as the</u> <u>passport</u>;
 - (13) A document showing an Alien Registration Number ("A#"). An Employment Authorization Card/Document is not acceptable; or
 - (14) A Form I-94 (Arrival-Departure Record);
- e. Official documentation showing successful completion of an accredited school or college of medicine or osteopathic medicine sent directly to the Department from the school or college; or, official documentation showing that applicant is a Foreign Medical Graduate sent directly to the Department from the school or college;
- f. Official Documentation requesting the issuance of a visiting faculty permit to the applicant to be a member of the faculty at an accredited school or college of medicine in the State of Nebraska to teach students of medicine, conduct research or both. Such documentation shall include:

- 1) Outline of faculty duties to be performed pursuant to the permit;
- 2) Attestation by the Dean of the College of Medicine that applicant has been accepted as a member of the faculty at the medical school to perform duties as outlined on the application and that said duties are to teach students of medicine, to conduct research or both.
- 3. Fee: The applicant must submit the required permit fee along with the application and all required documentation.
- <u>4.</u> A completed profile from the Federation Credentials Verification Service may be submitted. The profile will be reviewed to determine if its components meet the documentation requirements for evidence of age; and education.

88-006.02A Criminal Background Checks: An applicant must:

- 1. Obtain two fingerprint cards from the Department or from any State Patrol office or law enforcement agency;
- 2. Print the following information on the fingerprint cards:

<u>a. Name;</u>

b. Address;

- c. Social Security Number;
- d. Date of birth;
- e. Place of birth;
- f. Any physical identifiers; and
- g. In the space on the fingerprint cards marked "Reason Fingerprinted", print "Credential";
- 3. Report to any State Patrol office, law enforcement agency, or other entity that offers the service of fingerprinting to provide their fingerprints on the fingerprint cards; and
- 4. Forward the completed fingerprint cards and payment for the criminal background check as specified in 172 NAC 88-004.02B1 to the Nebraska State Patrol, CID Division, P.O. Box 94907, Lincoln, NE 68509.

88-006.02A1 Payment for criminal background checks is the responsibility of the individual and can be made by personal check, money order or cashier's check, payable to the Nebraska State Patrol. The fee for criminal background checks is established by the Nebraska State Patrol and can be found on the web site of the Department.

88-006.02A2 Submission by the individual of completed fingerprint cards and the appropriate payment to the Nebraska State Patrol authorizes the release of the results of the criminal background check to the Department. The results will be forwarded by the Nebraska State Patrol

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directly to the Department for consideration with the application for licensure.

<u>88-006.03</u> Department Review: The Department will act within 150 days upon all completed applications for initial credentialing.

88-006.04 Denial of Initial Credential: If an applicant for an initial credential does not meet all of the requirements for a credential, the Department will deny issuance of a credential. If the applicant is found to have committed any act which would be grounds for denial of a credential as listed in 172 NAC 88-010, the Department may deny issuance of a credential. To deny a credential, the Department will notify the applicant in writing of the denial and the reasons for the determination. The denial will become final 30 days after mailing the notice unless the applicant, within that 30-day period, requests a hearing in writing. The hearing will be conducted in accordance with the Administrative Procedure Act and 184 NAC 1, the Department's Rules of Practice and Procedure.

88-006.05 Withdrawn Applications: An applicant for a credential who withdraws his/her application or whose application is rejected by the Department prior to administration of the examination will be allowed the return of his/her fee, except for a \$25 administrative fee to be retained by the Department.

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88-006.07 Confidentiality: Social Security Numbers obtained under this section are not public information but may be shared by the Department for administrative purposes if necessary and only under appropriate circumstances to ensure against any unauthorized access to this information.

88-006.08 Address Information: Each credential holder must notify the Department of any change to the address of record.

88-006.09 Non-English Documents: Any documents written in a language other than English must be accompanied by a complete translation into the English language. The translation must be an original document and contain the notarized signature of the translator. An individual may not translate his/her own documents.

- (2) <u>Education</u>: name and location of high school completed and date completed, name and location of pre-medical college and date completed, name and location of medical college and date of graduation; if your medical college is not accredited indicate your ECFMG number.
- (3) Answer the following questions either yes or no; if you answer yes explain the circumstances and outcome:
 - (a) Has any state or territory of the U.S. ever taken any

of the following actions against your license? — Denied Suspended Revoked Limited

- (b) Has any licensing or disciplinary authority ever taken any of the following actions against your license?
 - Limited Suspended Restricted Revoked
- (c) Has any licensing or disciplinary authority placed your license on probation?
- (d) Have you ever voluntarily surrendered a license issued to you by a licensing or disciplinary authority?
- (e) Have you ever voluntarily limited in any way a license issued to you by a licensing or disciplinary authority?
- (f) Have you ever been requested to appear before any licensing agency?
- (g) Have you ever been notified of any charges or complaints filed against you by any licensing or disciplinary authority or criminal prosecution authority?
- (h) Are you aware of any pending disciplinary actions against your license in any jurisdiction?
- (i) Are you aware of any on-going investigations of a disciplinary complaint against your license in any jurisdiction?
- (j) Have you ever been addicted to, dependent upon or chronically impaired by alcohol, narcotics, barbiturates, or other drugs which may cause physical and/or psychological dependence?
- (k) During the past ten years have you voluntarily entered or been involuntarily admitted to an institution or health care facility for treatment of a mental or emotional disorder/condition?
- (I) During the last ten years have you been diagnosed with or treated for bipolar disorder; schizophrenia, or any psychotic disorder?
- (m) Have you ever been convicted of a felony?
- (n) Have you ever been convicted of a misdemeanor?
- (o) Have you ever been denied a Federal Drug Enforcement Administration (DEA) Registration or state controlled substances registration?
- (p) Have you ever been called before any licensing agency or lawful authority concerned with DEA controlled substances?
- (q) Have you ever surrendered your state or federal controlled substances registration?
- (r) Have you ever had your state or federal controlled substances registration restricted in any way?

- (s) Have you ever been notified of any malpractice claim against you?
- (4) Indicate whether or not you hold a Federal Controlled Substances Registration. If yes, list the number and expiration date.
- (5) <u>Requesting institution</u>: Official documentation that applicant has been appointed to the faculty of an accredited hospital_or school or college of medicine in Nebraska, including an outline of the duties to be performed.
- (6) Notarized statement from the applicant that states that the statements on the application are true and complete and that applicant is of good moral character.
- Difficial documentation showing successful completion of an accredited school or college of medicine; or official documentation showing the applicant is a foreign medical graduate; and
- c. The required permit fee.

<u>88-006.02</u> The holder of a Visiting Faculty Permit must not engage in the practice of medicine and surgery or osteopathic medicine and surgery outside of the assigned training program. Such programs are:

- 1. Any supervised educational program conducted by an accredited hospital or school or college of medicine; and
- 2. Any approved graduate medical education program conducted by an accredited hospital or school or college of medicine.

<u>88-006.03</u> Any Visiting Faculty Permit will not exceed one year in duration and may be renewed for no more than five one year periods.

<u>88-006.04</u> Any permit granted pursuant to 172 NAC 88-006 may be suspended, limited or revoked by the Department on the recommendation of the Board at anytime upon a finding that reasons for issuing such permit no longer exist or that the person to whom such permit has been issued is no longer qualified to hold such permit.

<u>88-007 REQUIREMENTS FOR ISSUANCE OF A PHYSICIAN LOCUM TENENS PERMIT:</u> A <u>physician</u> locum tenens permit may be <u>granted</u> <u>issued</u> by the <u>Department</u>, with the <u>recommendation of the Board</u>, to <u>an individual who holds an active license</u> physicians and surgeons who are duly licensed to practice medicine and surgery <u>or osteopathic medicine and surgery</u> in another state <u>when circumstances indicate a need for the issuance of a physician locum tenens permit in the State of Nebraska</u>.

<u>A Physician locum tenens permit may be issued for a period not to exceed 90 days in any 12-month period.</u>

88-007.01 Circumstances for which a physician locum tenens permit may be issued:

- 1. The unavailability of a Nebraska physician due to vacation, sickness, hospitalization or other similar leaves of absence;
- 2. A public health emergency in the State of Nebraska such as one arising from incidents of widespread disease, natural or manmade disaster or similar causes;
- 3. There is a need for a physician as requested by an accredited hospital in a health professional shortage area.

<u>88-007.042</u> To receive a physician locum tenens permit, an individual must meet the following qualifications: An applicant for such temporary practice rights must:

- 1. Age and Good Character: Be at least 19 years old and of good character;
- 2. Citizenship/Lawful Presence: Be a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.
- <u>3.</u> Hold an <u>active</u> current license to practice medicine and surgery or osteopathic medicine and surgery in another state;
- 2. Submit a recommendation from the licensing agency in that state stating that his/her license is current and in good standing.
- 3. Submit a written request from
 - a. a duly licensed Nebraska physician or osteopathic physician which states the dates of intended practice of the locum tenens and the reason for requiring a locum tenens because of the unavailability of the physician due to vacation, sickness or hospitalization or other similar leaves of absence; or there is a time of public health emergency in the state of Nebraska such as one arising from incidents of widespread disease, natural or manmade disaster or similar causes. <u>or</u>
 - b. A letter of authority to practice may be issued to an applicant by the Department upon the recommendation of the Board when there is a showing of good cause of a need for a locum tenens by a hospital in a health professional shortage
- 4. Submit an application for temporary practice rights which includes the following information. The application may be submitted on a form provided by the Department or on an alternate format.

88-007.03 Application: To apply for a physician locum tenens permit the individual must submit a complete application to the Department. A complete application includes all required documentation, the required fee, and a written application. The applicant may obtain an application from the Department or construct an application that must contain the following information:

- 1. Written Application:
 - a. <u>Personal Applicant ilnformation</u>:
 - (1)1. <u>The Llegal</u> <u>Nname of the applicant, maiden name (if applicable), and any other names by which the applicant is known;</u>

- (2)2. Date of birth (month, day, and year)Permanent Address;
- (3)3. Place and date of birth (city and state or country if not born in the United States);
- (4)4. Mailing address (street, rural route, or post office address,: city; state; and zip code or other country information)
- (5) The applicant's:
 - (a) Social Security Number;
 - (b) Alien Registration Number ("A#"); or

(c) Form I-94 (Arrival-Departure Record) number.

Certain applicants may have both a SSN and an A# or I-94 number, and if so, must report both.

- (6) The applicant's telephone number including area code (optional):
- (7) The applicant's e-mail address (optional);
- (8) The applicant's fax number (optional);
- 5. Medical Degree, date of issuance and name of issuing institution;
- <u>b.</u> <u>6.</u> <u>List</u> <u>Indicate applicant's</u> total years of active <u>medical</u> practice;
- c. Education: name and location of medical college and date of graduation; if the medical college is not accredited indicate the ECFMG number.
- d. Practice Before Application: The applicant must state:
 - (1) That s/he has not practiced medicine and surgery/osteopathic medicine and surgery in Nebraska before submitting the application; or
 - (2) If s/he has practiced medicine and surgery/osteopathic medicine and surgery in Nebraska before submitting the application, the actual number of days practiced in Nebraska before submitting the application for a credential and the name and location of practice; and
- e. Attestation: The applicant must attest that:
 - (1) S/he has read the application or has had the application read to him/her; and
 - (2) All statements on the application are true and complete.
- 2. Documentation: The applicant must submit the following documentation with the application:
 - a. Evidence of age, such as:
 - (1) Driver's license;

- (2) Birth certificate;
- (3) Marriage license;
- (4) Transcript that provides date of birth;
- (5) U.S. State identification card;
- (6) Military identification; or
- (7) Other similar documentation;
- b. Evidence of:
 - (1) Other Credential: If the applicant holds a credential to provide health services or health-related services, in Nebraska or in another jurisdiction, the applicant must submit the state, credential number, type of credential, date issued, and expiration date of each credential where the applicant has been or is currently credentialed.
 - (2) Disciplinary Action: A list of any disciplinary actions taken against the applicant's credential and a copy of the disciplinary action(s), including charges and disposition sent directly to the Department from the other jurisdiction;
 - (3) Denial: If the applicant was denied a credential or denied the right to take an examination, an explanation of the basis for the denial;
 - (4) Conviction Information: If the applicant has been convicted of a felony or misdemeanor, the applicant must submit to the Department:
 - (a) A list of any misdemeanor or felony convictions:
 - (b) A copy of the court record, which includes charges and disposition;
 - (c) Explanation from the applicant of the events leading to the conviction (what, when, where, why) and a summary of actions the applicant has taken to address behaviors/actions related to the conviction;
 - (d) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required;
 - (e) A letter from the probation officer addressing probationary conditions and current status, if the applicant is currently on probation; and
 - (f) Any other information as requested by the Board/Department;
- c. Evidence that the applicant is a citizen of the United States, an alien lawfully admitted into the United States who is eligible for a credential under the Uniform Credentialing Act, or a nonimmigrant lawfully present in the United States who is eligible for a credential under the Uniform Credentialing Act.

- d. Evidence of citizenship, lawful presence, and/or immigration status may include a copy of:
 - (1) A U.S. Passport (unexpired or expired);
 - (2) A birth certificate issued by a state, county, municipal authority or outlying possession of the United States bearing an official seal;
 - (3) An American Indian Card (I-872);
 - (4) A Certificate of Naturalization (N-550 or N-570);
 - (5) A Certificate of Citizenship (N-560 or N-561);
 - (6) Certification of Report of Birth (DS-1350);
 - (7) A Consular Report of Birth Abroad of a Citizen of the United States of America (FS-240);
 - (8) Certification of Birth Abroad (FS-545 or DS-1350);
 - (9) A United States Citizen Identification Card (I-197 or I-179):
 - (10) A Northern Mariana Card (I-873);
 - (11) A Green Card, otherwise known as a Permanent Resident Card (Form I-551), both front and back of the card;
 - (12) An unexpired foreign passport with an unexpired <u>Temporary I-551 stamp bearing the same name as the</u> <u>passport</u>;
 - (13) A document showing an Alien Registration Number ("A#"). An Employment Authorization Card/Document is not acceptable; or
 - (14) A Form I-94 (Arrival-Departure Record);
- e. Certification of license from a state in which applicant holds an active license. If there are any disciplinary actions, copies of those actions are to be included;
- f. Official Documentation requesting the issuance of a physician locum tenens permit for the purpose of replacing a physician who will be unavailable for a specific period of time, or to provide physician services in a health professional shortage area for a specific period of time.
- 3. Fee: The applicant must submit the required permit fee along with the application and all required documentation.
- 4. A completed profile from the Federation Credentials Verification Service may be submitted. The profile will be reviewed to determine if its components meet the documentation requirements for evidence of age.
 - 7. List states where licensed, issuance date and status;
 - 8. Answer the following questions either yes or no; if you answer yes explain the circumstances and outcome.
 - (a) Has any state or territory of the U.S. ever taken any of the following actions against your license?
 - Denied Suspended Revoked Limited

- (b) Has any licensing or disciplinary authority ever taken any of the following actions against your license?
 - Limited Suspended Restricted Revoked
- (c) Has any licensing or disciplinary authority placed your license on probation?
- (d) Have you ever voluntarily surrendered a license issued to you by a licensing or disciplinary authority?
- (e) Have you ever voluntarily limited in any way a license issued to you by a licensing or disciplinary authority?
- (f) Have you ever been requested to appear before any licensing agency?
- (g) Have you ever been notified of any charges or complaints filed against you by any licensing or disciplinary authority or criminal prosecution authority?
- (h) Are you aware of any pending disciplinary actions against your license in any jurisdiction?
- (i) Are you aware of any on-going investigations of a disciplinary complaint against your license in any jurisdiction?
- (j) Have you ever been addicted to, dependent upon or chronically impaired by alcohol, narcotics, barbiturates, or other drugs which may cause physical and/or psychological dependence?
- (k) During the past ten years have you voluntarily entered or been involuntarily admitted to an institution or health care facility for treatment of a mental or emotional disorder/condition?
- (I) During the last ten years have you been diagnosed with or treated for bipolar disorder; schizophrenia, or any psychotic disorder?
- (m) Have you ever been convicted of a felony?
- (n) Have you ever been convicted of a misdemeanor?
- (o) Have you ever been denied a Federal Drug Enforcement Administration (DEA) Registration or state controlled substances registration?
- (p) Have you ever been called before any licensing agency or lawful authority concerned with DEA controlled substances?
- (q) Have you ever surrendered your state or federal controlled substances registration?
- (r) Have you ever had your state or federal controlled substances registration restricted in any way?
- (s) Have you ever been notified of any malpractice claim against you?

(9) List dates that temporary practice will begin and end;

- (10) List reason for temporary medical practice;
- (11) List date of last application for Nebraska temporary practice rights, if any; and
- (12) Notarized statement from the applicant that states, statements on the application are true and complete.

b. The required permit fee.

<u>88-007.02</u> A locum tenens cannot practice in Nebraska for more than 90 days in any 12 month period.

88-007.04 Department Review: The Department will act within 150 days upon all completed applications for credentialing.

88-007.05 Denial of Credential: If an applicant for an credential does not meet all of the requirements for a credential, the Department will deny issuance of a credential. If the applicant is found to have committed any act which would be grounds for denial of a credential as listed in 172 NAC 88-010, the Department may deny issuance of a credential. To deny a credential, the Department will notify the applicant in writing of the denial and the reasons for the determination. The denial will become final 30 days after mailing the notice unless the applicant, within that 30-day period, requests a hearing in writing. The hearing will be conducted in accordance with the Administrative Procedure Act and 184 NAC 1, the Department's Rules of Practice and Procedure.

88-007.06 Withdrawn Applications: An applicant for a credential who withdraws his/her application or whose application is rejected by the Department prior to administration of the examination will be allowed the return of his/her fee, except for a \$25 administrative fee to be retained by the Department.

88-007.07 Practice Prior to Credential: An individual who practices prior to issuance of a credential is subject to assessment of an administrative penalty under 172 NAC 88-013 or such other action as provided in the statutes and regulations governing the credential.

88-007.08 Confidentiality: Social Security Numbers obtained under this section are not public information but may be shared by the Department for administrative purposes if necessary and only under appropriate circumstances to ensure against any unauthorized access to this information.

88-007.09 Address Information: Each credential holder must notify the Department of any change to the address of record.

88-007.10 Non-English Documents: Any documents written in a language other than English must be accompanied by a complete translation into the English language. The translation must be an original document and contain the notarized signature of the translator. An individual may not translate his/her own documents.

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<u>88-008</u> REQUIREMENTS FOR ISSUANCE OF A GEOGRAPHICALLY LIMITED LICENSE: Any person who is a foreign medical graduate and who wishes to practice medicine and surgery in a health profession shortage area without completion of at least three years approved graduate medical education must apply to the Department for a waiver of approved graduate medical education and obtain a geographically limited license. The criteria for issuance and the documentation required by the Department and the Board are set forth below.

88-008.01 Geographically Limited License Based on Waiver: An applicant for a geographically limited license to practice medicine and surgery on the basis of a waiver of approved graduate medical education, must:

- 1. Have served at least one year of approved graduate medical education;
- 2. Meet all requirements 172 NAC 88-003.02 except for serving at least three years of graduate medical education approved by the Board;
- 3. Submit the following to the Department:
 - a. An application for a license to practice medicine and surgery which includes the following information. The application may be submitted on a form provided by the Department or on an alternate format.
 - (1) All information pursuant to 172 NAC 88-003.01G item 1.
 - Official documentation showing the applicant is a foreign medical graduate, on a form provided by the Department, sent directly to the Department from the school or college; and
 - c. Official documentation showing successful completion of one year of approved graduate medical education, on a form provided by the Department, sent directly to the Department from the program; and
 - d. Official documentation showing passing scores obtained on all parts of the licensing examination submitted directly to the Department from the official repository of scores; and
 - e. Certification from each state where applicant has ever held a license that such license has never been suspended, revoked, limited, or disciplined in any manner. If there are any disciplinary actions, the nature of such actions is to be included; and
 - f. Official documentation of meeting one of the provisions of 172 NAC 88-003.02D (Maintenance of Competency).

g. One of the following:(1) Official documentation of a permanent certificate issued by the Educational Commission on Foreign Medical Graduates (ECFMG) sent directly to the Department from the ECFMG; or

- (2) Official documentation of successful completion of the Visa Qualifying Examination or its successor; or
- (3) Official documentation of successful completion of a program of American medical training designated as the Fifth Pathway and passage of the ECFMG examination.
- h. Satisfactory proof that the issuance of a license based on the waiver of the requirement of more than one year of approved graduate medical education will not jeopardize the health, safety, and welfare of the citizens of Nebraska;

(1) Such proof may include but will not be limited to

documented equivalent medical education completed in a foreign country.

- i. Proof that s/he will enter into the practice of medicine in a health professional shortage area;
 - (1) Such proof may include but will not be limited to an employment agreement with the hospital or other similar entity in the health profession shortage area.
 - The required licensure fee.

88-008.02 A license issued on the basis of such a waiver will be subject to the geographic limitation that the licensee continue to practice in a specified health professional shortage area and such other limitation, if any, deemed appropriate under the circumstances by the Director, upon the recommendation of the Board.

1. Such additional limitation may include, but will not be limited to, supervision by a medical practitioner, training, education, and scope of practice.

88-008.03 After two years of practice under a limited license issued on the basis of a waiver, a licensee may apply to the Department for removal of the limitation.

1. The Director, upon the recommendation of the Board, may grant or deny such application or may continue the license with limitations.

88-008 CONTINUING COMPETENCY REQUIREMENTS: Each person holding an active credential within the state must, on or before the date of expiration of the credential, comply with the continuing competency requirements for his/her profession, unless such requirements are waived in accordance with 172 NAC 88-009.03 and 88-009.04. Each credentialed individual is responsible for maintaining certificates or records of continuing competency activities.

88-008.01 On or before the credential expiration date, individuals whose credentials expire on October 1 of each even-numbered year must earn one of the following:

- 1. <u>50 hours of Category 1 continuing education approved as follows:</u>
 - a. Approved by the Accreditation Council for Continuing Medical Education (ACCME) or the American Osteopathic Association (AOA);
 - b. One year of participation in an approved graduate medical education program is approved as 50 hours of Category 1 continuing education;
 - c. Hours are to be earned within the 24 months immediately preceding the date of expiration, except that a licensee who has earned more than the 50 hours required for license renewal for one 24-month renewal period is allowed to carry over up to 25 hours to the next 24-month renewal period.

<u>Or</u>

2. The AMA Physician's Recognition Award or the AOA CME Certification earned within the 24 months immediately preceding the date of expiration.

88-008.02 On or before the credential expiration date, individuals whose credentials expire on July 1 of each year must earn one of the following:

- 1. 25 hours of Category 1 continuing education approved as follows:
 - a. Approved by the Accreditation Council for Continuing Medical Education (ACCME) or the American Osteopathic Association (AOA);
 - b. One year of participation in an approved graduate medical education program is approved as 50 hours of Category 1 continuing education;
 - c. Hours are to be earned within the 12 months immediately preceding the date of expiration.

<u>Or</u>

2. The AMA Physician's Recognition Award or the AOA CME Certification earned within the 12 months immediately preceding the date of expiration.

<u>88-009 PROCEDURES FOR RENEWAL OF TEMPORARY PERMITS:</u> All Temporary Educational Permits and Temporary Visiting Faculty Permits issued by the Department under the Act and these regulations expire on July 1 of each year.

<u>88-009.01 Renewal Process</u>: Any permit-holder who wishes to renew his/her permit must:

 -1.	Meet the continuing competency requirements pursuant to 172 NAC 88-
	016;
 2.	Pay the renewal fee as prescribed in 172 NAC 88-015;
 -3	Respond to the following questions:
	a. Has your license/permit in any profession in another state been
	revoked, suspended, limited or disciplined in any manner?
	b. Have you been convicted of a misdemeanor or felony?
	These questions relate to the time period since the last renewal of the
	license or during the time period since initial licensure in Nebraska if such
	occurred within the two years prior to the license expiration date.
 _4	Cause to be submitted to the Department:
	a. The renewal notice;
	b. The renewal fee;
	c. Attestation of completing the continuing competency requirement
	pursuant to 172 NAC 88-016 earned within 12 months of the date
	of expiration or application for waiver of continuing competency.
	Attestation to meeting continuing competency requirements
	satisfies the submission of the documentation requirement of Neb.
	<u>Rev. Stat. §71-110.</u>
	d. If any disciplinary action was taken against

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	th e. If	e applicant's license/permit by anothe e disciplinary action, including charges the permit-holder has been cor isdemeanor:	and disposition;
	(1) Official Court Record, which disposition;	- includes charges and
	(2		
) A letter from the permit-holder ex conviction;	xplaining the nature of the
		All addiction/mental health even treatment, if the conviction involve related offense and if treatme required; and	ved a drug and/or alcohol
	(5	• •	

<u>88-009.02 First Notice</u>: At least 30 days before July 1 of each year, the Department will send a renewal notice by means of regular mail to each permit-holder at the permit-holder's last place of residence as noted in the records of the Department. It is the responsibility of the permit-holder prior to the renewal period to notify the Department of any name and/or address changes.

<u>88-009.02A</u> The renewal notice must specify:

1. The name of the permit-holder;

on probation.

- 2. The permit-holder's last known address of record;
- 3. The permit number;
- 4. The expiration date of the permit;
- 5. The renewal fee as prescribed in 172 NAC 88-015; and
- 6. The type of continuing competency required for renewal; and
- 7. The option to place the permit on lapsed status.

<u>88-009.02B</u> The permit-holder must apply for renewal by submitting to the Department:

- 1. The renewal notice;
- 2. The renewal fee;
- 3. The permit-holder's social security number;
- 4. Attestation of completing the continuing competency requirement pursuant to 172 NAC 88-016 within 12 months of the date of expiration or an application for waiver of continuing competency; and
- Documentation relating to misdemeanor or felony conviction(s) or licensure/permit revocation, suspension, limitation or disciplinary action (if applicable).

<u>88-009.02C</u> If the permit-holder wishes to place his/her permit on lapsed status s/he must:

1. Request that his/her permit be placed on lapsed status by

submitting to the Department:

a. The renewal notice with a check in the box marked lapsed.

<u>88-009.02D</u> The Department will notify the permit-holder in writing of the acceptance or denial of the request to allow the permit to be placed on lapsed status.

<u>88-009.03</u> Second Notice: The Department will send to each permit-holder who fails to renew his or her permit or place the permit on inactive or lapsed status in response to the first notice, a second notice of renewal in accordance with the requirements of 172 NAC 88-009.01 that specify:

- 1. That the permit-holder failed to pay the renewal fee;
- That the permit has expired;
- 3. That the permit-holder is subject to an administrative penalty pursuant to 172 NAC 88-017 if s/he practices after the expiration date;
- 4. That upon receipt of the renewal fee, together with an additional late fee of \$25, and documentation of completing the continuing competency requirement within that time, no order of revocation will be entered; and
- 5. That upon failure to receive \$25 in addition to the regular renewal fee, and documentation of completing the continuing competency requirement, the permit will be revoked pursuant to 172 NAC 88-011.

<u>88-009.03A</u> The permit-holder must apply for renewal by submitting to the Department:

- 1. The renewal notice;
- 2. The renewal fee and the additional late fee of \$25;
- 3. The permit-holder's social security number;
- 4. Attestation by the licensee:
 - (a) That s/he has not practiced in Nebraska since the expiration of her/his license; or
 - (b) To the actual number of days practiced in Nebraska since the expiration of her/his license;
- 5. Attestation of completing the continuing competency requirement pursuant to 172 NAC 88-016 within 12 months of the date of expiration or an application for waiver of continuing competency; and
- 6. Documentation relating to misdemeanor or felony conviction(s) or licensure/permit revocation, suspension, limitation or disciplinary action (if applicable).

<u>88-009.03B</u> If the permit-holder wishes to place his/her permit on lapsed status s/he must:

- 1. Request that his/her permit be placed on lapsed status by submitting to the Department:
 - a. The renewal notice with a check in the box marked lapsed.

<u>88-009.03C</u> The Department will notify the permit-holder in writing of the acceptance or denial of the request to allow the permit to be placed on lapsed

status.

<u>88-009.04</u> When any permit-holder fails, within 30 days of expiration of a permit, to pay the renewal fee, to submit documentation of continuing competency, and/or to pay an additional late fee of \$25, the Department will automatically revoke such permit without further notice or hearing and make proper record or the revocation.

<u>88-009.05</u> Failure to meet the continuing competency requirement for renewal within 30 days of expiration of his/her permit will constitute non-renewal of a permit, unless a waiver of continuing competency is granted or the permit is placed on lapsed status. When any permit-holder fails, within 30 days of expiration of a permit to meet the continuing competency requirements for renewal, the Department revokes the permit after notice and opportunity for hearing. Hearings held before the Department will be conducted in accordance with <u>Neb. Rev. Stat</u>. §§ 84-901 to 84-920, Administrative Procedure Act and 184 NAC 1, Rules of Practice and Procedure of the Department.

<u>88-009.06</u> When the permit-holder has given notification to the Department that s/he desires to have the permit lapse upon expiration, 172 NAC 88-009.04 and 88-009.05 will not apply.

<u>88-009.07</u> The Department may refuse to renew a permit for falsification of any information submitted for renewal of a permit. Such refusal must be made pursuant to <u>Neb. Rev. Stat. 33</u> 33 71-149 to 71-155 and 184 NAC 1, Rules of Practice and Procedure of the Department.

<u>88-009.08</u> An individual who practices after expiration of her/his credential, is subject to assessment of an Administrative Penalty pursuant to <u>172 NAC 88-017</u>, or such other action as provided in the statutes and regulations governing the credential.

<u>88-01009</u> <u>PROCEDURES FOR RENEWAL OF LICENSE</u>: An individual who wants to renew <u>his/her license to practice medicine and surgery or osteopathic medicine and surgery must</u> request renewal as specified in 172 NAC 88-009.02. All licenses for to practice <u>Mm</u>edicine and <u>S</u>urgery and for <u>Oo</u>steopathic <u>Mm</u>edicine and <u>S</u>urgery issued by the Department under the Act and these regulations will expire on October 1, of each even-numbered year.

An individual who wants to renew his/her Temporary Educational Permit or Visiting Faculty Permit must request renewal as specified in 172 NAC 88-009.02. All Temporary Educational Permits and Visiting Faculty Permits issued by the Department will expire on July 1 each year.

88-009.01 Renewal Notice: At least 30 days before the expiration of a credential, the Department will notify each credential holder at the last known address of record. The renewal notice will include:

- 1. The type of credential;
- 2. The credential number;
- 3. The expiration date;
- 4. Continuing competency requirements for renewal;
- 5. The amount of the renewal fee; and

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6. Information on how to request renewal and how to place a credential on inactive status.

<u>88-01009.012</u> Renewal Proceduresprocess: The request for renewal may be submitted in person or by mail or internet, and must include all required documentation and the renewal fee, which must be paid no later than the expiration date. The applicant may obtain an application from the Department or construct an application. Any licensee who wishes to renew his/her license must:

- Meet the continuing competency requirements pursuant to 172 NAC 88-016;
- 2. Pay the renewal fee pursuant to 172 NAC 88-015;
- 3. Respond to the following questions:
 - a. Has your license in any profession in another state been revoked, suspended, limited or disciplined in any manner?
 - b. Have you been convicted of a misdemeanor or felony?

These questions relate to the time period since the last renewal of the license or during the time period since initial licensure in Nebraska if such occurred within the two years prior to the license expiration date.

- 4. Cause to be submitted to the Department:
 - a. The renewal notice;
 - b. The renewal fee;
 - c. Attestation of completing the continuing competency requirement pursuant to 172 NAC 88-016 earned within 24 months of the date of expiration or application for waiver of continuing competency. Attestation to meeting continuing competency requirements satisfies the submission of the documentation requirement of <u>Neb.</u> Rev. Stat. §71-110.
 - d. If any disciplinary action was taken against the applicant's license by another state, an official copy of the disciplinary action, including charges and disposition;
 - If the licensee has been convicted of a felony or misdemeanor:
 - (1) Official Court Record, which includes charges and disposition;
 - (2) Copies of arrest records;
 - (3) A letter from the licensee explaining the nature of the conviction;
 - (4) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required; and
 - (5) A letter from the probation officer addressing probationary conditions and current status, if the applicant is currently on probation.

<u>88-010.02</u> First Notice: At least 30 days before October 1 of each even-numbered year, the Department will send a renewal notice by means of regular mail to each licensee at the licensee's last place of residence as noted in the records of the Department. It is the responsibility of the licensee prior to the renewal period to notify the Department of any

name and/or address changes.

7.

<u>88-010.02A</u> The renewal notice must specify:

1. The name of the licensee;

2. The licensee's last known address of record;

3. The license number;

4. The expiration date of the license;

5. The renewal fee as prescribed in 172 NAC 88-015; and

6. The type of continuing competency required for renewal; and

The option to place the license on either inactive or lapsed status:

<u>88-010.02B</u> The licensee must apply for renewal by submitting to the Department:

1. The renewal notice;

2. The renewal fee;

3. The licensee's social security number;

- Attestation of completing the continuing competency requirement pursuant to 172 NAC 88-016 within 24 months of the date of expiration or an application for waiver of continuing competency; and
- 5. Documentation relating to misdemeanor or felony conviction(s) or licensure revocation, suspension, limitation or disciplinary action (if applicable).

<u>88-010.02C</u> If the licensee wishes to place his/her license on either inactive or lapsed status s/he must:

- 1. Request that his/her license be placed on inactive status by submitting to the Department:
 - a. The renewal notice with a check in the box marked inactive and
 - b. The fee of \$25; or
- 2. Request that his/her license be placed on lapsed status by submitting to the Department:

a. The renewal notice with a check in the box marked lapsed.

<u>88-010.02D</u> The Department will notify the licensee in writing of the acceptance or denial of the request to allow the license to be placed on lapsed or inactive status.

<u>88-010.03</u> Second Notice: The Department will send to each licensee who fails to renew his/her license or place the license on inactive or lapsed status in response to the first notice, a second notice of renewal in accordance with the requirements of 172 NAC 88-010.01 that specify:

1. That the licensee failed to pay the renewal fee;

2. That the license has expired;

3. That the licensee is subject to an administrative penalty pursuant to 172 NAC 88-017 if s/he practices after the expiration date;

- 4. That upon receipt of the renewal fee, together with an additional late fee of \$25, and documentation of completing the continuing competency requirement within that time, no order of revocation will be entered; and
- 5. That upon failure to receive \$25 in addition to the regular renewal fee, and documentation of completing the continuing competency requirement, the license will be revoked pursuant to 172 NAC 88-011.

<u>88-010.03A</u> The licensee must apply for renewal by submitting to the Department:

- 1. The renewal notice;
- 2. The renewal fee and the additional late fee of \$25:
- 3. The licensee's social security number;
- 4. Attestation by the licensee:
 - a. That s/he has not practiced in Nebraska since the expiration of his/her license; or
 - b. To the actual number of days practiced in Nebraska since the expiration of his/her license;
- Attestation of completing the continuing competency requirement pursuant to 172 NAC 88-016 within 24 months of the date of expiration or an application for waiver of continuing competency; and
- 6. Documentation relating to misdemeanor or felony conviction(s) or licensure revocation, suspension, limitation or disciplinary action.

<u>88-010.03B</u> If the licensee wishes to place his/her license on either inactive or lapsed status s/he must:

- 1. Request that his/her license be placed on inactive status by submitting to the Department:
 - a. The renewal notice with a check in the box marked inactive; and
 - b. The fee of \$25; or
- 2. Request that his/her license be placed on lapsed status by submitting to the Department:
 - a. The renewal notice with a check in the box marked lapsed.

<u>88-010.03C</u> The Department will notify the licensee in writing of the acceptance or denial of the request to allow the license to be placed on lapsed or inactive status.

1. Application: The applicant, on his/her application:

a. Must provide the following information:

- (1) The legal name of the applicant, maiden name (if applicable), and any other names by which the applicant is known;
- (2) Mailing address (street, rural route, or post office address; and city, state, and zip code, or country information);
- (3) The applicant's:

- (a) Social Security Number (SSN); or
- (b) Alien Registration Number (A#); or

(c) Form I-94 (Arrival-Departure Record) number. Certain applicants may have both a SSN and an A# or I-94 number, and if so, must report both;

- b. May provide the following information about him/herself:
 - (1) The applicant's telephone number including area code;
 - (2) The applicant's e-mail address; and
 - (3) The applicant's fax number; and
- c. Must attest that s/he has met the continuing competency requirements specified in 172 NAC 88-008 or has requested a waiver if s/he meets the requirements of 172 NAC 88-009.03 and/or 88-009.04.
- 2. Documentation: The applicant must submit the following documentation with the application.
 - a. If the applicant is not a United States citizen, s/he must submit evidence of lawful admission or presence in the United States, which may include a copy of:
 - (1) A Green Card, otherwise known as a Permanent Resident Card (Form I-551), both front and back of the card;
 - (2) An unexpired foreign passport with an unexpired <u>Temporary I-551 stamp bearing the same name as the</u> <u>passport</u>;
 - (3) A document showing an Alien Registration Number ("A#"). An Employment Authorization Card/Document is not acceptable; or
 - (4) A Form I-94 (Arrival-Departure Record);
 - b. Other Credential: If the applicant holds a credential to provide health services or health-related services in Nebraska or in another jurisdiction, the applicant must submit the state, credential number, type of credential, date issued, and expiration date of each credential where the applicant has been or is currently credentialed;
 - c. Disciplinary Action: A list of any disciplinary actions taken against the applicant's credential and a copy of the disciplinary action(s), including charges and disposition;
 - d. Denial: If the applicant was denied a credential or denied the right to take an examination, an explanation of the basis for the denial;
 - e. Conviction Information: If the applicant has been convicted of a felony or misdemeanor, the applicant must submit to the Department:
 - (1) A list of any misdemeanor or felony convictions;
 - (2) Official Court Record, which includes charges and

disposition;

- (3) Explanation from the applicant of the events leading to the conviction (what, when, where, why) and a summary of actions the applicant has taken to address the behaviors/actions related to the convictions;
- (4) All addiction/mental health evaluations and proof of treatment, if the conviction involved a drug and/or alcohol related offense and if treatment was obtained and/or required;
- (5) A letter from the probation officer addressing probationary conditions and current status, if the applicant is currently on probation; and
- (6) Any other information as requested by the Board/Department;
- 3. The required renewal fee.

88-009.03 Waivers for Military Service: A credential holder who has served in the regular armed forces of the United States during part of the credentialing period immediately preceding the renewal date, or is actively engaged in military service as defined in 172 NAC 88-002, is not required to pay the renewal fee or to meet the continuing competency requirements if acceptable documentation is submitted to the Department. The individual must document his/her military service by submitting to the Department:

- 1. Military identification proving that s/he is in active service;
- 2. Military orders; or
- 3. A letter from his/her Commanding Officer indicating that s/he is on active duty.

Upon receipt of acceptable documentation, the Department will waive the fee and the continuing competency requirements and renew the credential. The credential will remain active until the next renewal period.

88-009.04 Waiver of Continuing Competency Requirements: The Department waives continuing competency requirements for individuals who were first credentialed within the 24-month period immediately preceding the renewal date.

88-009.05 Audit Of Continuing Competency Requirements: The Department or the Board may biennially select, in a random manner, a sample of the renewal applications for audit of continuing competency requirements. Each credential holder selected for audit must produce documentation of the continuing competency activities.

88-009.05A The Department will notify each selected credential holder by mail. Failure to notify the Department of a current mailing address will not absolve the credential holder from the requirement for audit.

88-009.05B Within 30 days, each selected credential holder must respond by

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submitting documentation that s/he has met the requirements for continuing competency. An extension beyond 30 days for submission of the documentation may be granted at the discretion of the Department. Documentation submitted by the credential holder will not be returned.

<u>88-009.05C</u> Acceptable documentation that the credential holder has met the continuing competency requirements includes, but is not limited to:

- 1. <u>Certificates of attendance, transcripts, letters from a provider certifying attendance, or other documentation showing attendance at an approved course or educational program as outlined in section 88-008.01 or 88-008.02;</u>
- 2. Certificates or other documentation showing that the credential holder has received the AMA Physician's Recognition Award within the applicable time period under 88-008.01 or 88-008.02; and
- 3. <u>Certificates or other documentation showing the credential holder has</u> earned AOA CME Certification within the applicable time period under <u>88-008.01 or 88-008.02.</u>

88-009.05D The Department/Board will review the submitted documentation to determine if the credential holder has met the requirements for continuing competency activities for renewal of the credential. Only documented activities/hours that meet the continuing competency requirements will be counted toward the total requirements for renewal.

88-009.05E The Department will notify the credential holder upon satisfactory completion of the audit.

<u>88-009.05F</u> The credential of any person who fails to comply with the conditions of the audit will expire 30 days after notice and an opportunity for a hearing.

88-009.05G The Board reserves the right to audit continuing competency requirements of any credential holder by notifying the credential holder and requesting that s/he produce the required documentation of attendance at or participation in acceptable continuing competency programs within 30 days of mailing.

88-009.06 Department Review: The Department will act within 150 days upon all completed applications for renewal.

88-009.06A False Information: The Department may refuse to renew a credential for falsification of any information submitted for renewal of a credential. The refusal to renew will be made according to 184 NAC 1, the Department's Rules of Practice and Procedure for Administrative Hearings.

88-009.07 Address Information: Each credential holder must notify the Department of any change to the address of record.

88-009.08 Expiration of a Credential: A credential expires if a credential holder fails to:

- 1. Notify the Department that s/he wants to place his/her credential on inactive status upon its expiration;
- 2. Meet the requirements for renewal on or before the date of expiration of his/her credential; or
- 3. Otherwise fails to renew his/her credential.

88-009.08 Expiration of a Credential: A credential expires if a credential holder fails to:

- 1. Notify the Department that s/he wants to place his/her credential on inactive status upon its expiration;
- 2. Meet the requirements for renewal on or before the date of expiration of his/her credential; or
- 3. Otherwise fails to renew his/her credential.

88-009.08A Failure to Renew: A credential automatically expires without further notice or opportunity for hearing if a credential holder fails by the expiration date of the credential to either:

- 1. Submit documentation of continuing competency; or
- 2. Pay the required renewal fee.

<u>88-010.04</u> When any licensee fails, within 30 days of expiration of a license, to pay the renewal fee, to submit documentation of continuing competency, and/or to pay an additional late fee of \$25, the Department will automatically revoke such license without further notice or hearing and make proper record of the revocation.

<u>88-009.08B88-010.05</u> Failure to meet the continuing competency requirement for renewal within 30 days of expiration of his/her license will constitute nonrenewal of a license, unless a waiver of continuing competency is granted or the license is placed on inactive or lapsed status. When any licensee fails, within 30 days of expiration of a license, to meet the continuing competency requirements for renewal, the Department revokes the license after notice and opportunity for hearing. Failure to Meet Continuing Competency Requirements: The Department will refuse to renew a credential, after notice and opportunity for hearing, if a credential holder fails to meet the continuing competency requirements for renewal by the expiration date of the credential.

<u>88-010.06</u> When the licensee has given notification to the Department that s/he desires to have the license lapse or be placed on inactive status upon expiration, 172 NAC 88-010.04 and 88-010.05 will not apply.

<u>88-010.07</u> The Department may refuse to renew a license for falsification of any information submitted for renewal of a license. The refusal must be made pursuant to <u>Neb. Rev. Stat.</u> S§ 71-149 to 71-155 and 184 NAC 1, Rules of Practice and Procedure of the Department.

88-010.08 An individual who practices after expiration of his/her credential, is subject to

assessment of an Administrative Penalty pursuant to 172 NAC 88-017, or such other action as provided in the statutes and regulations governing the credential.

<u>88-009.08C</u> Right to Practice: When an individual's credential expires, the right to represent him/herself as a credential holder and to practice medicine and surgery/osteopathic medicine and surgery terminates.

88-009.08D Practice After Expiration: An individual who practices after expiration of his/her credential is subject to assessment of an administrative penalty under 172 NAC 88-013 or such other action as provided in the statutes and regulations governing the credential.

88-009.08E Reinstatement of an Expired Credential: If a credential holder wants to resume the practice of medicine and surgery after failing to renew his/her credential by the expiration date, s/he must apply to the Department for reinstatement as specified in 172 NAC 88-012.

88-009.09 Inactive Status: When an individual wants to have his/her credential placed on inactive status, s/he must notify the Department in writing. There is no fee to have a credential placed on inactive status and continuing competency is not required.

88-009.09A Request for Inactive Status: When the Department has received notification that an individual wants to have his/her credential placed on inactive status, the Department will notify the credential holder in writing of the acceptance or denial of the request.

88-009.09B Placement on Inactive Status: When an individual's credential is placed on inactive status, the credential holder must not engage in the practice of medicine and surgery/osteopathic medicine and surgery, but may represent him/herself as having an inactive credential.

88-009.09C Return to Active Status: A credential may remain on inactive status for an indefinite period of time. An individual who wants to have his/her credential returned to active status must apply to the Department for reinstatement and meet the requirements specified in 172 NAC 88-012.

<u>88-011 REVOCATION FOR FAILURE TO MEET RENEWAL REQUIREMENTS</u> The Department automatically revokes credential within 30 days of its expiration, without further notice or a hearing, when the credential-holder fails to meet the renewal requirements.

<u>88-011.01</u> Revocation for Nonpayment of Renewal Fee: When a credential-holder fails to pay the required renewal fee or fails to request that his/her credential be placed on either inactive or lapsed status within 30 days of its expiration, the Department automatically revokes the credential without further notice or a hearing.

<u>88-011.01A</u> The revocation notice specifies that the:

1. Credential-holder was given a first and second notice of renewal requirements and the respective dates for these notices;

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hi	redential-holder either failed to renew s/her license placed on inactive or lapse epartment has revoked the credential; 4. Credential-holder f	
	vocation; and redential-holder has a right to reinstater	nent of the credential.
88-011.02 Revocation f	or Failure to Meet Continuing Competer	ncy Requirements.
his/her credentia	nen a credential-holder fails within 30 Il to meet the continuing competency r partment revokes his/her credential afte	equirement for credential
	have his/her credential placed on Department will revoke the creden date of the notice unless the cred writing a hearing; Credential-holder has a right to ap	et and second notice of opetency requirement and e; enew the credential or to inactive or lapsed status; ntial within 30 days of the dential-holder requests in opeal the revocation; and

88-01210 DISCIPLINARY ACTIONS GROUNDS ON WHICH THE DEPARTMENT MAY LIMIT, PLACE ON PROBATION, DENY, REFUSE RENEWAL OF, OR DISCIPLINE A LICENSE OR PERMIT:

<u>88-012.01</u> The Department will deny an application for a license or permit when the applicant fails to meet the requirements for issuance of a license or permit.

<u>88-012.02</u> The Department will refuse renewal or reinstatement_of a license or permit if the licensee or permittee fails to meet the requirements for renewal or reinstatement of a license or permit.

<u>88-012.03010.01</u> The Department may deny, refuse renewal or reinstatement of, limit, suspend, place on probation, discipline or revoke licenses or permits for Grounds for Action Against a Credential: A credential to practice a profession may have disciplinary actions taken against it on any of the following grounds:-

- 1. <u>Misrepresentation of material facts in procuring or attempting to procure a</u> <u>credential;</u>Fraud, forgery, or misrepresentation of material facts, in procuring or attempting to procure a license or permit.
- 2. Grossly ilmmoral or dishonorable conduct evidencing unfitness or lack of proficiency sufficient to meet the standards required for to practice of the profession in this state.

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- 3. Habitual intoxication or <u>Abuse of</u>, dependence <u>on</u>, or <u>active addiction to</u> <u>alcohol</u>, any controlled substance, or any mind-altering substance; failure to comply with a treatment program or an aftercare program entered into under the Licensee Assistance Program established pursuant to <u>Neb</u>. <u>Rev. Stat.</u> § 71-172.01.
- 4. Failure to comply with a treatment program or an aftercare program, including, but not limited to, a program entered into under the Licensee Assistance Program established pursuant to Neb. Rev. Stat. § 38-175;
- 5. Conviction of:

<u>a. Aa</u> misdemeanor or felony under <u>Nebraska state</u> law, <u>or</u> federal law, or

<u>b.</u> A crime in any the law of another jurisdiction and which, if committed within this state, would have constituted a misdemeanor or felony under <u>Nebraska</u> state law and which has a rational connection with the <u>applicant's, licensee's or permittee's</u> fitness or capacity <u>of the</u> <u>applicant</u> to practice the profession:-

56. Practice of the profession:

(a)<u>.</u> Ffraudulently,

(b). Bbeyond its authorized scope,

(c). Wwith manifest incapacity,

(d). In with gross incompetence or gross negligence or (e) in a pattern of negligent conduct: Pattern of negligent conduct means a continued course of negligent conduct in performing the duties of the profession.

- 67. Practice of the profession while the ability to practice is impaired by alcohol, controlled substances, narcotic drugs, physical disability, mental disability, or emotional disability:-
- 78. Physical or mental incapacity to practice the profession as evidenced by a legal adjudication judgment or a determination thereof by other lawful means.
- 9. Illness, deterioration, or disability that impairs the ability to practice the profession;
- <u>810</u>. Permitting, aiding, or abetting the practice of a profession or the performance of activities requiring a <u>credential license</u>, <u>certificate</u>, or registration by a person not <u>credentialed licensed</u>, <u>certified</u>, <u>or registered</u> to do so:-
- 911. Having had his/her <u>credential</u> license or permit denied, refused renewal, limited, suspended, or revoked, or having had such license or permit disciplined in any other manner <u>similar to 172 NAC 88-010.05</u> in accordance with <u>Neb. Rev. Stat.</u> §71-155 by another state or jurisdiction to practice medicine and surgery or osteopathic medicine and surgery based upon acts by the applicant, licensee or permittee <u>or credential</u> <u>holder</u> similar to acts described in <u>this part</u>; 172 NAC 88-012 and 88-013. A certified copy of the record of denial, refusal of renewal, limitation, suspension, or revocation of a license, certificate, registration or permit or the taking of other disciplinary measures against it by another state or jurisdiction will be conclusive evidence.
- 10. Unprofessional conduct, which term includes all acts specified in <u>Neb.</u> <u>Rev. Stat.</u> § 71-148 and such other acts specified as unprofessional

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conduct by these regulations.

- 11<u>12</u>. Use of untruthful, deceptive, or misleading statements or improbable statements, or flamboyant, exaggerated, or extravagant claims concerning such licensee's professional excellence or abilities, in advertisements:-
- 1213. Conviction of fraudulent or misleading advertising or conviction of a violation of the Uniform Deceptive Trade Practices Act:-
- 13<u>14</u>. Distribution of intoxicating liquors, controlled substances or drugs for any other than lawful purposes:-
- 14<u>15</u>. Willful or repeated <u>v</u>Violations of the Uniform <u>Licensing Law Credentialing</u> <u>Act</u> or the rules and regulations <u>relating to the particular profession</u>; of the department relating to the licensee's profession, sanitation, quarantine, or school inspection.
- <u>1516</u>. Unlawful invasion of the field of practice of any profession <u>regulated by</u> mentioned in the Uniform <u>Licensing Law Credentialing Act</u> for which the <u>licensee or permittee credential holder</u> is not <u>licensed or permitted</u> <u>credentialed</u> to practice;-
- <u>4617</u>. Violation of the Uniform Controlled Substances Act or any rules and regulations adopted pursuant to the act.
- 17<u>18</u>. Failure to file a mandatory report required by <u>Neb. Rev. Stat.</u> §71-168 §§ 38-1,124 or 38-1,125;-
- 18. Practicing the profession of Medicine and Surgery or Osteopathic Medicine and Surgery while his/her license or permit is suspended or in contravention of any limitation placed upon his/her license or permit.
- 19. Physical or mental illness or physical or mental deterioration or disability which would render the applicant, licensee or permittee unqualified to practice his/her profession or occupation.
- 20. Refusal to submit to a physical or mental examination request by the Board, pursuant to <u>Neb. Rev. Stat.</u> §§ 71-161.12 to 71-161.16 to determine his or her qualifications to practice or continue in the practice of the profession or occupation for which application was made or for which s/he is licensed or holds a permit.
- 19. Failure to maintain the requirements necessary to obtain a credential;
- 20. Violation of an order issued by the Department;
- 21. Violation of an assurance of compliance entered into under Neb. Rev. Stat. § 38-1,108;
- 22. Failure to pay an administrative penalty;
- 23. Unprofessional conduct as defined in 172 NAC 88-010.02; or
- 24. Violation of the Automated Medication Systems Act.
- <u>88-012.04</u> Hearings before the Department will be conducted in accordance with the Administrative Procedure Act and 184 NAC 1, the Rules of Practice and Procedure for the Department.

<u>88-013</u> UNPROFESSIONAL CONDUCT: This section defines the following acts as unprofessional conduct, pursuant to <u>Neb. Rev. Stat.</u> §71-148(22), and where applicable, further construes the unlawful or unprofessional acts listed in <u>Neb. Rev. Stat.</u> §§71-147 and 71-148.

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- 1. Any departure from or failure to conform to the ethics of the medical profession, which ethics are found in the American Medical Association's Code of Medical Ethics and Opinions;
- 2. Misrepresentation of material facts in applying for or procuring renewal of a license or permit;
- 3. Misrepresenting one's credentials in an application submitted to a healthcare facility, insurance company, or prospective employer;
- 4. The use of any false or deceptive statement in any advertisement;
- 5. The refusal to cooperate or the failure to furnish requested information during a licensing or discipline investigation by the Department;
- 6. Prescribing drugs to an individual the physician has never met based solely on answers to questions provided by the internet, telephone, or FAX;
- 7. Prescribing drugs to an individual without first establishing a proper physicianpatient relationship. A proper physician-patient relationship requires that the physician make an informed medical judgment upon examination, diagnosis, and formulation of a treatment plan and that arrangements exist to insure availability of the physician or physician coverage for follow-up patient care;

88-010.02 Unprofessional Conduct: Unprofessional conduct means any departure from or failure to conform to the standards of acceptable and prevailing practice of medicine and surgery or the ethics of the profession, regardless of whether a person, patient, or entity is injured, but does not include a single act of ordinary negligence. Unprofessional conduct also means conduct that is likely to deceive or defraud the public or is detrimental to the public interest. Unprofessional conduct includes but is not limited to:

- 1. Receipt of fees on the assurance that an incurable disease can be permanently cured;
- 2. Division of fees, or agreeing to split or divide the fees, received for professional services with any person for bringing or referring a consumer other than:
 - a. With a partner or employee of the applicant or credential holder or his/her office or clinic;
 - b. With a landlord of the applicant or credential holder pursuant to a written agreement that provides for payment of rent based on gross receipts;
 - c. With a former partner or employee of the applicant or credential holder based on a retirement plan or separation agreement; or
- 3. Obtaining any fee for professional services by fraud, deceit, or misrepresentation, including, but not limited to, falsification of third-party claim documents;
- 4. Cheating on or attempting to subvert the licensing or state examination;
- 5. Assisting in the care or treatment of a consumer without the consent of the consumer or his/her legal representative;
- 6. Use of any letters, words, or terms, either as a prefix, affix, or suffix, on stationery, in advertisements, or otherwise, indicating that the person is entitled to practice a profession for which s/he is not credentialed;
- 7. Performing, procuring, or aiding and abetting in the performance or procurement of a criminal abortion;

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- 8. Knowingly disclosing confidential information except as otherwise permitted by law;
- 9. Commission of any act of sexual abuse, misconduct, or exploitation related to the practice of the profession of the applicant or credential holder. Sexual misconduct in the practice of medicine means violation of the physician-patient relationship through which the physician uses said relationship to induce or attempt to induce the patient to engage, or to engage or attempt to engage the patient, in sexual activity; committing any act which may reasonably be interpreted as intended for the sexual arousal or gratification of the practitioner, the patient, or both;
- 10. Failure to keep and maintain adequate records of treatment or service. Adequate records means legible medical records containing, at a minimum, sufficient information to identify the patient, support the diagnosis, justify the treatment, accurately document the results, indicate advice and cautionary warnings provided to the patient and provide sufficient information for another practitioner to assume continuity of the patient's care at any point in the course of treatment, and, when investigative or unproven therapies are utilized, the records must include written informed patient consent;
- 11. Prescribing, administering, distributing, dispensing, giving, or selling any controlled substance or other drug recognized as addictive or dangerous for other than a medically accepted therapeutic purpose;
- 12. Prescribing any controlled substance to:
 - a. Oneself or
 - b. Except in the case of a medical emergency;
 - (1) One's spouse;
 - (2) One's child;
 - (3) One's parent;
 - (4) One's sibling; or
 - (5) Any other person living in the same household as the prescriber;
- 13. Failure to comply with any federal, state, or municipal law, ordinance, rule, or regulation that pertains to the applicable profession;
- 8. <u>14.</u> Disruptive physician behavior as manifested by a physician's aberrant behavior which interferes with patient care or could reasonably be expected to interfere with patient care, including, but not limited to, the following:
 - ____a. Outbursts of rage or violent behavior;
 - b. Repeated failure to respond to calls;
 - ____c. Throwing instruments, charts, or objects;
 - _____d. Insulting comments to a patient, patient's family, physicians, or healthcare staff;
 - _____e. Striking or assaulting a patient, patient's family, physicians, or healthcare staff; and
 - __f. Poor hygiene;
- 9. Willfully or negligently violating the confidentiality between physician and patient except as required by law;

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- 15. A departure from or failure to conform to the ethics of the medical profession, which ethics are found in the American Medical Association's Code of Medical Ethics and Opinions;
- 16. Misrepresentation of material facts in applying for or procuring renewal of a license or permit;
- 17. Misrepresenting one's credentials in an application submitted to a healthcare facility, insurance company, or prospective employer;
- 18. The use of any false or deceptive statement in any advertisement;
- 19. Refusal to cooperate or failure to furnish requested information during a licensing or discipline investigation by the Department;
- 20. Providing treatment or consultation recommendations, including issuing a prescription, via electronic or other means, unless the physician has obtained a history and physical evaluation of the patient adequate to establish diagnosis and identify underlying conditions and/or contraindications to the treatment recommended/provided and that arrangements exist to insure availability of the physician or physician coverage for follow-up patient care;
- <u>10.</u> Practicing medicine under a false or assumed name;
- 11. <u>22.</u> Allowing another person or organization to use his or her his/her license to practice medicine;
- 12. <u>23.</u> Except as otherwise permitted by law, prescribing, selling, administering, distributing, ordering, or giving to an addict or any person previously drug dependent, any drug legally classified as a controlled substance;
- 13. <u>24.</u> Violating any federal law or regulation relating to controlled substances;
- 14. <u>25.</u> Failure to transfer pertinent and necessary medical records to another physician in a timely fashion when requested to do so by the patient or by a designated representative of the patient;
- 15. <u>26.</u> Use of any therapy, drug or device in a manner inconsistent with the federal Food, Drug and Cosmetic Act;
- 16. <u>27.</u> Exercising influence on the patient in such a manner as to exploit the patient for the financial gain of the licensee or of a third party, which includes, but is not limited to, the promotion or sale of services, goods, appliances, or drugs;
- 17. <u>28.</u> Refusing to provide professional service to a person because of such person's race, creed, color, or national origin;
- 18. <u>29.</u> Prescribing, selling, administering, or distributing, any drug legally classified as a prescription drug other than for proper medical purposes;
- <u>19.</u> <u>30.</u> Prescribing, dispensing or administering Schedule II controlled substances as defined in <u>Neb. Rev. Stat.</u> §28-405(a) including amphetamines and similar Schedule II sympathomimetic drugs in the treatment of exogenous obesity for a period in excess of thirty days in any one year, or the non-therapeutic use of injectable amphetamines;
- 20. 31. Signing a blank, undated or predated prescription form;
- 21. <u>32.</u> <u>Any c</u>onduct or practice outside the normal standard of care in the State of Nebraska which is or might be harmful or dangerous to the health of the patient or the public, not to include a single act of ordinary negligence;
- 22. Charging a fee for services not rendered or dividing a professional fee for patient referrals among health care providers or health care institutions or between these

providers and institutions or a contractual arrangement which has the same effect;

- 23. 33. Prescribing, dispensing or administering anabolic-androgenic steroids to a person for other than therapeutic purposes;
- 24. <u>34.</u> Lack of or inappropriate direction, collaboration or direct supervision of a licensed, certified or registered health care provider employed by, supervised by or assigned to the physician;
- 25. Commission of any act of sexual misconduct, or exploitation related to the person's practice of medicine and surgery, and osteopathic medicine and surgery. Sexual misconduct in the practice of medicine means violation of the physician-patient relationship through which the physician uses said relationship to induce or attempt to induce the patient to engage, or to engage or attempt to engage the patient, in sexual activity; committing any act which may reasonably be interpreted as intended for the sexual arousal or gratification of the practitioner, the patient, or both;
- 26. Failure to keep and maintain adequate records of treatment or service; adequate records means legible medical records containing, at a minimum, sufficient information to identify the patient, support the diagnosis, justify the treatment, accurately document the results, indicate advice and cautionary warnings provided to the patient and provide sufficient information for another practitioner to assume continuity of the patient's care at any point in the course of treatment; and, when investigative or unproven therapies are utilized, the records must include written informed patient consent;
- 27. 35. Failure to comply with <u>Neb. Rev. Stat.</u> §§_71-604, 71-605, and 71-606 relating to the signing of birth and death certificates; and
 - <u>36.</u> Failure to comply with Neb. Rev. Stat. § 38-2062 relating to disclosure of billing for anatomic pathology services.
- 28. <u>37.</u> Refusal to undergo an examination defining competency as required by the Board;
 - 38. Performance by a physician of an abortion as defined in subdivision (1) of <u>Neb. Rev. Stat. § 28-326 under circumstances when s/he will not be</u> <u>available for a period of at least 48 hours for postoperative care unless</u> <u>such postoperative care is delegated to and accepted by another</u> <u>physician;</u>
 - <u>39.</u> Performing an abortion upon a minor without having satisfied the notice requirements of Neb. Rev. Stat. <u>§§</u> 71-6901 to 71-6908;
 - 40. The intentional and knowing performance of a partial-birth abortion as defined in subdivision (7) of Neb. Rev. Stat. § 28-326, unless such procedure is necessary to save the life of the mother whose life is endangered by a physical disorder, physical illness, or physical injury, including a life-endangering physician condition caused by or arising from the pregnancy itself:
 - 41. Performance by a physician of an abortion in violation of the Pain-Capable Unborn Child Protection Act; and
 - 42. Failure by any physician to conform to any requirement of Neb. Rev. Stat. § 28-3,105.

88-010.03 Temporary Suspension or Limitation

88-010.03A The Department may temporarily suspend or temporarily limit any credential issued by the Department without notice or a hearing if the Director determines that there is reasonable cause to believe that grounds exist under 172 NAC 88-010.01 for the revocation, suspension, or limitation of the credential and that the credential holder's continuation in practice or operation would constitute an imminent danger to the public health and safety. Simultaneously with the action, the Department will institute proceedings for a hearing on the grounds for revocation, suspension, or limitation of the credential. The hearing will be held no later than 15 days from the date of the temporary suspension or temporary limitation of the credential.

88-010.03B A continuance of the hearing will be granted by the Department upon the written request of the credential holder, and the continuance must not exceed 30 days unless waived by the credential holder. A temporary suspension or temporary limitation order by the Director will take effect when served upon the credential holder.

88-010.03C A temporary suspension or temporary limitation of a credential under 172 NAC 88-010.03 will not be in effect for more than 90 days unless waived by the credential holder. If a decision is not reached within 90 days, the credential will be reinstated unless and until the Department reaches a decision to revoke, suspend, or limit the credential or otherwise discipline the credential holder.

<u>88-010.04</u> Department Action: The Department will follow the procedures delineated in the Uniform Credentialing Act to notify credential holders of any disciplinary action to be imposed and the time and place of the hearing.

<u>88-010.05</u> Sanctions: Upon the completion of any hearing held regarding discipline of a credential, the Director may dismiss the action or impose the following sanctions:

- 1. Censure;
- 2. Probation;
- 3. Limitation;
- 4. Civil Penalty;
- 5. Suspension; or
- 6. Revocation.

88-010.05A Additional Terms and Conditions of Discipline: If any discipline is imposed pursuant to 172 NAC 88-010.05, the Director may, in addition to any other terms and conditions of that discipline:

1. Require the credential holder to obtain additional professional training and to pass an examination upon the completion of the training. The examination may be written or oral or both and may be a practical or clinical examination or both or any or all of the combinations of written, oral, practical, and clinical, at the option of

the Director;

2. Require the credential holder to submit to a complete diagnostic examination by one or more physicians or other qualified professionals appointed by the Director. If the Director requires the credential holder to submit to an examination, the Director will receive and consider any other report of a complete diagnostic examination given by one or more physicians or other qualified professionals of the credential holder's choice if the credential holder chooses to make available the report or reports by his/her physician or physicians or other qualified professionals; and

3. Limit the extent, scope, or type of practice of the credential holder.

88-011 VOLUNTARY SURRENDER OR LIMITATION: A credential holder may offer to voluntarily surrender or limit a credential issued by the Department. The credential holder must make the offer in writing on a form provided by the Department or constructed by the credential holder, which must include the following information:

- 1. Personal Information:
 - a. First, middle and last name;
 - b. Mailing address (street, rural route, or post office address), city, state, and zip code;
 - c. Telephone number; and
 - d. Fax number.
- 2. Information Regarding the Credential Being Offered for Surrender or Limitation:
 - a. List credential(s) and credential number(s) that would be surrendered or limited;
 - b. Indicate the desired time frame for offered surrender or limitation:
 - (1) Permanently;
 - (2) Indefinitely; or
 - (3) Definite period of time (specify);
 - c. Specify reason for offered surrender or limit of credential; and
 - d. Specify any terms and conditions that the credential holder wishes to have the Department consider and apply to the offer.
- 3. Attestation: The credential holder must:
 - a. Attest that all the information on the offer is true and complete; and
 - b. Provide the credential holder's signature and date.

<u>88-011.01</u> The Department may accept an offer of voluntary surrender or limitation of a credential based on:

- 1. <u>An offer made by the credential holder on his/her own volition;</u>
- 2. <u>An offer made with the agreement of the Attorney General or the legal</u> <u>counsel of the Department to resolve a pending disciplinary matter;</u>
- 3. <u>A decision by the Attorney General to negotiate a voluntary surrender or</u> <u>limitation in lieu of filing a petition for disciplinary action; or</u>

4. <u>A decision by the legal counsel of the Department to negotiate a voluntary</u> <u>surrender or limitation in response to a notice of disciplinary action.</u>

<u>88-011.02</u> The Department may reject an offer of voluntary surrender of a credential under circumstances which include, but are not limited to, when the credential:

- 1. <u>Is under investigation;</u>
- 2. <u>Has a disciplinary action pending but a disposition has not been</u> rendered; or
- 3. Has had a disciplinary action taken against it.

88-011.03 When the Department either accepts or rejects an offer of voluntary surrender or limitation, the Director will issue the decision in a written order. The order will be issued within 30 days after receipt of the offer of voluntary surrender or limitation and will specify:

- 1. <u>Whether the Department accepts or rejects the offer of voluntary</u> <u>surrender; and</u>
- 2. The terms and conditions under which the voluntary surrender is accepted or the basis for the rejection of an offer of voluntary surrender. The terms and conditions governing the acceptance of a voluntary surrender will include, but not be limited to:
 - a. Duration of the surrender;
 - b. Whether the credential holder may apply to have the credential reinstated; and
 - c. Any terms and conditions for reinstatement.

<u>88-011.04</u> A limitation may be placed on the right of the credential holder to practice a profession or operate a business to the extent, for the time, and under the conditions as imposed by the Director.

88-011.05 Violation of any of the terms and conditions of a voluntary surrender or limitation by the credential holder will be due cause for the refusal of renewal of the credential, for the suspension or revocation of the credential, or for refusal to restore the credential.

88-011.06 Reinstatement following voluntary surrender is set out in 172 NAC 88-012.

<u>88-014012</u> <u>RE-CREDENTIALINGREINSTATEMENT:</u> This section applies to individuals previously issued a Nebraska credential<u>ed</u> who have lost the legal authority to practice in total or in part and who seek the authority to return to practice in Nebraska with a valid Nebraska credential. <u>Individuals may apply for reinstatement as follows:</u>

88-014.01 Eligibility

<u>88-014.01A</u> An individual whose credential has been previously: 1. Placed on lapsed status;

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	3.	Placed on inactive status; Revoked for failure to meet the r Suspended or limited for discipli	•
	5.	Voluntarily surrendered or volume of time;	luntarily limited for an indefinite
	• •	, at any time, to be re-credentia dential, in accord with these regul	led and re-authorized to practice ations.
	reasons may		has been revoked for disciplinary a period of two years has elapsed
		An individual who practices prior t Assessment of an Administrati 88-017, and	o re-credentialing, is subject to: ve Penalty pursuant to 172 NAC
	2.	request to be re-credentialed a the credential, and referral fo	n the credential, or denial of the nd re-authorized to practice under or prosecution for uncredentialed utes and regulations governing the
crede lapse	ential has beer ed to active stat	placed on lapsed status may h	<u>psed_Status</u> : A person whose ave their credential restored from to the Department that they meet 04.
		If the Department has evidence credential was lapsed, the Depart	e that an applicant has practiced ment may:
	<u> </u>	Assess an Administrative Penal Initiate disciplinary action agains	ty pursuant to 172 NAC 88-017;
	4.	status; or Restore the credential to active other sanctions on the credentia	status and impose limitation(s) or I.
		on of the statutes and regulatio	at an applicant has committed any ons governing the credential, the
	2.	status; or	e credential from lapsed to active
	<u> </u>	Restore the credential to active other sanctions on the credentia	status and impose limitation(s) or I.
	<u>88-014.02C</u> applications.	The Department will act with	nin 150 days on all completed
	<u>88-014.02D</u>	The applicant will be provided w	vith notice and the opportunity for

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hearing in accord with the Department's Rules of Practice and Procedure and <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920 before any of the actions pursuant to 172 NAC 88-014.02A and 88-014.02B are final.

- 1. An individual whose credential has expired, been placed on inactive status, voluntarily surrendered for an indefinite period of time, or suspended or limited for disciplinary reasons, may apply for reinstatement at any time.
- 2. An individual whose credential has been voluntarily surrendered for a definite period of time may apply for reinstatement after that period of time has elapsed.
- 3. An individual whose credential has been revoked may apply for reinstatement only after a period of two years has elapsed from the date of revocation.
- 4. An individual whose credential has been permanently voluntarily surrendered may not apply for reinstatement.

The voluntary surrender of a credential may be unrelated to disciplinary matters, or may be done to resolve a pending disciplinary matter, in lieu of disciplinary action, or in response to a notice of disciplinary action.

<u>88-014.03</u> Requirements to Move a Credential from Inactive to Active Status: A person whose credential has been placed on inactive status may have his/her credential moved from inactive to active status upon proof to the Department that they meet the following requirements:

1. Meet renewal requirements, including:

- a. The continuing competency requirements;
 - b. Paying the renewal fee and any other applicable fees;
 - c. Meet one of the following within the three years immediately preceding the application for reinstatement:
 - 1) Have been in the active practice of the profession of medicine and surgery ; or
 - (2) Pass the SPEX Examination or the COMVEX-USA Examination; or
 - (3) Pass a Board Specialty Examination as recommended by the American Board of Medical Specialties; or
 - (4) Demonstrate other proof of professional competency as approved by the Board.

2. Attest:

- a. That s/he has not practiced in Nebraska since s/he last held an active credential; or
- b. To the actual number of days practiced if the applicant has practiced in Nebraska since s/he last held an active credential.

<u>88-014.04 Procedures for Moving from Inactive to Active Status:</u> To move a credential from inactive status to active status, the applicant must submit the following to the Department:

- 1. A written application which contains the following information about the applicant:
- a. Name;

b. Address;

c. Social security number; and

d.	If the	applicant holds a professional credential in another state, a
	list of	the state(s) and type of credential;
e.	Date of	of birth and place of birth;
f		of professional school and date of graduation;
g		er the following questions either yes or no; if you answer yes
		n the circumstances and outcome. These questions pertain
	to the	time period since your credential was active.
	(1)	Has any state or territory of the U.S. taken any of the
		following actions against your license?
		Denied Suspended Revoked Limited
	(2)	Has any licensing or disciplinary authority taken any of the
		following actions against your license?
		Limited Suspended Restricted Revoked
	(3)	Has any licensing or disciplinary authority placed your
	. ,	license on probation?
	(4)	Have you voluntarily surrendered a license issued to you
	. ,	by a licensing or disciplinary authority?
	(5)	Have you voluntarily limited in any way a license issued to
	. ,	you by a licensing or disciplinary authority?
	(6)	Have you been requested to appear before any licensing
	. ,	agency?
	(7)—	Have you been notified of any charges or complaints filed
	. ,	against you by any licensing or disciplinary authority or
		criminal prosecution authority?
	(8)	Are you aware of any pending disciplinary actions against
	()	your license in any jurisdiction?
	(9)	Are you aware of any on-going investigations of a
	()	disciplinary complaint against your license in any
		jurisdiction?
	(10)	Have you been addicted to, dependent upon or chronically
	()	impaired by alcohol, narcotics, barbiturates, or other drugs
		which may cause physical and/or psychological
		dependence?
	(11)	Have you voluntarily entered or been involuntarily admitted
	()	to an institution or health care facility for treatment of a
		mental or emotional disorder/condition?
	(12)	Have you been diagnosed with or treated for bipolar
	()	disorder, schizophrenia, or any psychotic disorder?
	(13)	Have you been convicted of a felony?
		Have you been convicted of a misdemeanor?
		Have you been denied a Federal Drug Enforcement
	()	Administration (DEA) Registration or state controlled
		substances registration?
	(16)	Have you been called before any licensing agency or
	()	lawful authority concerned with DEA controlled
		substances?
	(47)	llava yay aymaadanad yayn atata an fad-y-l(y-lll

(17) Have you surrendered your state or federal controlled substances registration?

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		(18)			deral controlled substances
			•	estricted in any wa	•
		(19)	Have you be you?	een notified of any	/ malpractice claim against
	h	-Indicat		eet one of the follo	wing within the three years
		immed		ng the application	
		(1)	Have been medicine and		ctice of the profession of
		(2)			n or the COMVEX-USA
		(2)	Examination		
		(3)			nation as recommended by
		(4)		Board of Medical	•
		(4)	Demonstrate approved by		rofessional competency as
	i.	List ve			I since your credential was
		- ·) -	active.		,
	i.	A state	ement describ	ing all:	
	,			•	tions during the time period
		()		dential was active;	
				-	en convicted of a felony or
			()	emeanor, provide o	
			[1]		Record, which includes
			[·]	charges and dis	
			[2]	Arrest records;	
			[2] [3]	,	e applicant explaining the
			[0]	nature of the cor	
			[4]		ntal health evaluations and
			[-]		nt, if the conviction involved
					cohol related offense and if
				•	obtained and/or required;
				and	obtained ana/or required,
			<u>[5]</u>	A letter from	h the probation officer
				addressing pro	bationary conditions and
				current status, if	the applicant is currently on
				probation.	
		(2)	Revocations	, suspensions, or	other disciplinary actions
		. ,	against any	professional crede	ential held by the applicant
					credential was active;
			(a) If an	y disciplinary acti	on was taken against the
			applic	ant's credential b	y another state, submit an
			officia	al copy of the di	sciplinary action, including
				les and disposition	
		(3)	Disciplinary	charges pending	against any professional
		()	credential he	ld by the applicant	.
	——————————————————————————————————————				npetency requirements for
			al have been i	,	
				her applicable fees).
	3. Attest	ation by	applicant:		

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		e has not pract edential; or	iced in Nebrask	a since s/he last held an
				ced if the applicant has
	•			d an active credential.
4	Official docume	ntation of meeti	ng one of the p	rovisions of 172 NAC 88-
	014.03 item 1c.		•	
<u>88-012.01</u>	Reinstatement Fr	om Expired or	Inactive Status	s or Following Voluntary
Surrender	Jnrelated to a Discip	olinary Matter		

<u>The applicant must submit to the Department a written application on a form provided by</u> the Department or constructed by the applicant.

- 1. Application: The applicant, on his/her application:
 - a. Must provide the following information:
 - (1) The legal name of the applicant, maiden name (if applicable), and any other names by which the applicant is known;
 - (2) Mailing address (street, rural route, or post office address; and city, state, and zip code or country information);
 - (3) The applicant's:
 - (a) Social Security Number (SSN); or
 - (b) Alien Registration Number (A#); or
 - (c) Form I-94 (Arrival-Departure Record) number. Certain applicants may have both a SSN and an A# or I-94 number, and if so, must report both.
 - (4) If the applicant holds a professional credential in another state, a list of the state(s) and type of credential;
 - b. If the applicant is not a United States citizen, s/he must submit evidence of lawful admission or presence in the United States, which may include a copy of:
 - (1) A Green Card, otherwise known as a Permanent Resident Card (Form I-551), both front and back of the card;
 - (2) An unexpired foreign passport with an unexpired <u>Temporary I-551 stamp bearing the same name as the</u> <u>passport;</u>
 - (3) A document showing an Alien Registration Number ("A#"). An Employment Authorization Card/Document is not acceptable; or
 - (4) A Form I-94 (Arrival-Departure Record);
 - c. May provide the following information about him/herself:
 - (1) Telephone number including area code;
 - (2) E-mail address:
 - (3) Fax number; and
 - d. Must attest that s/he:

- (1) Has met the continuing competency requirements specified in 172 NAC 88-008 within the 24 months immediately preceding submission of the application;
- (2) Has not practiced in Nebraska since s/he last held an active credential, or if the applicant has practiced in Nebraska since s/he last held an active credential, the actual number of days practiced;
- (3) Has not committed any act which would be grounds for action against a credential as specified in 172 NAC 88-010 since the last renewal or issuance of the credential (whichever is later), or if an act(s) was committed, provide an explanation of all such acts;
- (4) Meets one of the following criteria within the three years immediately preceding the application for reinstatement:
 - (a) Has been in the active practice of the profession of medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or
 - (b) Has had at least one year of approved graduate medical education; or
 - (c) Has completed continuing medical education approved by the Board; or
 - (d) Has completed a refresher course in medicine and surgery approved by the Board; or
 - (e) Has completed the special purpose examination approved by the Board.
- 2. Fee(s): The following fee(s):
 - a. If the credential is expired or inactive, the reinstatement and renewal fees; or
 - b. If the credential was voluntarily surrendered, the renewal fee.

<u>88-014.04A012.01A</u> If an applicant has practiced while her/his credential was inactive, or voluntarily surrendered, the Department may, with the recommendation of the Board, take one or more of the following actions:

- 1. Assess an Administrative Penalty pursuant to 172 NAC 017;
- 2. Initiate disciplinary action against the credential;
- 3<u>1</u>. Deny the request to move the credential from inactive to active status the application to reinstate the credential; or
- 4<u>2</u>. <u>Move Reinstate</u> the credential to active status and impose limitation(s) or other sanctions disciplinary actions on the credential-: and/or
- 3. Reinstate the credential.

<u>88-014.04B012.01B</u> If an applicant has committed any other violation of the statutes and regulations governing the credential, the Department may:

1. Initiate disciplinary action against the credential;

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- 2<u>1</u>. Deny the request to move the credential from inactive to active status the application for reinstatement of the credential; or
- <u>32</u>. <u>Move Reinstate</u> the credential to active status and impose limitation(s) or other sanctions disciplinary actions on the credential-; and/or
- 3. Reinstate the credential.

<u>88-014.04C</u> In either event pursuant to 88-014.04A or 88-014.04B, a notice and the opportunity for hearing will be given to the applicant.

<u>88-014.04D012.01C</u> The Department will act within 150 days on all completed applications.

88-012.01D The Department's decision may be appealed to the Director by any party to the decision. The appeal must be in accordance with the Administrative Procedure Act.

88-012.02 Reinstatement from Non-Disciplinary Revocation or Lapsed Status: An individual whose credential was placed on non-disciplinary revocation or lapsed status before December 1, 2008 may apply for reinstatement as provided in 88-012.01.

<u>88-014.05</u> Requirements for Reinstatement Within One Year Following Revocation for Failure to Meet the Renewal Requirements: An applicant for reinstatement who applies not more than one year following revocation for failure to meet renewal requirements must:

1. Meet the renewal requirements, including:

a. The continuing competency requirements;

- b. Paying the renewal fee, the late fee of \$35 and any other applicable fees;
- c. Meet one of the following within the three years immediately preceding the application for reinstatement:
 - (1) Have been in the active practice of the profession of medicine and surgery ; or
 - (2) Pass the SPEX Examination or the COMVEX-USA Examination; or
 - (3) Pass a Board Specialty Examination as recommended by the American Board of Medical Specialties; or
 - (4) Demonstrate other proof of professional competency as approved by the Board.

2. Attest:

- a. That s/he has not practiced in Nebraska since s/he last held an active credential, or
 - b. To the actual number of days practiced if the applicant has practiced in Nebraska since s/he last held an active credential.

<u>88-014.06</u> Procedures for Reinstatement Within One Year Following Revocation for <u>Failure to Meet the Renewal Requirements</u>: To reinstate a credential not more than one

year following revocation for failure to meet renewal requirements, the applicant must submit the following to the Department:

- 1. A written application which contains the following information about the applicant:
- Name: <u>a</u> h Address: Social security number; and С d. If the applicant holds a professional credential in another state, a list of the state(s) and type of credential; Date of birth and place of birth; e. f Name of professional school and date of graduation; Answer the following questions either yes or no; if you answer yes g. explain the circumstances and outcome. These questions pertain to the time period since your credential was active. Has any state or territory of the U.S. taken any of the (1)following actions against your license? - Denied Suspended Revoked Limited Has any licensing or disciplinary authority taken any of the (2) following actions against your license? Limited Suspended Restricted Revoked Has any licensing or disciplinary authority placed your (3) license on probation? Have you voluntarily surrendered a license issued to you (4) by a licensing or disciplinary authority? (5) Have you voluntarily limited in any way a license issued to you by a licensing or disciplinary authority? Have you been requested to appear before any licensing (6) agencv? Have you been notified of any charges or complaints filed (7) against you by any licensing or disciplinary authority or criminal prosecution authority? Are you aware of any pending disciplinary actions against (8) your license in any jurisdiction? Are you aware of any on-going investigations of a (9) disciplinary complaint against your license in any iurisdiction? (10)Have you been addicted to, dependent upon or chronically impaired by alcohol, narcotics, barbiturates, or other drugs which may cause physical and/or psychological dependence? (11)Have you voluntarily entered or been involuntarily admitted to an institution or health care facility for treatment of a mental or emotional disorder/condition? (12) Have you been diagnosed with or treated for bipolar disorder, schizophrenia, or any psychotic disorder? (13) Have you been convicted of a felony? (14) Have you been convicted of a misdemeanor?

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		Admin		Federal Drug Enforcement tration or state controlled
		(16) Have	you been called befor <u>authority</u> concerned	e any licensing agency or d with DEA controlled
		(17) Have		state or federal controlled
		(18) Have (ederal controlled substances
				y malpractice claim against
	<u>h.</u>	Indicate that	you meet one of the foll	owing within the three years
		immediately p	receding the application	for reinstatement:
			been in the active pro	actice of the profession of
				on or the COMVEX-USA
		· · ·	nation; or	
				ination as recommended by
			nerican Board of Medica	•
				professional competency as
	_		ved by the Board.	
	—— İ.	•	vities for the time perio	d since your credential was
		active.		
	j.	A statement d	5	
				ctions during the time period
			he credential was active	
			the applicant has been o	
		m	isdemeanor, provide cor	
				Record, which includes
			charges and dis	sposition;
			[2] Arrest records;	
			[3] A letter from t nature of the co	he applicant explaining the nviction;
			[4] All addiction/me	ental health evaluations and
				ent, if the conviction involved
			a drug and/or a	Icohol related offense and if
			treatment was	obtained and/or required;
			and	
				m the probation officer
				obationary conditions and
				f the applicant is currently on
			probation.	
				r other disciplinary actions
				lential held by the applicant
				e credential was revoked;
		(a)		tion was taken against the
			applicant's credential l	by another state, submit an

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	official copy of the discip	linary action, including
	charges and disposition; an	
	(3) Disciplinary charges pending ag	
	credential held by the applicant.	
	k. Verification that the continuing competed	ency requirements for
	renewal have been met;	
2.	· · · · · · · · · · · · · · · · · · ·	: applicable fees.
3	Attestation by the applicant:	
	a. That s/he has not practiced in Nebraska	since s/he last held an
	active credential; or	
	b. To the actual number of days practice	
	practiced in Nebraska since s/he last held	
	(1) If an applicant has practiced after revoked the Department may as	
	Penalty pursuant to 172 NAC 8	
	notice and opportunity for hearing	
	applicant.	ig win be sent to the
	(2) If an applicant has practiced after	r his/her credential was
	revoked, or has committed any	
	statutes and regulations governin	
	action may be taken as provided in	
4	Official documentation of meeting one of the	
	014.05 item 1c.	
The Depar	tment will forward the application to the Board f	for its recommendation
pursuant to	<u>Neb. Rev. Stat.</u> § 71-110 (5).	
<u> </u>	<u>)14.06A</u> The Board's recommendation to the Departr	nent may be to:
4	Deinstate the anademtick	
	Reinstate the credential;	restrictions, er
<u> </u>	 Reinstate the credential with terms, conditions or in Deny reinstatement. 	esthetions; or
	Deny temstatement.	
88-0	014.06B Upon receipt of the Board's recommendation	on the Department will
with	in 150 days, send to the applicant a written notic	ce of the Department's
	conse. The Department may:	
	1. <u>Reinstate the credential</u> : An Administ	rative Penalty may be
	assessed pursuant to 172 NAC 88-017 if v	
	2. If the Department determines that the a	,
	acts or offenses prohibited by <u>Neb. Rev.</u>	
	148, the Department may:	00
	a. <u>Reinstate the credential</u> with	terms, conditions or
	restrictions. In such case the ap	plicant will be provided
	notice and the opportunity fo	
	Department pursuant to the E	
	Practice and Procedure and Neb.	
	84-920. An Administrative Pena	
	pursuant to to172 NAC 88-017 if w	-
	<u>b. Deny reinstatement</u> : In such cas	se the applicant will be

b. <u>Deny reinstatement</u>: In such case the applicant will be

provided notice and the opportunity for hearing before the Department pursuant to the Department's Rules of Practice and Procedure and <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920.

88-014.07 Requirements for Reinstatement More Than One Year Following Revocation for Failure to Meet the Renewal Requirements: An applicant for reinstatement who applies more than one year after revocation for failure to meet the renewal requirements must: Petition the Board for reinstatement as prescribed in Neb. Rev. Stat. § 71-161.05. The petition for reinstatement must be accompanied by: Verified recommendations from at least two credentialed practitioners of the same profession as the petitioner each having personal knowledge of the activities of the petitioner since the credential was revoked; and Verified recommendations from at least two citizens each having h personal knowledge of the activities of the petitioner since the credential was revoked. Meet the renewal requirements, including: The continuing competency requirements; and а b Paying the renewal fee, the late fee of \$75 and any other applicable fees; Meet one of the following within the three years immediately C. preceding the application for reinstatement: (1) Have been in the active practice of the profession of medicine and surgery; or Pass the SPEX Examination (2) or the COMVEX-USA Examination: or Pass a Board Specialty Examination as recommended by (2)the American Board of Medical Specialties; or Demonstrate other proof of professional competency as (3) approved by the Board. Attest: 3 а That s/he has not practiced in Nebraska since s/he last held an active credential: or To the actual number of days practiced if the petitioner has h practiced in Nebraska since s/he last held an active credential. 88-014.08 Procedures for Reinstatement More Than One Year Following Revocation for Failure to Meet Renewal Requirements: An applicant for reinstatement more than one year following revocation for failure to meet renewal requirements must submit to the Board: A petition for reinstatement: Stating the reason the petitioner believes his/her credential should be reinstated: Accompanied by verified recommendations from at least two h credentialed practitioners of the same profession as the petitioner each having personal knowledge of the activities of the petitioner

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	from at le activities of c. Containing (1) Na (2) Ac (3) Sc (4) If sta	credential was revoked; and veri ast two citizens each having pers of the petitioner since the credentia g the following information about thame; Idress; ocial security number; and the petitioner holds a professiona ate, a list of the state(s) and type of ate of birth and place of birth;	onal knowledge of the al was revoked. he petitioner: I credential in another
	(6) Na	ame of professional school and dat (7) Answei	r the following
	cir (8) Th lice (a)	estions either yes or no; if you a cumstances and outcome. hese questions pertain to the tir ense was active. Has any state or territory of the following actions against y Denied Suspended Rev	ne period since your the U.S. taken any of your license? oked Limited
		Has any licensing or disciplinary (any of the following actions a Limited Suspended Res Has any licensing or discipl your license on probation?	gainst your license? stricted Revoked
	(d) (c)	 Have you voluntarily surrend to you by a licensing or discip Have you voluntarily limited 	linary authority? in any way a license
	(f)		•
	(g)	licensing agency? Have you been notified complaints filed against you disciplinary authority or authority?	u by any licensing or
	(h)	 Are you aware of any pendii against your license in any juit 	risdiction?
	(i)	Are you aware of any on-go disciplinary complaint agains jurisdiction?	ing investigations of a st your license in any
	(j)	Have you been addicted to chronically impaired by barbiturates, or other drug	alcohol, narcotics, s which may cause
	(k)	physical and/or psychological Have you voluntarily entered admitted to an institution or treatment of a men disorder/condition?	d or been involuntarily health care facility for

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	(I)	Have you b bipolar disore disorder?	een diagnosed der, schizophren	with or treated for nia, or any psychotic
	(m)	Have you bee	en convicted of a	felony?
	(n)		en convicted of a	
	(o)			ral Drug Enforcement
			n (DEA) Reç ostances registra	gistration or state
	(p)			any licensing agency
			ority concerned v	
	(a)			ur state or federal
	(q)		ostances registra	
	(r)		ad your state of egistration restric	or federal controlled ted in any way?
	(s)	Have you be		ny malpractice claim
	d Indiaa	against you?	at any of the fall	awing within the three
				owing within the three
		atement:	preceating a	he application for
			the estive prop	tion of the profession
	(1)		-	tice of the profession
	(2)		ind surgery; or	or the COMVEX-USA
	(2)			
	(0)	Examination;		. Eventination of
	(3)			Examination as
			•	an Board of Medical
	(4)	Specialties; o		f of profossional
	(4)			f of professional ne Board.
	e. List ye			since your credential
	was a	ctive.		
	f. <u>A stat</u>	ement describi	n g all:	
	(1)			ctions during the time
		period since t	the credential wat	s active;
		(a) If the	-petitioner has	been convicted of a
		felony [1]		r, provide copies of: ecord, which includes
		[·]	charges and dis	
		[2]	Arrest records;	-p ,
		[<u>-</u>] [<u>3]</u>	,	e petitioner explaining
		[~]	the nature of th	• • •
		[4]		on/mental health
		r.1		d proof of treatment, if
				nvolved a drug and/or
				d offense and if
				s obtained and/or
			required; and	

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	[5] A letter from the probation officer
	addressing probationary conditions
	and current status, if the petitioner is
	currently on probation.
	(2) Revocations, suspensions, or other disciplinary
	actions against any professional credential held by
	the_petitioner during the time period since the credential was revoked:
	(a) If any disciplinary action was taken against
	the petitioner's credential by another state,
	submit an official copy of the disciplinary
	action, including charges and disposition;
	and
	(3) Disciplinary charges pending against any
	professional credential held by the petitioner.
	g. Verification that the continuing competency requirements
	for renewal have been met. 2. The renewal fee, the late fee of \$75 and any other applicable fees.
	 The renewal fee, the late fee of \$75 and any other applicable fees. Attestation by the petitioner:
	a. That s/he has not practiced in Nebraska since s/he last held an
	active credential; or
	b. To the actual number of days practiced if the petitioner has
	practiced in Nebraska since s/he last held an active credential.
	(1) If a petitioner has practiced after his/her credential was
	revoked the Department may assess an Administrative
	Penalty pursuant to 172 NAC 88-017 in which case a
	notice and opportunity for hearing will be sent to the petitioner.
	(2) If a petitioner has practiced after his/her credential was
	revoked, or has committed any other violation of the
	statutes and regulations governing the credential, other
	action may be taken as provided in 172 NAC 88-014.08F.
	4. Official documentation of meeting one of the provisions of 172 NAC 88-
	014.07 item 2c.
	<u>88-014.08A</u> The petition to recommend reinstatement will be considered at the
	next meeting of the Board that is held, but not earlier than 30 days after the
	petition is filed.
	88-014.08B Any petition to recommend reinstatement of a credential will be
	conclusively acted upon by the Board within 180 days after the filing of a properly
	prepared petition and the necessary accompanying documents with the Board.
	<u>88-014.08C</u> If the Board recommends reinstatement of the credential, no public
	hearing need be held on the petition.
	<u>88-014.08D</u> Prior to any recommendation by the Board against reinstatement of
	the credential, an opportunity for a formal public hearing on the petition must be

granted by the Board, if formally requested by the petitioner.

<u>88-014.08D1</u> The petitioner's request for a formal hearing must be submitted within 30 days of the Board's notification of an opportunity for a formal public hearing.

<u>88-014.08E</u> If the petitioner formally requests a formal public hearing or if the Board_otherwise holds such a hearing, the petitioner will be given at least 30 days prior notice by sending to the petitioner a copy of the notice of hearing by certified or registered mail at his/her last known residence or business post office address as shown by the files or records of the Department or as otherwise known. Notice may be given to the petitioner by personal service. The hearing will be conducted pursuant to 172 NAC 1.

<u>88-014.08F</u> The Board will review the petition to recommend reinstatement and the record of any hearing held, and submits its recommendation regarding reinstatement and the record on which such recommendation is made to the Department within 180 days of receipt of the petition to recommend reinstatement.

<u>88-014.08F1</u> If the Board recommends reinstatement of the credential, the Department may:

<u>1.</u>	Accept the Board's recommendation and grant
	reinstatement of the credential.
<u> </u>	If the Department determines that the Board's
	recommendation is: in excess of statutory authority; made
	upon unlawful procedure; unsupported by competent,
	material, and substantial evidence; or arbitrary or
	capricious, the department may not accept the Board's
	recommendation and either:
	a. Deny reinstatement of the credential, or
	b. Grant reinstatement with terms, conditions, or

restrictions.

88-014.08F2 If the Board recommends denial of reinstatement, the Board will send to the petitioner a written notice of the Board's recommendation. The petitioner may appeal the Board's decision to the District Court of Lancaster County pursuant to <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920.

<u>88-014.08F3</u> If the Board recommends reinstatement with terms, conditions, or restrictions, the Department may: 1. Accept the Board's recommendation and grant

- reinstatement with terms, conditions, or restrictions; or 2. Not accept the Board's recommendation and either: a. Deny reinstatement of the credential; or
 - b. Grant reinstatement of the credential.

<u>88-014.08F4</u> The Department will, within 150 days of receipt of the Board's recommendation, send to the petitioner a written notice of the Department's reinstatement with or without terms, conditions, or restrictions or denial of reinstatement of the credential.

<u>88-014.08F5</u> The petitioner may appeal the Department's decision to the District Court of Lancaster County pursuant to <u>Neb. Rev. Stat</u>. §§ 84-901 to 84-920.

<u>88-014.09</u>012.03 <u>Requirements to Reinstatement a Credential Following Suspension, Limitation, or Revocation for Disciplinary Reasons, or Voluntary Surrender to Resolve a Pending Disciplinary Matter, In Lieu of Discipline, or In Response to a Notice of Disciplinary Action: An applicant for reinstatement following suspension, limitation, or revocation for disciplinary reasons must meet the following requirements: An individual whose credential was suspended or limited may apply for reinstatement at any time. An individual whose credential has been revoked may apply for reinstatement after a period of two years has elapsed from the date of revocation. An individual whose credential was poly for reinstatement according to the order entered by the Director.</u>

The applicant must submit to the Board a written application on a form provided by the Department or constructed by the applicant.

1	Potition the Board for reinstatement:
1.	Feature Deard for reinstatement.

	. The petition for reinstatement must be accompanied by verified
	recommendations from at least two credentialed practitioners of
	the same profession as the petitioner each having personal
	knowledge of the activities of the petitioner since the credential
	was suspended, limited, or revoked; and
b.	. Verified recommendations from at least two citizens each having
	personal knowledge of the activities of the petitioner since the
	credential was suspended, limited, or revoked.
<u> </u>	ay the reinstatement fee of \$75, and other profession-specific
fe	equirements if expressly set by law.
<u> </u>	feet one of the following within the three years immediately preceding
th	ne application for reinstatement:
a.	. Have been in the active practice of the profession of medicine and
	surgery; or
b.	. Pass the SPEX Examination or the COMVEX-USA Examination;
Of	
C .	
	American Board of Medical Specialties; or
d.	
	by the Board.
4. If the (credential was revoked or suspended, attest:
a.	. That s/he has not practiced in Nebraska since s/he last held an
	active credential; or
b.	. To the actual number of days practiced if the petitioner has

practiced in Nebraska since s/he last held an active credential.

Povocation for Die	dures for Reinstatement Following Suspension, Limitation, sciplinary Reasons: An applicant for reinstatement followi
	n, or revocation for disciplinary reasons must submit to the Board:
	tion for reinstatement:
	Stating the reason the petitioner believes his/her credential should be the second statement of the se
a.	be reinstated:
h	Accompanied by verified recommendations from at least tw
b	credentialed practitioners of the same profession as the petition
	each having personal knowledge of the activities of the petition
	since the credential was suspended, limited, or revoked; a
	verified recommendations from at least two citizens each havi
	personal knowledge of the activities of the petitioner since t
	credential was suspended, limited, or revoked.
0	Containing the following information about the petitioner:
C	• •
	(1) Name; (2) Address;
	(2) Address, (3) Social security number; and
	(4) If the petitioner holds a professional credential in anoth
	state, a list of the state(s) and type of credential;
	(5) Date of birth and place of birth;
	(6) Name of professional school and date of graduation;
	(7) Answer the following questions either yes or no; if y
	answer yes explain the circumstances and outcon
	These questions pertain to the time period since ye
	credential was active.
	(a) Has any state or territory of the U.S. taken any
	the following actions against your license?
	Denied Suspended Revoked Limited
	(b) Has any licensing or disciplinary authority tak
	any of the following actions against your license?
	Limited Suspended Restricted Revoked
	(c) Has any licensing or disciplinary authority plac
	your license on probation?
	(d) Have you voluntarily surrendered a license issu
	to you by a licensing or disciplinary authority?
	(e) Have you voluntarily limited in any way a licer
	issued to you by a licensing or disciplina
	authority?
	(f) Have you been requested to appear before a
	licensing agency?
	(g) Have you been notified of any charges
	complaints filed against you by any licensing
	disciplinary authority or criminal prosecuti
	authority?
	(h) Are you aware of any pending disciplinary actio

	(i) Are you aware of any on-going investigations of a disciplinary complaint against your license in any jurisdiction?
	(jj Have you been addicted to, dependent upon or chronically impaired by alcohol, narcotics, barbiturates, or other drugs which may cause physical and/or psychological dependence?
	(k) Have you voluntarily entered or been involuntarily admitted to an institution or health care facility for treatment of a mental or emotional disorder/condition?
	(I) Have you been diagnosed with or treated for bipolar disorder, schizophrenia, or any psychotic disorder?
	(m) Have you been convicted of a felony?
	(n) Have you been convicted of a misdemeanor?
	(o) Have you been denied a Federal Drug Enforcement Administration (DEA) Registration or state controlled substances registration?
	(p) Have you been called before any licensing agency or lawful authority concerned with DEA controlled substances?
	(q) Have you surrendered your state or federal
	controlled substances registration?
	(r) Have you had your state or federal controlled substances registration restricted in any way?
	(s) Have you been notified of any malpractice claim
	against you?
d. Ind	icate that you meet one of the following within the three years
	nediately preceding the application for reinstatement:
(1)	
(2)	Pass the SPEX Examination or the COMVEX-USA Examination; or
(3)	Pass a Board Specialty Examination as recommended by
	the American Board of Medical Specialties; or
(4)	 Demonstrate other proof of professional competency as approved by the Board.
e. List	t your activities for the time period since your credential was
act	ive.
f. A s	tatement describing all:
(1)	Felony or misdemeanor convictions during the time period since the credential was suspended, limited, or revoked;
	(a) If the petitioner has been convicted of a felony or
	misdemeanor, provide copies of: [1] Official Court Record, which includes
	charges and disposition;
	[2] Arrest records;
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	[3] A letter from the petitioner explaining the
	nature of the conviction;
	[4] All addiction/mental health evaluations and
	proof of treatment, if the conviction involved
	a drug and/or alcohol related offense and if
	treatment was obtained and/or required;
	and
	[5] A letter from the probation officer
	addressing probationary conditions and
	current status, if the petitioner is currently
	on probation.
	(2) Revocations, suspensions, or other disciplinary actions
	against any professional credential held by the petitioner
	during the time period since the credential was suspended,
	limited, or revoked;
	(a) If any disciplinary action was taken against the
	petitioner's credential by another state, submit an
	official copy of the disciplinary action, including charges and disposition; and
	(3) Disciplinary charges pending against any professional
	credential held by the petitioner;
	g. Any continuing competency activities.
2.	The reinstatement fee of \$75.
3	Attestation by the petitioner, if the credential was revoked or suspended:
	a. That s/he has not practiced in Nebraska since s/he last held an
	active credential; or
	b. To the actual number of days practiced if the petitioner has
	practiced in Nebraska since s/he last held an active credential.
	(1) If a petitioner has practiced after his/her credential was
	revoked the Department may assess an Administrative
	Penalty pursuant to 172 NAC 88-017 in which case a
	separate notice and opportunity for hearing will be sent to
	the petitioner. (2) If a petitioner has practiced after his/her credential was
	revoked, or has committed any other violation of the
	statutes and regulations governing the credential, other
	action may be taken as provided in 172 NAC 88-014.10G.
4	Official documentation of meeting one of the provisions of 172 NAC 88-
	014.09 item 3.
<u>1.</u>	Application: The applicant, on his/her application:
	a. Must provide the following information:
	(1) The legal name of the applicant, maiden name (if
	applicable), and any other names by which the applicant is
	<u>known;</u>
	(2) Mailing address (street, rural route, or post office address; and city, state, and zip code or country information):
	 <u>and city, state, and zip code or country information);</u> (3) The applicant's:

(3) The applicant's:

- (a) Social Security Number (SSN); or
- (b) Alien Registration Number (A#); or
- (c) Form I-94 (Arrival-Departure Record) number. <u>Certain applicants may have both a SSN and an A# or I-94</u> <u>number, and if so, must report both.</u>
- (4) If the applicant holds a professional credential in another state, a list of the state(s) and type of credential;
- (5) A statement of the reason the applicant believes his/her credential should be reinstated;
- b. If the applicant is not a United States citizen, s/he must submit evidence of lawful admission or presence in the United States, which may include a copy of:
 - (1) A Green Card, otherwise known as a Permanent Resident Card (Form I-551), both front and back of the card:
 - (2) An unexpired foreign passport with an unexpired <u>Temporary I-551 stamp bearing the same name as the</u> <u>passport</u>;
 - (3) A document showing an Alien Registration Number ("A#"). An Employment Authorization Card/Document is not acceptable; or
 - (4) A Form I-94 (Arrival-Departure Record);
- c. May provide the following information about him/herself:
 - (1) Telephone number including area code;
 - (2) E-mail address;
 - (3) Fax number; and
- d. Must attest that s/he:
 - (1) Has met the continuing competency requirements specified in 172 NAC 88-008 within the 24 months immediately preceding submission of the application;
 - (2) Has not practiced in Nebraska since s/he last held an active credential, or if the applicant has practiced in Nebraska since s/he last held an active credential, the actual number of days practiced;
 - (3) Has not committed any act which would be grounds for action against a credential as specified in 172 NAC 88-010 since the last renewal or issuance of the credential (whichever is later), or if an act(s) was committed, provide an explanation of all such acts;
 - (4) <u>Meets one of the following criteria within the three years</u> immediately preceding the date of application:
 - (a) Has been in the active practice of the profession of medicine and surgery in some other state, a territory, the District of Columbia, or Canada for a period of one year; or

- (b) Has had at least one year of approved graduate medical education; or
- (c) Has completed continuing medical education as approved by the Board; or
- (d) Has completed a refresher course in medicine and surgery approved by the Board; or
- (e) Has completed the special purpose examination approved by the Board.

2. Fee: The renewal fee.

<u>88-014.10A012.03A</u> The Board will make a recommendation to the Director regarding reinstatement following <u>disciplinary action</u> <u>suspension</u>, <u>limitation</u>, <u>revocation</u>, <u>or voluntary surrender within 180 days of receipt of the application</u>. In determining whether reinstatement should be recommended, the Board may:

- Request the Department investigate all activities of the petitioner since the disciplinary action was taken against him/her, including activities prohibited by <u>Neb. Rev. Stat</u>. §§71-147 and 71-148.
 - 2. Require the petitioner to submit to a complete diagnostic examination by one or more physicians appointed by the Board, the petitioner being free also to consult a physician or physicians of his/her own choice for a complete diagnostic examination and make available a report or reports thereof to the Board;
 - 3. Require the petitioner to pass a written, oral, or practical examination or any combination of such examinations; or
 - 4. Require the petitioner to complete additional education.
- <u>88-014.10B</u> The petition to recommend reinstatement will be considered at the next meeting of the Board that is held, but not earlier than 30 days after the petition is filed.
 - <u>88-014.10C</u> Any petition to recommend reinstatement of a credential will be conclusively acted upon by the Board within 180 days after the filing of a properly prepared petition and the necessary accompanying documents with the Board.
 - <u>88-014.10D</u> If the Board recommends reinstatement of the credential, no public hearing need be held on the petition.
- <u>88-014.10E</u> Prior to any recommendation by the Board against reinstatement of the credential, an opportunity for a formal public hearing on the petition must be granted by the Board, if formally requested by the petitioner.
 - <u>88-014.10E1</u> The petitioner's request for a formal hearing must be submitted within 30 days of the Board's notification of an opportunity for a formal public hearing.
 - 88-014.10E2 If the petitioner had a hearing or an opportunity for a hearing on a prior petition to recommend reinstatement filed pursuant to

<u>Neb. Rev. Stat.</u> § 71-161.04 within a period of two years immediately preceding the filing of the current petition, the Board may grant or deny, without a hearing, the current petition to recommend reinstatement filed pursuant to Neb. Rev. Stat. § 71-161.04.

<u>88-014.10F</u> If the petitioner formally requests a formal public hearing or if the Board otherwise holds such a hearing, the petitioner will be given at least 30 days prior notice by sending to the petitioner a copy of the notice of hearing by certified or registered mail at his/her last known residence or business post office address as shown by the files or records of the Department or as otherwise known. Notice may be given to the petitioner by personal service. The hearing will be conducted pursuant to 172 NAC 1.

<u>-88-014.10G</u> The Board reviews the petition to recommend reinstatement, any examination or investigatory information and the record of hearing, if one was held. The Board will submit its recommendation to the Director within 180 days of receipt of the petition to recommend reinstatement.

<u>88-014.10G1</u> 1. 2.	If the Board recommends reinstatement of the credential: The Board will send its recommendation to the petitioner by certified mail along with notification that the petitioner must file an application for reinstatement with the Director. The petitioner must submit, to the Department, an application for reinstatement by the Director within 30 days
	of receipt of the Board's recommendation.
	a. The application must include:
	(1) Name of the petitioner; and
	(2) Signed statement that the petitioner requests the Director to issue the credential in accordance with the Board's recommendation for reinstatement.
	Upon receipt of the application for reinstatement from the
	petitioner, the Department will submit the following to the Director:
	a. The application;
	 b. The written recommendation of the Board, including any finding of fact or order of the Board;
	c. The petition submitted to the Board;
	d. The record of hearing, if any;
	e. Any pleadings, motions, requests, preliminary or intermediate rulings and orders, and similar correspondence to or from the Board and the petitioner.
<u> </u>	The Director will issue a decision regarding reinstatement
	within 150 days of receipt of the petitioner's application for
	reinstatement. The Director's decision will be based upon
	a review of the record of the proceedings before the Board.

The Director will not hold a second hearing. The Director

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		recomi modify finding statuto unsupp	mendation the Boom that the pry author ported b ce in vio	reverse n. A decisic ard's recor Board's re brity, mad y compete bw of the	on by t mmend comme e upo ont, m	he Direct ation wil endation n unlaw aterial, a	or to re I be b is: in e vful pr and su	everse or ased on excess of ocedure, ibstantial
		a	Board's Director decision credentia the petiti	,	ndation r an (reinstat er will b	for reir Order se tement of e sent by	nstatem etting f f the pe r certifie	ent, the orth the etitioner's ed mail to
		р.	decision District (etitioner d , s/he may Court of Lar (<u>t.</u> §§ 84-90	y appe ncaster	al such County p	decisio	n to the
	<u>88-014.10G2</u>				reinsta	atement o	of the c	redential
	with terms, cor		•	ctions: send its re	commo	ndation	to tho r	octitionor
		by cer must fi	tifi <mark>e</mark> d mai ile an app	l along wit	h notifi reinstat	cation the	at the f ith the [Detitioner Director.
	2.	application of rece	ation for re Pipt of the The app (1)	must sub einstateme Board's red lication must lame of the	nt by th comme st inclue petitio	e Directo ndation. de: ner; and	r within	30 days
			r- ir	Signed sta equests the naccore ecommend	Direct	or to issu with	ie the c	redential
	3.	petitior Directo	ner, the E	the applic Department				
		b c	The writt any findi The peti The reco Any ple intermed	en recomm ng of fact o tion submitt ord of hearin adings, mo liate ruling ondence to	vr order ted to th ng, if ar otions, gs and	of the Bo ne Board; ny; requests; d orders	ard; , prelim s, and	ninary or similar
	4.	within-	i rector wi 150 days	Il issue a d of receipt The Directo	of the p	petitioner'	s applie	cation for

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		The Director will not hold may affirm, reverse recommendation. A decisi modify the Board's reco finding that the Board's re statutory authority, mac unsupported by compet	ne proceedings before the Board. a second hearing. The Director or modify the Board's ion by the Director to reverse or mmendation will be based on ecommendation is: in excess of de upon unlawful procedure, ent, material, and substantial e entire record, or arbitrary or
		Board's recomme Director will ente decision regarding	affirms, modifies or reverses the ndation for reinstatement, the er an Order setting forth the reinstatement of the petitioner's er will be sent by certified mail to
		b. If the petitioner of decision, s/he ma	does not accept the Director's by appeal such decision to the ncaster County pursuant to <u>Neb-</u> 01 to 84-920.
	the petitic reinstaten District Co to 84-920	oner a written notice of the Bo nent. The petitioner may app ourt of Lancaster County pursu	tatement, the Board will send to oard's recommendation to deny eal the Board's decision to the ant to <u>Neb. Rev. Stat.</u> §§ 84-901 ndation of the Board. mav:
	<u>1. Co</u>	onduct an investigation to d mmitted acts or offenses proh	etermine if the applicant has ibited by Neb. Rev. Stat. § 38-
	<u>2. Re</u> ex ph ap his ma	equire the applicant to subr amination, at the expense of ysician(s) or other professional plicant may also consult a phys s/her own choice for a comple	mit to a complete diagnostic the applicant, by one or more ls appointed by the Board. The sician(s) or other professionals of ete diagnostic examination and of the examination(s) to the
	<u>3. Re</u> <u>ex</u>	equire the applicant to pass	a written, oral, or practical of examinations at the expense of
	<u>4. Re</u>		ccessfully complete additional
	5. Re		ully pass an inspection of his/her

6. Take any combination of these actions.

88-012.03C On the basis of the written application, materials submitted by the

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applicant, and the information obtained under 172 NAC 88-012.03B, the Board may:

- 1. Deny the application for reinstatement; or
- 2. Recommend to the Department:
 - a. Full reinstatement of the credential;
 - b. Modification of the suspension or limitation; or
 - c. Reinstatement subject to limitations or subject to probation with terms and conditions.

If the applicant has practiced while his/her credential was suspended, limited, revoked, or voluntarily surrendered, the Department may assess an administrative penalty pursuant to 172 NAC 88-013, in which case a separate notice of opportunity for hearing will be sent to the applicant.

<u>88-012.03D</u> An affirmative vote of a majority of the full membership of the Board as authorized by statute is required to recommend reinstatement of a credential with or without terms, conditions, or restrictions.

88-012.03E Full Reinstatement: If the Board recommends full reinstatement of the credential, modification of the suspension or limitation, or reinstatement of the credential subject to limitations or subject to probation with terms and conditions, the Board's recommendation will be sent to the applicant by certified mail. The following information will be forwarded to the Director for a decision:

- 1. The written recommendation of the Board, including any finding of fact or order of the Board;
- 2. The application for reinstatement;
- The record of hearing, if any; and
- <u>4.</u> Any pleadings, motions, requests, preliminary or intermediate rulings and orders, and similar correspondence to or from the Board and the applicant.

88-012.03F Denial, Modification, Limitation, or Probation: If the Board's initial decision is to deny the application for reinstatement, recommend modification of the suspension or limitation, or reinstate the credential subject to limitation or probation with terms and conditions, notification of the Board's decision will be mailed to the applicant by certified mail.

- 1. The initial decision or recommendation of the Board will become final 30 days after the decision or recommendation is mailed to the applicant unless the applicant requests a hearing within that 30-day period.
 - a. If the applicant requests a hearing before the Board, the <u>Department will mail a notice of the date, time, and location</u> <u>of the hearing. The notice will be sent by certified mail at</u> <u>least 30 days before the hearing.</u>

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- b. Following the hearing, the Board may deny the reinstatement or recommend full reinstatement of the credential, or recommend modification of the suspension or limitation, or recommend reinstatement of the credential subject to limitations or probation with terms and conditions.
- 2. If the applicant has been afforded a hearing or an opportunity for a hearing on an application for reinstatement within two years before filing the current application, the Department may grant or deny the application without another hearing before the Board.

88-012.03G Denial Decision: If the Board's final decision is denial of the application for reinstatement, the applicant will be notified by certified mail. The applicant may appeal the Board's denial to District Court in accordance with the Administrative Procedure Act.

88-012.03H Board Recommendation: If the Board's final recommendation is full reinstatement of the credential, modification of the suspension or limitation, or reinstatement of the credential subject to limitations or probation with terms and conditions, the Board's recommendation will be sent to the applicant by certified mail. The following information will be forwarded to the Director for a decision:

- 1. The written recommendation of the Board, including any finding of fact or order of the Board;
- 2. The application for reinstatement;
- 3. The record of hearing, if any; and
- 4. Any pleadings, motions, requests, preliminary or intermediate rulings and orders, and similar correspondence to or from the Board and the applicant.

88-012.03I Director's Review: The Director, upon receipt of the Board's recommendation for full reinstatement, modification, or probation, will review the application and other documents and make a decision within 150 days of receipt of the Board's recommendation and accompanying documents. The Director will enter an order setting forth the decision. The Director may:

- 1. Affirm the recommendation of the Board and grant reinstatement; or
- 2. Reverse or modify the recommendation if the Board's recommendation is:

a. In excess of statutory authority;

b. Made upon unlawful procedure;

- c. Unsupported by competent, material, and substantial evidence in view of the entire record; or
- d. Arbitrary and capricious.

The order regarding reinstatement of the applicant's credential will be sent to the

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applicant by certified mail. The Director's decision may be appealed to District Court by any party to the decision. The appeal must be in accordance with the Administrative Procedure Act.

<u>88-014.11</u> Procedures for Restoration of Credentials Voluntarily Surrendered or Limited for an Indefinite Period of Time.

<u>88-014.11A</u> Credentials voluntarily surrendered or limited for an indefinite period of time pursuant to <u>Neb. Rev. Stat</u>. §71-161.11 may be restored at the discretion of the Department.

<u>88-014.11A1</u> An applicant for restoration of a credential that was voluntarily surrendered or limited for an indefinite period of time must submit to the Department:

- A written application which contains the following information about the applicant:
- a. Name;

b. Address;

c. Social security number; and

- If the applicant holds a professional credential in another state, a list of the state(s) and type of credential;
- e. Date of birth and place of birth;
- f. Name of professional school and date of graduation;
- g. Answer the following questions either yes or no; if you answer yes explain the circumstances and outcome. These questions pertain to the time period since your credential was active.
 - (1) Has any state or territory of the U.S. taken any of the following actions against your license?
 - Denied Suspended Revoked Limited
 - (2) Has any licensing or disciplinary authority taken any of the following actions against your license?
 - Limited Suspended Restricted Revoked
 - (3) Has any licensing or disciplinary authority placed your license on probation?
 - (4) Have you voluntarily surrendered a license issued to you by a licensing or disciplinary authority?
 - (5) Have you voluntarily limited in any way a license issued to you by a licensing or disciplinary authority?
 - (6) Have you been requested to appear before any licensing agency?
 - (7) Have you been notified of any charges or

complaints filed against you by any licensing or disciplinary authority or criminal prosecution authority?

- (8) Are you aware of any pending disciplinary actions against your license in any jurisdiction?
- (9) Are you aware of any on-going investigations of a disciplinary complaint against your license in any jurisdiction?
- (10) Have you been addicted to, dependent upon or chronically impaired by alcohol, narcotics, barbiturates, or other drugs which may cause physical and/or psychological dependence?
- (11) Have you voluntarily entered or been involuntarily admitted to an institution or health care facility for treatment of a mental or emotional disorder/condition?
- (12) Have you been diagnosed with or treated for bipolar disorder, schizophrenia, or any psychotic disorder?
- (13) Have you been convicted of a felony?
- (14) Have you been convicted of a misdemeanor?
- (15) Have you been denied a Federal Drug Enforcement Administration (DEA) Registration or state controlled substances registration?
- (16) Have you been called before any licensing agency or lawful authority concerned with DEA controlled substances?
- (17) Have you surrendered your state or federal controlled substances registration?
- (18) Have you had your state or federal controlled substances registration restricted in any way?
- (19) Have you been notified of any malpractice claim against you?
- h. Indicate that you meet one of the following within the three years immediately preceding the application for restoration:
 - (1) Have been in the active practice of the profession of medicine and surgery; or
 - (2) Pass the SPEX Examination or the COMVEX-USA Examination; or
 - (3) Pass a Board Specialty Examination as recommended by the American Board of Medical Specialties; or
 - (4) Demonstrate other proof of professional

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	competency as approved by the Board. i. List your activities for the time period since your credentia
	was active.
	j. A statement describing all: (1) Felony or misdemeanor convictions during the tim
	(1) Felony or misdemeanor convictions during the tim period since the credential was active;
	(a) If the applicant has been convicted of
	felony_or misdemeanor, provide copies of: [1] Official Court Record, which include
	charges and disposition;
	[2] Arrest records;
	[3] A letter from the applicant explainir the nature of the conviction;
	[4] All addiction/mental heal
	evaluations and proof of treatment,
	the conviction involved a drug and/
	alcohol related offense and
	treatment was obtained and/
	required; and
	[5] A letter from the probation offic
	addressing probationary condition
	and current status, if the applicant
	currently on probation.
	(2) Revocations, suspensions, or other disciplina
	actions against any professional credential held t
	the applicant during the time period since the credential was active;
	(a) If any disciplinary action was taken again the applicant's credential by another stat
	submit an official copy of the disciplina action, including charges and dispositio
	and (3) Disciplinary charges pending against a
	professional credential held by the applicant.
	k. Any continuing competency activities.
	I. Attest: (1) That a/ba has not practiced in Nebraeka prior to the
	(1) That s/he has not practiced in Nebraska prior to the voluntary surrender of her/his credential; or
	(2) To the actual number of days practiced if the
	applicant has practiced in Nebraska prior to the
	voluntary surrender of her/his credential.
	m. Official documentation of meeting one of the followin
	within the three years immediately preceding the petition for restoration:
	(1) Have been in the active practice of the profession (1)
	of medicine and surgery; or
	(2) Pass the SPEX Examination or the COMVEX-US

	Pass a Board Specialty Examination as
	recommended by the American Board of Medical
	Specialties; or
(4)	Demonstrate other proof of professional
	competency as approved by the Board.

<u>88-014.11A2</u> If an applicant has practiced while his/her credential was voluntarily surrendered, the Department may:

- Assess an Administrative Penalty pursuant to 172 NAC 88-017;
- 2. Initiate disciplinary action against the credential;
- 3. Deny the request to restore the credential; or
 - Restore the credential to active status and impose limitation(s) or other sanctions on the credential.

<u>88-014.11A3</u> If an applicant has committed any other violation of the statutes and regulations governing the credential while his/her credential was voluntarily surrendered or limited, the Department may:

- 1. Initiate disciplinary action against the credential;
- 2. Deny the request for restoration of the credential; or
- 3. Restore the credential to active status and impose limitation(s) or other sanctions on the credential.

<u>88-014.11A4</u> In either event pursuant to 172 NAC 88-014.11A2 or 88-014.11A3, a notice and the opportunity for hearing will be given to the applicant.

<u>88-014.11A5</u> The Department will act within 150 days on all completed applications.

88-014.12 Procedures for Restoration of Credentials Voluntarily Surrendered or Limited for a Specific and Definite Period of Time.

<u>88-014.12A</u> Credentials voluntarily surrendered or limited for a specific and definite period of time as agreed to between the holder and Department pursuant to <u>Neb. Rev. Stat.</u> § 71-161.11, will be automatically restored at the expiration of that period of time.

<u>88-014.12B</u> If an individual has practiced while his/her credential was voluntarily surrendered for a specific and definite period of time, the Department may assess an Administrative Penalty pursuant to 172 NAC 88-017.

88-014.13 Credentials Voluntarily Surrendered or Limited Permanently.

<u>88-014.13A</u> Credentials that are voluntarily surrendered or limited permanently pursuant to <u>Neb. Rev. Stat.</u> § 71-161.11 will not be restored.

88-013 ADMINISTRATIVE PENALTY: The Department may assess an administrative penalty when evidence exists of practice without a credential to practice a profession or operate a business. Practice without a credential for the purpose of this regulation means practice:

- I. Prior to the issuance of a credential;
- 2. Following the expiration of a credential; or
- 3. Prior to the reinstatement of a credential.

88-013.01 Evidence of Practice: The Department will consider any of the following conditions as prima facie evidence of practice without being credentialed:

- 1. The person admits to engaging in practice;
- 2. Staffing records or other reports from the employer of the person indicate that the person was engaged in practice;
- 3. Billing or payment records document the provision of service, care, or treatment by the person;
- 4. Service, care, or treatment records document the provision of service, care, or treatment by the person;
- 5. Appointment records indicate that the person was engaged in practice;
- 6. Government records indicate that the person was engaged in practice; and
- 7. The person opens a business or practice site and announces or advertises that the business or site is open to provide service, care, or treatment.

For purposes of this regulation, prima facie evidence means a fact presumed to be true unless disproved by some evidence to the contrary.

88-013.02 Penalty: The Department may assess an administrative penalty in the amount of \$10 per day, not to exceed a total of \$1,000 for practice without a credential. To assess the penalty, the Department will:

- 1. Provide written notice of the assessment to the person. The notice will specify:
 - a. The total amount of the administrative penalty;
 - b. The evidence on which the administrative penalty is based;
 - c. That the person may request, in writing, a hearing to contest the assessment of an administrative penalty;
 - d. That the Department will within 30 days following receipt of payment of the administrative penalty, remit the penalty to the State Treasurer to be disposed of in accordance with Article VII, section 5 of the Constitution of Nebraska;
 - e. That an unpaid administrative penalty constitutes a debt to the State of Nebraska which may be collected in the manner of a lien foreclosure or sued for and recovered in a proper form of action in the name of the state in the District Court of the county in which the violator resides or owns property. The Department may also collect in such action attorney's fees and costs incurred directly in the collection of the administrative penalty; and
 - f. That failure to pay an administrative penalty may result in

disciplinary action.

2. Send by certified mail, a written notice of the administrative penalty to the last known address of the person to whom the penalty is assessed.

88-013.03 Administrative Hearing: When a person contests the administrative penalty and requests a hearing, the Department will hold a hearing pursuant to the Administrative Procedure Act and 184 NAC 1, the Department's Rules of Practice and Procedure For Administrative Hearings.

88-015 SCHEDULE OF FEES: The following fees have been set by the Department:

<u>88-015.01</u> Initial Fee for a License to Practice Medicine and Surgery: By an applicant for a license to practice medicine and surgery, the fee of \$200 and the Licensee Assistance Program fee of \$1 for each year remaining during the current biennial renewal period.

<u>88-015.01A</u> Proration of Initial License Fee: For issuance of a license that will expire within 180 days after its initial issuance date, a fee of \$50 and the Licensee Assistance Program fee of \$1.

<u>88-015.02</u> Initial Fee for a License to Practice As An Osteopathic Physician: By an applicant for a license to practice as an osteopathic physician the fee of \$200 and the Licensee Assistance Program fee of \$1 for each year remaining during the current biennial renewal period.

<u>88-015.02A Proration of Initial License Fee</u>: For issuance of a license that will expire within 180 days after its initial issuance date, a fee of \$50 and the Licensee Assistance Program fee of \$1.

<u>88-015.03</u> Initial Fee for a License to Practice Osteopathic Medicine and Surgery: By an applicant for a license to practice osteopathic medicine and surgery, the fee of \$200 and the Licensee Assistance Program fee of \$1 for each year remaining during the current biennial renewal period.

<u>88-015.03A</u> Proration of Initial License Fee: For issuance of a license that will expire within 180 days after its initial issuance date, a fee of \$50 and the Licensee Assistance Program fee of \$1.

<u>88-015.04 Initial Fee for a Locum Tenens Permit</u>: By an applicant for a locum tenens permit, the fee of \$100 and the Licensee Assistance Program fee of \$1.

<u>88-015.05 Initial Fee for a Temporary Educational Permit</u>: By an applicant for a Temporary Educational permit, the fee of \$25 and the Licensee Assistance Program fee of \$1.

<u>88-015.06 Initial Fee for a Temporary Visiting Faculty Permit:</u> By an applicant for a Temporary Visiting Faculty Permit, the fee of \$25 and the Licensee Assistance Program fee of \$1.

<u>88-015.07 License Renewal Fee For A License To Practice Medicine and Surgery</u>: By an applicant for renewal on a biennial basis of a license to practice medicine and surgery, the fee of \$75 and the Licensee Assistance Program fee of \$2.

<u>88-015.08</u> License Renewal Fee For A License To Practice As An Osteopathic <u>Physician</u>: By an applicant for a renewal on a biennial basis of a license to practice as an osteopathic physician, the fee of \$75 and the Licensee Assistance Program Fee of \$2.

88-015.09 License Renewal Fee For a License To Practice Osteopathic Medicine and <u>Surgery:</u> By an applicant for renewal on a biennial basis, of a license to practice osteopathic medicine and surgery, the fee of \$75 and the Licensee Assistance Program Fee of \$2.

<u>88-015.10 License Renewal Fee for a Temporary Educational Permit</u>: By an applicant for renewal on an annual basis of a Temporary Educational Permit, the fee of \$25 and the Licensee Assistance Program fee of \$1.

<u>88-015.11 License Renewal Fee for a Temporary Visiting Faculty Permit</u>: By an applicant for renewal on an annual basis of a Temporary Visiting Faculty Permit, the fee of \$25 and the Licensee Assistance Program fee of \$1.

<u>88-015.12</u> Inactive License Status Fee: By an applicant to have his/her license placed on an inactive status, the fee of \$25.

<u>88-015.13</u> Renewal Late Fee: By an applicant for renewal of a license, who fails to pay the renewal fee on or before the expiration date of his/her license, the fee of \$25 as a late fee in addition to the renewal fee.

<u>88-015.14</u> Certification of License Fee: For issuance of a certification of a license, the fee of \$25. The certification includes information regarding:

- 1. The basis on which a license was issued;
- 2. The date of issuance;
- 3. Whether disciplinary action has been taken against the license; and
- 4. The current status of the license.

<u>88-015.15</u> Verification of License Fee: For issuance of a verification of a license, the fee_of \$5. The verification includes written confirmation as to whether a license was valid at the time the request was made.

<u>88-015.16 Duplicate License Fee:</u> For a duplicate original license document or reissued license, the fee \$10.

<u>88-015.17 Administrative Fee</u>: For a denied license or a withdrawn application, the administrative fee of \$25 will be retained by the Department, except if the licensing fee is less than \$25, the fee will be forfeited and an examination fee will not be returned.

<u>88-015.18 Reinstatement Late Fee</u>: For reinstatement of a license for failure to meet renewal requirements:

1. Within one year, the fee of \$35 in addition to the renewal fee.

2. After one year of revocation, the fee of \$75 in addition to the renewal fee.

<u>88-015.19 Reinstatement Fee</u>: For reinstatement following suspension, limitation or revocation for disciplinary reasons, the fee of \$75.

88-016 CONTINUING COMPETENCY

88-016.01 License to practice medicine and surgery and osteopathic medicine and surgery.

<u>88-016.01A</u> On or before October 1, 2004, and on or before October 1 of each even-numbered year thereafter, each physician and osteopathic physician and surgeon who is licensed in the State of Nebraska must, as a condition for renewal of his/her license:

1. Earn one of the following in order to meet the continuing competency requirement:

a. 50 hours of Category 1 continuing
education approved as follows:
1. Approved by the
Accreditation Council for Continuing Medical
Education (ACCME) or the American Osteopathic
Association (AOA);
participation in an approved graduate medical
education program is approved as 50 hours of
Category 1 continuing education.
earned within the 24 months immediately preceding
the date of expiration, except that a licensee who
has earned more than the 50 hours required for
license renewal for one 24 month renewal period is
allowed to carry over up to 25 hours to the next 24
month renewal period.
b. The AMA Physician's Recognition Award or
the AOA CME Certification earned within the 24 months

the AOA CME Certification earned within the 24 months immediately preceding the date of expiration; and

<u>88-016.01B</u> Each licensee must submit to the Department an attestation that s/he has met the continuing competency requirement for the 24 months immediately preceding the date of expiration; and

<u>88-016.01C</u> Each licensee is responsible for maintaining their records verifying attendance at category 1 continuing education programs or otherwise meeting the continuing competency requirement.

88-016.02 Temporary Educational Permit and Temporary Visiting Faculty Permit.

<u>88-016.02A</u> On or before July 1, 2004, and on or before July 1 each year thereafter, each holder of a Temporary Educational Permit or Temporary Visiting Faculty Permit who is licensed in the State of Nebraska must, as a condition for renewal of his/her license:

- 1. Earn one of the following in order to meet the continuing competency requirement:
 - a. Twenty-five hours of Category 1 continuing education approved as follows:
 - (1) Approved by the Accreditation Council for Continuing Medical Education (ACCME) or the American Osteopathic Association (AOA);
 - (2) One year of participation in an approved graduate medical education program is approved as 50 hours of Category 1 continuing education.
 - (3) Hours are to be earned within the 12 months immediately preceding the date of expiration.
 - b. The AMA Physician's Recognition Award or the AOA CME Certification within the 12 months immediately preceding the date of expiration; and
- <u>88-016.02B</u> Each permit-holder must submit to the Department an attestation that s/he has met the continuing competency requirement for the renewal period immediately preceding the license renewal date; and

or

<u>88-016.02C</u> Each permit-holder is responsible for maintaining their records verifying attendance at category 1 continuing education programs or otherwise meeting the continuing competency requirement.

<u>88-016.03</u> Waiver of Continuing Competency: The Department, on the recommendation of the Board, may waive the continuing competency requirement, in whole or in part, when a licensee or permit-holder submits documentation that circumstances beyond his/her control prevented the completion of such requirements. Such circumstances will include situations in which the licensee or permit-holder:

	out is not practicing his/her
profession in Nebraska;	
2. Has served in the regular armed forces	s of the United States during
part of the renewal period immediately pre-	ceding the license renewal
date;	-
	sabling illness or physical
disability during the renewal period immedia	ately preceding the license

renewal date which prevented completion of the continuing competency requirements;

4. Was first licensed within the renewal period immediately preceding the license renewal date.

<u>88-016.03A</u> The Department, on recommendation of the Board, may grant or deny, in part or in total, an application for waiver of continuing competency requirements, upon proof that circumstances beyond the licensee's control prevented completion of the requirements.

1. When the Department determines to grant a waiver of continuing competency, the licensee will be notified within 30 days of receipt of the application.

<u>88-016.03B</u> When the Department determines to deny an application for waiver of continuing competency requirements it sends to the licensee by certified mail to the last name and address of record in the Department, a notice setting forth the reason for the denial determination.

- 1. The licensee has 30 days from the date of the denial notice to make a written request to the Department for an appeal. The appeal will be conducted in accordance with The Administrative Procedures Act and 184 NAC 1, Rules of Practice and Procedure of the Department.
- 2. The Department will issue at the conclusion of the appeal under 184 NAC 1, a final order setting forth the results of the appeal.

<u>88-016.04</u> Audit of Continuing Competency: The Board may biennially select, in a random manner, a sample of the renewal applications for audit of continuing competency requirements. Each credentialed person selected for audit will be required to produce documentation of the continuing competency activities s/he has completed in order to meet the requirements for the renewal period immediately preceding the license renewal date.

- 1. The Department will send to each licensee or permit-holder selected for audit a notice of audit;
- 2. When selected for audit, the licensee or permit-holder must provide satisfactory documentation of attendance at or participation in approved continuing competency activities to meet the requirements for the renewal period immediately preceding the expiration date.
- 3. Failure to comply with the audit may be grounds for non-renewal or revocation of the license.

<u>88-017 ADMINISTRATIVE PENALTY</u>: The Department may assess an administrative penalty when evidence exists that a person or entity practices without a credential. Practice without a credential for the purpose of this regulation means practice:

1. Prior to the issuance of a credential;

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- 2. Following the expiration of a credential; or
- 3. Prior to the reinstatement of a credential.

88-017.01 Evidence of Practice: The Department will consider any of the following

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conditions as prima facie evidence of practice without a credential:

- 1. The person admits to engaging in practice;
- 2. Staffing records or other reports from the employer of the person indicate that the person was engaged in practice;
- 3. Billing or payment records document the provision of service, care, or treatment by the person;
- 4. Service, care, treatment records document the provision of service, care, or treatment by the person;
- 5. Appointment records indicate that the person was engaged in practice;
- 6. Other government records indicate that the person was engaged in practice; and
 - 7. The person or entity opens a business or practice site and announces or advertises that the business or site is open to provide service, care, or treatment.

For purposes of this regulation prima facie evidence means a fact presumed to be true unless disproved by some evidence to the contrary.

<u>88-017.02</u> Penalty: The Department may assess an administrative penalty in the amount of \$10 per day, not to exceed a total of \$1,000 for practice without a credential. To assess such penalty, the Department will:

- 1. Provide written notice of the assessment to the person. The notice will specify:
 - a. The total amount of the administrative penalty;
 - b. The evidence on which the administrative penalty is based;
 - c. That the person may request, in writing, a hearing to contest the assessment of an administrative penalty;
 - d. That the Department will within 30 days following receipt of payment of the administrative penalty, transmit the penalty to the State Treasurer for credit to the Permanent School Fund; and
 - e. That an unpaid administrative penalty constitutes a debt to the State of Nebraska which may be collected in the manner of a lien foreclosure or sued for and recovered in a proper form of action in the name of the state in the District Court of the county in which the violator resides or owns property.

2. Send by certified mail, a written notice of the administrative penalty to the last known address of the person to whom the penalty is assessed.

<u>88-017.03</u> Administrative Hearing: When a person contests the administrative penalty and requests a hearing, the Department will hold a hearing pursuant to <u>Neb. Rev. Stat.</u> §§ 84-901 to 84-920 and the Department's rules and regulations adopted pursuant to these statutes.

Approved by Attorney General:	July 28, 2004
Approved by Governor:	July 29, 2004
Filed by Secretary of State:	July 29, 2004
Effective Date:	August 3, 2004

88-014 Fees: Fees referred to in these regulations are set out in 172 NAC 2, unless otherwise

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specified.

These amended rules and regulations replace Title 172 NAC 88, Regulations Governing the Practice of Medicine and Surgery and Osteopathic Medicine and Surgery effective August 3, 2004.